

Attachment 3

Internal Audit Head and Compliance Head



Internal Audit Head and Compliance Head**1. Mr. Surasak Dudsdeemaytha**

Executive Vice President Audit Division Head Internal Audit Head

Age 54

Education

- Master's Degree (Business Administration), Sasin Graduate Institute of Business Administration
- Bachelor's Degree (Economics), Kasetsart University

Training Program

- Advanced Audit Committee Program (AACP) Class 24/2016, Thai Institute of Directors Association
- Role of the Compensation Committee (RCC) Class 16/2013, Thai Institute of Directors Association
- Director Certification Program (DCP) Class 131/2010, Thai Institute of Directors Association
- Securities Business Regarding Compliance Function for Head of Compliance 2019, The Thai Bankers' Association
- Advanced Management Strategies for Drug Prevention and Suppression Class 1/2018, Office of the Narcotics Control Board
- Standards on Anti-Money Laundering and Combating the Financing of Terrorism for Reporting Entities under Section 13 and Section 16 Class 1/2017, Anti Money Laundering Office
- Audit Committee Seminar – Get Ready for the Year End 2015, Federation of Accounting Professions, Office of the Securities and Exchange Commission, Stock Exchange of Thailand, Thai Institute of Directors Association and Thai Listed Companies Association

Experience over Past 5 Years

2014 – Present Secretary to the Audit Committee, KASIKORNBANK PUBLIC COMPANY LIMITED

2010 – Present Executive Vice President, KASIKORNBANK PUBLIC COMPANY LIMITED

Directorship in Other Companies None

Family Relationship None

2. Mr. Phalsarn Vorasetsiri

First Senior Vice President Compliance Head

Age 46

Education

- Master's Degree (Business Administration - Accounting), University of Illinois at Urbana – Champaign, USA
- Graduate Diploma in Auditing, Thammasat University
- Bachelor's Degree (Honors) (Law), Thammasat University
- Bachelor's Degree (Accounting), Thammasat University

Training Program

- Director Certification Program (DCP) Class 215/2016, Thai Institute of Directors Association
- Securities Business Regarding Compliance Function for Head of Compliance 2019, The Thai Bankers' Association
- Specialist in Financial Technology: The Best Practice, The Thai Institute of Banking and Finance Association

Experience over Past 5 Years

Jan. 2019 – Present First Senior Vice President, KASIKORNBANK PUBLIC COMPANY LIMITED

2015 – 2018 Senior Vice President, Compliance Department, KASIKORNBANK PUBLIC COMPANY LIMITED

2012 – 2015 First Vice President, Compliance Department, KASIKORNBANK PUBLIC COMPANY LIMITED

Directorship in Other Companies None

Family Relationship None

