

Attachment 3 Details of TMB's Head of Audit and Head of Compliance**1) Head of Audit as of 31 December 2017**

Name/Position	Age	Education	Shareholding in TMB (no.of share)*	Family Relationship **	Work Experience in the Past 5 Years
Mr. Sunthorn Rukpanich *** Head of Audit	57	<ul style="list-style-type: none"> - Bachelor degree, Economics, Chulalongkorn University - Master degree, Business Administration, University of Bridgeport, Connecticut, USA <p><u>Training</u></p> <ul style="list-style-type: none"> - International Accounting Standards IAS 39/IAS - Operational Risk Management Forum - Financial Executive Development Program-FINEX 	-	-	<p>January 2018 - Present Head of Audit, TMB Bank</p> <p>July 2013 - December 2017 Head of Corporate Credit Underwriting, TMB Bank</p> <p>June 2011 - June 2013 Team Head of Corporate Credit Underwriting, TMB Bank</p> <p>Team Head of Corporate Credit Underwriting, TMB Bank</p> <p>Apr 2006 -May 2011 Underwriting 1, TMB Bank</p>

Remarks: * Including shareholding of spouse and minor children

** Family Relationship by blood, marriage or registration under the laws

*** Ms. Doungjan Saenim was acting as Head of Audit on 18 October 2017 – 31 December 2017. Mr. Sunthorn Rukpanich was appointed by the Bank as Head of Audit, effective on 1 January 2018 onwards.

Attachment 3 Details of TMB's Head of Audit and Head of Compliance**2) Head of Compliance as of 31 December 2017**

Name/Position	Age	Education	Shareholding in TMB (no.of share)*	Family Relationship **	Work Experience in the Past 5 Years
Mr. Varoon Kanjanapoo - Executive Vice President, Head of Compliance	51	- MBA Eastern Michigan University, USA - Bachelor of Science, Chulalongkorn University	-	-	2016 – Present : Executive Vice President, Head of Compliance, TMB Bank Public Company Limited 2013 – 2016 : Executive Vice President, Head of Compliance, ANZ Bank (Thai) Public Company Limited 2007 – 2013 : Senior Executive Vice President, Head of Compliance, Head of Compliance & Audit, and Head of Legal & Compliance and Company Secretariat Standard Chartered Bank (Thai) Public Company Limited 2004 – 2007 : Head of Compliance & Internal Control Siam Commercial Bank Public Company Limited
Scopes of Responsibility:		Training:			
- To supervise business activities of the Bank to ensure compliance with the regulatory requirements and the Bank's policies. - To develop Compliance, risk management system, advise all business units within the bank on regulatory issues as well as to monitor the compliance practices. In addition, the relevant reports including the corrective measures are regularly submitted to the Executives and The Board of Director.		- Compliance Training on Securities Business for Head Compliance - Global and Regional Legal & Compliance Conferences - Rethinking Leadership - Leadership Program for Manager - Compliance Office Training Program			

Remarks: * Including shareholding of spouse and minor children

** Family Relationship by blood, marriage or registration under the laws