



# **Annual Registration Statement / Annual Report**

## **Form 56-1 One Report**

### **(Structured Data Report)**

**GLOBLEX HOLDING MANAGEMENT PUBLIC COMPANY LIMITED**

Fiscal Year End 31 December 2024



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# Group Structure and Operations

## Group Structure and Operations

### Business Overview and Policies (1.1)

#### Company Information (1.1.5)

Company Name : GLOBLEX HOLDING MANAGEMENT PUBLIC COMPANY LIMITED

Symbol : GBX

Address : C R C TOWER, ALL SEASONS PLACE, FLOOR 12, 87/2, WIRELESS ROAD,  
LUMPINI, PATHUM WAN

Province : Bangkok

Postcode : 10330

Business : The Company operates its core business as a holding company

Registration Number : 0107547000435

Telephone : 0-2672-5995

Website : <https://holding.globlex.co.th>

Email : [ir@globlex.co.th](mailto:ir@globlex.co.th)

#### Total Shares Sold (shares)

Common Stock : 1,089,076,392

Preferred Stock : 0

## Business Operations (1.2)

### Revenue Structure (1.2.1)

#### By Product Line or Business Grouping

	2022	2023	2024
Total revenue from operations (Thousand baht)	598,683.37	470,032.54	562,809.56
Revenues from sales (Thousand baht)	0.00	0.00	0.00
Brokerage fees income (Thousand baht)	320,578.42	204,100.97	182,399.83
Fees and service incomes (Thousand baht)	256,586.52	202,772.36	224,358.14
Gain and return on financial instruments (Thousand baht)	16,577.35	61,028.48	153,090.54
Other incomes (Thousand baht)	4,941.08	2,130.73	2,961.05

	2022	2023	2024
Total revenue from operations (%)	100.00	100.00	100.00
Revenues from sales (%)	0.00	0.00	0.00
Brokerage fees income (%)	53.55	43.42	32.41
Fees and service incomes (%)	42.86	43.14	39.86
Gain and return on financial instruments (%)	2.77	12.98	27.20
Other incomes (%)	0.83	0.45	0.53

#### By Geographical Area or Market

	2022	2023	2024
Total revenue from operations (Thousand baht)	598,683.37	470,032.54	562,809.56
Domestic (Thousand baht)	598,683.37	470,032.54	562,809.56
International (Thousand baht)	0.00	0.00	0.00

	2022	2023	2024
Total revenue from operations (%)	100.00	100.00	100.00
Domestic (%)	100.00	100.00	100.00
International (%)	0.00	0.00	0.00

#### Other income as specified in the financial statements

	2022	2023	2024
Total other income (Thousand baht)	4,941.08	2,130.73	2,961.05
Other income from operations (Thousand baht)	4,941.08	2,130.73	2,961.05
Other income not from operations (Thousand baht)	0.00	0.00	0.00

#### Share of profit of joint ventures and associates accounted for using equity method

	2022	2023	2024
Share of profit (Thousand baht)	0.00	0.00	0.00

## Information on Products and Services (1.2.2)

### Product/Service Information and Business Innovation Development (1.2.2.1)

Research and Development (R&D) Policy : No

(Unit : Thousand baht)	2022	2023	2024
R&D expenses in the past 3 years	0.00	0.00	0.00

# Risk Management

# Risk Management

## Risk Factors (2.2)

**Risk that might affect the company's business, including environmental, social and corporate governance issues (2.2.1)**

### Investment and Securities Business Operation Risk

**Risk Management Measures:** Yes

#### Related Risk Topics

Strategic Risk

- Volatility in the industry in which the company operates
- Competition risk
- Economic risk

Operational Risk

- Shortage or reliance on skilled workers
- Human error in business operations
- System disruption risk

Compliance Risk

- Change in laws and regulations

Financial Risk

- Default on payment or exchange of goods

### Management Risk

**Risk Management Measures:** Yes

#### Related Risk Topics

Operational Risk

- Systems or internal control system



# Sustainable Development

# Sustainable Development

## Sustainability Management Policy and Targets (3.1)

### Sustainability Management Policy and Targets

Corporate Sustainability Policy : Yes

## Environmental Aspect (3.3)

### Policy and Guideline on Environmental Aspect (3.3.1)

Environmental Policy and Practice : No

### Results with Respect to the Environmental Aspect (3.3.2)

#### Greenhouse gas management

• Greenhouse gas emissions

	2022	2023	2024
Scope 1 (Tons of carbon dioxide equivalents)	N/A	N/A	N/A
Scope 2 (Tons of carbon dioxide equivalents)	N/A	N/A	N/A
Scope 3 (Tons of carbon dioxide equivalents)	N/A	N/A	N/A
Total GHG emissions (Metric tonnes of carbon dioxide equivalent)	0.00	0.00	0.00

• Verification of greenhouse gas emissions over the past year

Third-party verification : No

## Social Aspect (3.4)

### Policy and Guideline on Social Aspect (3.4.1)

Human Rights Policy and Practice : Yes

Company human right guideline : Employee Rights, Community and Environment Rights, Safety and Occupational Health at Work, Non-discrimination

### Results with Respect to the Social Aspect (3.4.2)

#### Information about employees

##### • Total number of employees

	2022	2023	2024
Number of male employees (Persons)	9	12	12
Number of female employees (Persons)	4	4	4
<b>Total number of employees (Persons)</b>	<b>13</b>	<b>16</b>	<b>16</b>

##### • Employee remuneration

	2022	2023	2024
Employee remuneration (baht)	12,899,778.44	13,393,059.79	14,275,107.70

##### • Employee development and training

	2022	2023	2024
Average employee training hours (Hours / Person / Year)	9.00	4.00	4.00
Employee development and training expenses (baht)	31,030.72	18,062.39	30,739.12

##### • Health, safety and work environment

	2022	2023	2024
Total number of lost time injury incidents by employees (Cases)	0	0	0

• **Employee retention**

	2022	2023	2024
Percentage of employees who voluntarily resigned (%)	7.69	6.25	6.25

• **Significant labor dispute**

	2022	2023	2024
Significant labor dispute	No	No	No

# Corporate Governance Policy

## Corporate Governance Policy

### Overview of the Corporate Governance Policy and Guideline (6.1)

#### Corporate Governance Policy

Corporate Governance Policy : Yes

Company website on corporate governance policy : <https://holding.globlex.co.th/documentForm/p4.pdf>

#### Policy and Guideline Related to the Board of Directors (6.1.1)

##### Policy and guideline related to the board of directors

Company policy and guideline : Nomination of Directors, Compensation, Independence of the Board of Directors, Director Development, Board Performance Evaluation, Corporate Governance of Subsidiaries and Associated Companies

## Code of Conduct (6.2)

### Establishing a Code of Conduct

#### Establishing a Code of Conduct

Code of Conduct : Yes

##### Policy and Guideline related to the Code of Conduct

Company policy and guideline : Preventing of Conflicts of Interest, Preventing the Misuse of Inside Information, Anti-corruption, Whistleblowing

**Corporate Governance Structure  
and Significant Information Regarding  
the Board of Directors, Subcommittees,  
Management,  
Employee and Other Information**

## Corporate Governance Structure and Significant Information Regarding the Board of Directors, Subcommittees, Management, Employee and Other Information

### Board of Directors (7.2)

#### Composition of the board of directors (7.2.1)

	Number of persons	Percentage (%)
Total number of directors	5	100.00
Number of male directors	5	100.00
Number of female directors	0	0.00
Number of executive directors	2	40.00
Number of non-executive directors	3	60.00
Number of independent directors	3	60.00

#### Information on the board of directors and persons with authority to control the company (7.2.2)

##### List of directors

General information	Position	Date position was assumed	Experience and expertise
1. Mr. ORAN KOOHAPREMKIT Gender: Male Age: 72 years old Highest level of education: Bachelor's degree Major: Business Administration Thai nationality: Yes Residing in Thailand: Yes	Chairman of the board (Executive)  Director type: Re-elected as director	18 Feb 2004	Finance & Securities



2.	Mr. SUPORN THAMMARAKS Gender: Male Age: 71 years old Highest level of education: Master's degree Major: Business Administration Thai nationality: Yes Residing in Thailand: Yes	Director (Non-executive, Independent director)  Director type: Original director	10 Aug 2011	Law, Business Administration
3.	Mr. THARAPHUT KUHAPEMKIT Gender: Male Age: 40 years old Highest level of education: Master's degree Major: Finance Thai nationality: Yes Residing in Thailand: Yes	Director (Executive)  Director type: Original director	21 Mar 2012	Finance & Securities
4.	Mr. NATDANAI INDRASUKHSRI Gender: Male Age: 70 years old Highest level of education: Master's degree Major: Business Administration Thai nationality: Yes Residing in Thailand: Yes	Director (Non-executive, Independent director)  Director type: Re-elected as director	10 Nov 2022	Economics, Insurance, Business Administration
5.	Mr. SAMRAUY KHAMSAANG Gender: Male Age: 73 years old Highest level of education: Master's degree Major: Public Administration Thai nationality: Yes Residing in Thailand: Yes	Director (Non-executive, Independent director)  Director type: Original director	12 Dec 2023	Accounting

## Other Information pertaining to committees

The Chairman is an independent director : No

The Chairman and the manager are the same person : No

The Chairman and the manager are members of the same family : Yes

The company appoints at least one independent director to determine the agenda of the Board of Directors' meetings : Yes

## Sub-committees (7.3)

### Information about sub-committees (7.3.2)

#### Audit Committee

##### List of audit committee members

General information	Position	Date position was assumed	Experience and expertise
1. Mr. NATDANAI INDRASUKHSRI Gender: Male Age: 70 years old Highest level of education: Master's degree Major: Business Administration Thai nationality: Yes Residing in Thailand: Yes	Chairman of the audit committee (Non-executive, Independent director)  Director type: Re-elected as director	10 Nov 2023	Economics, Insurance, Business Administration
2. Mr. SUPORN THAMMARAKS Gender: Male Age: 71 years old Highest level of education: Master's degree Major: Business Administration Thai nationality: Yes Residing in Thailand: Yes	Audit committee (Non-executive, Independent director)  Director type: Original director	10 Aug 2011	Law, Business Administration

3.	Mr. SAMRAUY KHAMSAUNG [1]	Audit committee	12 Dec 2023	Accounting
	Gender: Male	(Non-executive,		
	Age: 73 years old	Independent director)		
	Highest level of education:			
	Master's degree	Director type: Original		
	Major: Public Administration	director		
	Thai nationality: Yes			
	Residing in Thailand: Yes			

[1] A director with the accounting expertise needed to review financial statements

## Executive Committee

### List of executive committee members

General information		Position	Date position was assumed
1.	Mr. ORAN KOOHAPREMKIT	Chairman of the executive committee	18 Feb 2004
	Gender: Male		
	Age: 72 years old		
	Highest level of education: Bachelor's degree		
	Major: Business Administration		
	Thai nationality: Yes		
	Residing in Thailand: Yes		
2.	Mr. THARAPHUT KUHAPEMKIT	Member of the executive committee	21 Mar 2012
	Gender: Male		
	Age: 40 years old		
	Highest level of education: Master's degree		
	Major: Finance		
	Thai nationality: Yes		
	Residing in Thailand: Yes		

## Other sub-committees

## Sub-committees information

Name of sub-committees	List of directors	Position
Compensation Committee	Mr. ORAN KOOHAPREMKIT	Chairman
	Mr. SUPORN THAMMARAKS	Member
	Mr. NATDANAI INDRASUKHSRI	Member
	Mr. SAMRAUY KHAMSANG	Member
Nomination Committee	Mr. SUPORN THAMMARAKS	Member
	Mr. NATDANAI INDRASUKHSRI	Chairman
	Mr. SAMRAUY KHAMSANG	Member
Management Committee	Mr. ORAN KOOHAPREMKIT	Chairman
	Mr. THARAPHUT KUHAPREMKIT	Member
	Mr. Rachapoom Sittidech	Member
	Mr. Noppadon Sakulwattana	Member
Investment Committee	Mr. THARAPHUT KUHAPREMKIT	Chairman
	Mr. Rachapoom Sittidech	Member
	Mr. Noppadon Sakulwattana	Member
Operation Committee	Mr. THARAPHUT KUHAPREMKIT	Chairman
	Mr. Rachapoom Sittidech	Member
	Mr. Noppadon Sakulwattana	Member
Risk Management Committee	Mr. THARAPHUT KUHAPREMKIT	Chairman
	Mr. Thanapisal Koohapremkit	Member
	Miss Prathana Mekkriangkrai	Member
	Mr. Pheeraseth Pannapalakul	Member

## Roles of Sub-committees

Sub-committees responsible for risk management : Risk Management Committee

Sub-committees responsible for nomination : Nomination Committee

Sub-committees responsible for remuneration : Compensation Committee

Sub-committees responsible for corporate governance : Management Committee

Sub-committees responsible for corporate sustainability development : Management Committee

## Executives (7.4)

### List and positions of the executive (7.4.1)

#### The four highest-ranking executives

General information	Position	Date position was assumed	Experience and expertise
1. Mr. THARAPHUT KUHAPREMKIT Gender: Male Age: 40 years old Highest level of education: Master's degree Major: Finance	MANAGING DIRECTOR	17 Jan 2017	Finance & Securities
2. Mr. Noppadon Sakulwattana [1] Gender: Male Age: 46 years old Highest level of education: Master's degree Major: Law	Deputy Vice President of Accounting and Finance Department	1 Jan 2015	Accounting, Law
3. Mr. Rachapoom Sittidech Gender: Male Age: 36 years old Highest level of education: Master's degree Major: Finance	Vice President of Investment Department	1 May 2019	Finance
4. Mrs. Chotiros Lekmanee [2] Gender: Female Age: 41 years old Highest level of education: Bachelor's degree Major: Accounting	Assistance Vice President Accounting and Finance Department	17 Jan 2022	Accounting
5. Miss Yuwares Sripariyuth Gender: Female Age: 40 years old Highest level of education: Below a bachelor's degree Major: Business Administration	Assistance Vice President	17 Jan 2022	Data Management

[1] Highest responsibility in accounting and finance

[2] Directly responsible for financial account supervision

## Remuneration policy for executives (7.4.2 – 7.4.3)

### Remuneration

	2022	2023	2024
Total executive remuneration (baht)	8,069,558.00	8,154,340.00	8,428,556.00

### Other forms of remuneration

Employee Stock Ownership Plan (ESOP) : No

Employee Joint Investment Program (EJIP) : No

## Employees (7.5)

### Information about company employees

#### Employees

Number of male employees (persons) : 12

Number of female employees (persons) : 4

**Total number of employees (persons)** : 16

#### Employee Remuneration

Total employee remuneration : 14,275,107.70

#### Provident fund

Total number of employees (persons) : 16

Number of employees contributing to the PVD (persons) : 14

Percentage of employees who are members (%) : 87.50

## Other Significant Information (7.6)

### Other significant information

#### Assigned persons

- Person assigned to take direct responsibility for accounting oversight

General information	Email	Telephone
1. Mrs. Chotiros Lekmanee	chotiros@globlex.co.th	02-6877004

- Company secretary

General information	Email	Telephone
1. Mr. Noppadon Sakulwattana	noppadon@globlex.co.th	02-6877058

- Head of internal audit

General information	Email	Telephone
1. Mr. Chaipat Chuchuy	chaipat@globlex.co.th	02-6725999#2133

- Head of compliance unit

General information	Email	Telephone
1. Mr. Chaipat Chuchuy	chaipat@globlex.co.th	02-6725999#2133

#### Company's auditor

Company	Names and general information of auditors	Audit fee (baht)	Other non-audit fees (baht)
1. DELOITTE TOUCHE TOHMATSU JAIYOS AUDIT COMPANY LIMITED	1. Mr WONLOP VILAIVARAVIT Email: wvilaivaravit@deloitte.com Telephone: -	600,000.00	-

# Performance Report on Corporate Governance



## Performance Report on Corporate Governance

### Summary of Director Performance (8.1)

#### Selection, development, and evaluation of duty performance of the Board of Directors (8.1.1)

##### List of new directors appointed in the past year

• List of continuing directors (full term of directorship and being re-appointed as a director)

General information	Position	Date position was assumed	Experience and expertise
1. Mr. ORAN KOOHAPREMKIT Gender: Male Age: 72 years old Highest level of education: Bachelor's degree Major: Business Administration Thai nationality: Yes Residing in Thailand: Yes	Chairman of the board (Executive)  Director type: Re-elected as director	Date position was assumed: 18 Feb 2004	Finance & Securities
2. Mr. NATDANAI INDRASUKHSRI Gender: Male Age: 70 years old Highest level of education: Master's degree Major: Business Administration Thai nationality: Yes Residing in Thailand: Yes	Director (Non-executive, Independent director)  Director type: Re-elected as director	Date position was assumed: 10 Nov 2022	Economics, Insurance, Business Administration

##### Development of directors over the past year

List of directors	Position	Participated in director development program
Mr. ORAN KOOHAPREMKIT	Chairman of the board	Non-participating
Mr. SUPORN THAMMARAKS	Director	Non-participating
Mr. THARAPHUT KUHAPREMKIT	Director	Participating

Mr. NATDANAI INDRASUKHSRI	Director	Non-participating
Mr. SAMRAUY KHAMSAANG	Director	Non-participating

### Directors' performance assessment

Method used to evaluate directors' performance : Whole-board-of-directors assessment, Individual-director assessment (self-assessment)

### Meeting attendance and remuneration to each Board member (8.1.2)

#### Meeting attendance of the board of directors

Number of board meetings (times) : 5

Date of AGM meeting : 19 Apr 2024

EGM meeting : No

	List of directors	Termination date	Number of Board Meeting	AGM meetings	EGM meetings
1.	Mr. ORAN KOOHAPREMKIT (Chairman of the board)	-	5/5	Participating	Did not hold the meeting
2.	Mr. SUPORN THAMMARAKS (Director)	-	5/5	Participating	Did not hold the meeting
3.	Mr. THARAPHUT KUHAPREMKIT (Director)	-	4/5	Participating	Did not hold the meeting
4.	Mr. NATDANAI INDRASUKHSRI (Director)	-	5/5	Participating	Did not hold the meeting
5.	Mr. SAMRAUY KHAMSAANG (Director)	-	5/5	Participating	Did not hold the meeting

#### Remuneration for company directors

List of directors	Termination date	Meeting allowance (baht)	Other monetary remuneration (baht)	Other non- monetary
1. Mr. ORAN KOOHAPREMKIT (Chairman of the board)	-	400,000.00	0.00	No
2. Mr. SUPORN THAMMARAKS (Director)	-	100,000.00	0.00	No
3. Mr. THARAPHUT KUHAPEMKIT (Director)	-	0.00	0.00	No
4. Mr. NATDANAI INDRASUKHSRI (Director)	-	125,000.00	0.00	No
5. Mr. SAMRAUY KHAMSAANG (Director)	-	100,000.00	0.00	No

## Report on the Audit Committee's Performance for the Past Year (8.2)

### Report on the audit committee's performance for the past year

#### Meeting attendance of audit committee

Number of Audit committee meetings (times) : 5

List of directors	Termination date	Number of the audit committee meeting
1. Mr. NATDANAI INDRASUKHSRI (Chairman of the audit committee)	-	5/5
2. Mr. SUPORN THAMMARAKS (Audit committee)	-	5/5
3. Mr. SAMRAUY KHAMSAANG (Audit committee)	-	5/5