

**Attachment 5**  
**Others Attachment**

### 5.1 Underlying company information of the remaining DWs and History of DW issued by the Company for the past 2 years (2015 - 2016)

#### Underlying company information of the remaining DWs

Underlying company information of the remaining DWs (from Underlying company's website as of 31<sup>st</sup> December 2016) are as follows:

No	Stocks	Company's name	Website
1	AAV	ASIA AVIATION PUBLIC COMPANY LIMITED	<a href="http://www.aavplc.com">http://www.aavplc.com</a>
2	ADVANC	ADVANCED INFO SERVICE PUBLIC COMPANY LIMITED	<a href="http://investor.ais.co.th">http://investor.ais.co.th</a>
3	AOT	AIRPORTS OF THAILAND PUBLIC COMPANY LIMITED	<a href="http://www.airportthai.co.th">www.airportthai.co.th</a>
4	BA	BANGKOK AIRWAYS PUBLIC COMPANY LIMITED	<a href="http://www.bangkokair.com">http://www.bangkokair.com</a>
5	BANPU	BANPU PUBLIC COMPANY LIMITED	<a href="http://www.banpu.com">http://www.banpu.com</a>
6	BBL	BANGKOK BANK PUBLIC COMPANY LIMITED	<a href="http://www.bangkokbank.com">www.bangkokbank.com</a>
7	BCH	BANGKOK CHAIN HOSPITAL PUBLIC COMPANY LIMITED	<a href="http://www.bangkokchainhospital.com">www.bangkokchainhospital.com</a>
8	BDMS	BANGKOK DUSIT MEDICAL SERVICES PUBLIC COMPANY LIMITED	<a href="http://www.bangkokhospital.com">www.bangkokhospital.com</a>
9	BEAUTY	BEAUTY COMMUNITY PUBLIC COMPANY LIMITED	<a href="http://www.beautycommunity.co.th">www.beautycommunity.co.th</a>
10	BEM	BANGKOK EXPRESSWAY AND METRO PUBLIC COMPANY LIMITED	<a href="http://www.bemplc.co.th">www.bemplc.co.th</a>
11	BTS	BTS GROUP HOLDINGS PUBLIC COMPANY LIMITED	<a href="http://www.btsgroup.co.th">http://www.btsgroup.co.th</a>
12	CBG	CARABAO GROUP PUBLIC COMPANY LIMITED	<a href="http://www.carabaogroup.com">www.carabaogroup.com</a>
13	CHG	CHULARAT HOSPITAL PUBLIC COMPANY LIMITED	<a href="http://www.chularat.com">www.chularat.com</a>
14	CK	CH. KARNCHANG PUBLIC COMPANY LIMITED	<a href="http://www.ch-karnchang.co.th">http://www.ch-karnchang.co.th</a>
15	CKP	CK POWER PUBLIC COMPANY LIMITED	<a href="http://www.ckpower.co.th">www.ckpower.co.th</a>
16	CPALL	CP ALL PUBLIC COMPANY LIMITED	<a href="http://www.cpall.co.th">www.cpall.co.th</a>
17	CPF	CHAROEN POKPHAND FOODS PUBLIC COMPANY LIMITED	<a href="http://www.cpfworldwide.com">www.cpfworldwide.com</a>
18	DTAC	TOTAL ACCESS COMMUNICATION PUBLIC COMPANY LIMITED	<a href="http://www.dtac.co.th">www.dtac.co.th</a>
19	EPG	EASTERN POLYMER GROUP PUBLIC COMPANY LIMITED	<a href="http://www.epg.co.th">www.epg.co.th</a>
20	ERW	THE ERAWAN GROUP PUBLIC COMPANY LIMITED	<a href="http://www.theerawan.com">http://www.theerawan.com</a>
21	GL	GROUP LEASE PUBLIC COMPANY LIMITED	<a href="http://www.grouplease.co.th">www.grouplease.co.th</a>
22	GPSC	GLOBAL POWER SYNERGY PUBLIC COMPANY LIMITED	<a href="http://www.gpscgroup.com">http://www.gpscgroup.com</a>
23	GUNKUL	GUNKUL ENGINEERING PUBLIC COMPANY LIMITED	<a href="http://www.gunkul.com">http://www.gunkul.com</a>
24	IFEC	INTER FAR EAST ENERGY CORPORATION PUBLIC COMPANY LIMITED	<a href="http://www.ifec.co.th">www.ifec.co.th</a>
25	INTUCH	INTOUCH HOLDINGS PUBLIC COMPANY LIMITED	<a href="http://www.intouchcompany.com">www.intouchcompany.com</a>
26	IRPC	IRPC PUBLIC COMPANY LIMITED	<a href="http://www.irpc.co.th">www.irpc.co.th</a>
27	ITD	ITALIAN-THAI DEVELOPMENT PUBLIC COMPANY LIMITED	<a href="http://www.itd.co.th">http://www.itd.co.th</a>
28	IVL	INDORAMA VENTURES PUBLIC COMPANY LIMITED	<a href="http://www.indoramaventures.com">www.indoramaventures.com</a>
29	JAS	JASMINE INTERNATIONAL PUBLIC COMPANY LIMITED	<a href="http://www.jasmine.com">http://www.jasmine.com</a>
30	KBANK	KASIKORNBANK PUBLIC COMPANY LIMITED	<a href="http://www.kasikornbank.com">www.kasikornbank.com</a>

**Underlying company information of the remaining DWs (Continue)**

No	Stocks	Company's name	Website
31	KCE	KCE ELECTRONICS PUBLIC COMPANY LIMITED	<a href="http://www.kcethai.in.th">www.kcethai.in.th</a>
32	KTB	KRUNG THAI BANK PUBLIC COMPANY LIMITED	<a href="http://www.ktb.co.th">http://www.ktb.co.th</a>
33	KTC	KRUNGTHAI CARD PUBLIC COMPANY LIMITED	<a href="http://www.ktc.co.th">www.ktc.co.th</a>
34	MINT	MINOR INTERNATIONAL PUBLIC COMPANY LIMITED	<a href="http://www.minorinternational.com">www.minorinternational.com</a>
35	MTLS	MUANGTHAI LEASING PUBLIC COMPANY LIMITED	<a href="http://www.muangthaileasing.co.th">www.muangthaileasing.co.th</a>
36	PLAT	THE PLATINUM GROUP PUBLIC COMPANY LIMITED	<a href="http://theplatinumgroup.co.th">http://theplatinumgroup.co.th</a>
37	PTG	PTG ENERGY PUBLIC COMPANY LIMITED	<a href="http://www.ptgenergy.co.th">www.ptgenergy.co.th</a>
38	PTT	PTT PUBLIC COMPANY LIMITED	<a href="http://www.pttplc.com">http://www.pttplc.com</a>
39	PTTEP	PTT EXPLORATION AND PRODUCTION PUBLIC COMPANY LIMITED	<a href="http://www.pttep.com">www.pttep.com</a>
40	PTTGC	PTT GLOBAL CHEMICAL PUBLIC COMPANY LIMITED	<a href="http://www.pttgcgroup.com">www.pttgcgroup.com</a>
41	SAWAD	SRISAWAD POWER 1979 PUBLIC COMPANY LIMITED	<a href="http://www.meebaanmeerod.com">http://www.meebaanmeerod.com</a>
42	SCB	THE SIAM COMMERCIAL BANK PUBLIC COMPANY LIMITED	<a href="http://www.scb.co.th">www.scb.co.th</a>
43	SCC	THE SIAM CEMENT PUBLIC COMPANY LIMITED	<a href="http://www.scg.co.th">www.scg.co.th</a>
44	STEC	SINO-THAI ENGINEERING AND CONSTRUCTION PUBLIC CO.,LTD.	<a href="http://www.stecon.co.th">www.stecon.co.th</a>
45	STPI	STP&I PUBLIC COMPANY LIMITED	<a href="http://www.stpi.co.th">www.stpi.co.th</a>
46	TASCO	TIPCO ASPHALT PUBLIC COMPANY LIMITED	<a href="http://www.tipcoasphalt.com">http://www.tipcoasphalt.com</a>
47	THAI	THAI AIRWAYS INTERNATIONAL PUBLIC COMPANY LIMITED	<a href="http://www.thaiairways.com">http://www.thaiairways.com</a>
48	THCOM	THAICOM PUBLIC COMPANY LIMITED	<a href="http://www.thaicom.net">www.thaicom.net</a>
49	TISCO	TISCO FINANCIAL GROUP PUBLIC COMPANY LIMITED	<a href="http://www.tisco.co.th">http://www.tisco.co.th</a>
50	TOP	THAI OIL PUBLIC COMPANY LIMITED	<a href="http://www.thaioilgroup.com">www.thaioilgroup.com</a>
51	TPIPL	TPI POLENE PUBLIC COMPANY LIMITED	<a href="http://www.tpipolene.co.th">http://www.tpipolene.co.th</a>
52	TRUE	TRUE CORPORATION PUBLIC COMPANY LIMITED	<a href="http://www.truecorp.co.th">http://www.truecorp.co.th</a>
53	TTA	THORESEN THAI AGENCIES PUBLIC COMPANY LIMITED	<a href="http://www.thoresen.com">http://www.thoresen.com</a>
54	UNIQ	UNIQUE ENGINEERING AND CONSTRUCTION PUBLIC COMPANY LIMITED	<a href="http://www.unique.co.th">http://www.unique.co.th</a>
55	UV	UNIVENTURES PUBLIC COMPANY LIMITED	<a href="http://www.univentures.co.th">www.univentures.co.th</a>
56	VGI	VGI GLOBAL MEDIA PUBLIC COMPANY LIMITED	<a href="http://www.vgi.co.th">www.vgi.co.th</a>
57	VNG	VANACHAI GROUP PUBLIC COMPANY LIMITED	<a href="http://www.vanachai.com">www.vanachai.com</a>
58	WHA	WHA CORPORATION PUBLIC COMPANY LIMITED	<a href="http://www.wha.co.th">www.wha.co.th</a>
59	WORK	WORKPOINT ENTERTAINMENT PUBLIC COMPANY LIMITED	<a href="http://www.workpoint.co.th">http://www.workpoint.co.th</a>

**History of DW issued by the Company for the past 2 years (2015-2016)**

No.	Name of DW	First Trading Date	Maturity Date
1	BMCL13C1506A	Jan 08, 2015	Jun 16, 2015
2	PTT13P1504A	Jan 09, 2015	Apr 20, 2015
3	STEC13C1505A	Jan 09, 2015	May 27, 2015
4	TRUE13P1505A	Jan 09, 2015	May 27, 2015
5	BBL13C1506A	Jan 09, 2015	Jun 10, 2015
6	JAS13P1505A	Jan 12, 2015	Jun 04, 2015
7	BDMS13C1506A	Jan 12, 2015	Jun 09, 2015
8	THAI13C1506A	Jan 12, 2015	Jun 10, 2015
9	SCC13C1507A	Jan 12, 2015	Jul 15, 2015
10	INTU13P1506A	Jan 13, 2015	Jun 10, 2015
11	KBAN13P1506A	Jan 13, 2015	Jul 02, 2015
12	KTB13C1506A	Jan 13, 2015	Jul 02, 2015
13	BANP13C1507A	Jan 13, 2015	Jul 15, 2015
14	IVL13C1506A	Jan 14, 2015	Jun 12, 2015
15	PTTG13C1506A	Jan 14, 2015	Jun 12, 2015
16	IRPC13C1507A	Jan 14, 2015	Jul 15, 2015
17	KBAN13C1507A	Jan 14, 2015	Jul 15, 2015
18	JAS13C1509A	Jan 15, 2015	Sep 08, 2015
19	BMCL13C1504A	Jan 26, 2015	Apr 09, 2015
20	PTT13C1506A	Jan 26, 2015	Jul 03, 2015
21	ITD13C1506A	Jan 28, 2015	Jun 11, 2015
22	TRUE13C1506A	Jan 29, 2015	Jul 03, 2015
23	TPIP13C1507A	Feb 02, 2015	Jul 16, 2015
24	SGP13C1507A	Feb 04, 2015	Jul 16, 2015
25	TMB13C1507A	Feb 06, 2015	Jul 16, 2015
26	BLAN13C1507A	Feb 06, 2015	Jul 24, 2015
27	DTAC13C1507A	Feb 06, 2015	Jul 24, 2015
28	VGI13C1507A	Feb 06, 2015	Jul 24, 2015
29	SCB13C1506A	Feb 09, 2015	Jun 09, 2015
30	PTT13C1507A	Feb 09, 2015	Jul 09, 2015
31	BBL13P1507A	Feb 09, 2015	Jul 29, 2015
32	SCB13P1507A	Feb 09, 2015	Jul 29, 2015
33	TTA13P1507A	Feb 09, 2015	Jul 29, 2015
34	CPF13C1507A	Feb 12, 2015	Aug 05, 2015
35	EART13C1507A	Feb 12, 2015	Aug 05, 2015
36	TPIP13C1506A	Feb 13, 2015	Jul 03, 2015
37	IRPC13C1508A	Feb 17, 2015	Aug 11, 2015
38	IVL13C1508A	Feb 17, 2015	Aug 11, 2015
39	S5013C1506A	Feb 19, 2015	Jul 06, 2015
40	S5013P1506A	Feb 19, 2015	Jul 06, 2015
41	THCO13C1508A	Feb 26, 2015	Aug 26, 2015
42	LH13C1511A	Feb 26, 2015	Nov 26, 2015
43	ADVA13C1508A	Feb 27, 2015	Aug 10, 2015
44	AOT13C1508A	Feb 27, 2015	Aug 26, 2015
45	TPIP13C1509A	Feb 27, 2015	Sep 10, 2015
46	INTU13C1510A	Feb 27, 2015	Oct 12, 2015
47	IVL13P1511A	Feb 27, 2015	Nov 26, 2015
48	PTT13P1508A	Mar 11, 2015	Aug 11, 2015

No.	Name of DW	First Trading Date	Maturity Date
49	TRUE13P1508A	Mar 11, 2015	Aug 20, 2015
50	CK13C1509A	Mar 12, 2015	Sep 22, 2015
51	AAV13C1510A	Mar 17, 2015	Oct 15, 2015
52	S5013C1506B	Mar 20, 2015	Jul 06, 2015
53	S5013P1506B	Mar 20, 2015	Jul 06, 2015
54	ITD13C1507A	Mar 20, 2015	Jul 09, 2015
55	TPIP13C1508A	Mar 27, 2015	Aug 26, 2015
56	ADVA13P1510A	Mar 27, 2015	Oct 20, 2015
57	BTS13C1510A	Mar 27, 2015	Oct 20, 2015
58	PTTE13C1510A	Mar 27, 2015	Oct 20, 2015
59	SAMA13C1510A	Mar 27, 2015	Oct 20, 2015
60	CPF13C1511A	Mar 27, 2015	Nov 26, 2015
61	CK13C1508A	Mar 30, 2015	Aug 11, 2015
62	TTA13C1509A	Mar 30, 2015	Sep 15, 2015
63	IRPC13P1509A	Mar 31, 2015	Sep 18, 2015
64	ITD13P1509A	Mar 31, 2015	Sep 18, 2015
65	BANP13P1510A	Mar 31, 2015	Oct 20, 2015
66	SCC13P1510A	Mar 31, 2015	Oct 20, 2015
67	STEC13C1510A	Mar 31, 2015	Oct 20, 2015
68	TRUE13C1509A	Apr 27, 2015	Sep 30, 2015
69	BMCL13C1508A	Apr 28, 2015	Aug 18, 2015
70	PTT13C1509A	Apr 28, 2015	Sep 23, 2015
71	KTC13C1510A	Apr 28, 2015	Oct 13, 2015
72	TTCL13C1510A	Apr 28, 2015	Oct 14, 2015
73	TOP13C1510A	Apr 28, 2015	Nov 05, 2015
74	HEMR13C1512A	Apr 28, 2015	Dec 08, 2015
75	SGP13C1512A	Apr 28, 2015	Dec 14, 2015
76	PTT13P1509A	Apr 29, 2015	Sep 14, 2015
77	SCB13C1509A	Apr 29, 2015	Sep 14, 2015
78	TRUE13P1509A	Apr 29, 2015	Sep 30, 2015
79	SAWA13C1510A	Apr 29, 2015	Oct 09, 2015
80	BBL13C1510A	Apr 29, 2015	Oct 14, 2015
81	KTB13C1511A	Apr 29, 2015	Nov 18, 2015
82	STPI13C1510A	May 06, 2015	Oct 14, 2015
83	PTTG13C1510A	May 06, 2015	Oct 26, 2015
84	SCC13C1510A	May 06, 2015	Oct 26, 2015
85	CPAL13C1512A	May 06, 2015	Dec 14, 2015
86	ITD13C1510A	May 07, 2015	Oct 08, 2015
87	PTTE13P1511A	May 07, 2015	Nov 11, 2015
88	BCP13C1511A	May 07, 2015	Nov 18, 2015
89	MINT13C1512A	May 07, 2015	Dec 22, 2015
90	TPIP13C1510A	May 08, 2015	Oct 08, 2015
91	JAS13P1511A	May 08, 2015	Nov 11, 2015
92	AAV13C1512A	May 08, 2015	Dec 23, 2015
93	BDMS13C1512A	May 08, 2015	Dec 23, 2015
94	KBAN13C1511A	May 11, 2015	Dec 04, 2015
95	BJCH13C1512A	May 11, 2015	Dec 23, 2015
96	IRPC13C1510A	May 13, 2015	Oct 13, 2015
97	IVL13C1510A	May 13, 2015	Oct 13, 2015
98	JAS13C1510A	May 13, 2015	Oct 13, 2015

No.	Name of DW	First Trading Date	Maturity Date
99	TMB13C1511A	May 13, 2015	Nov 23, 2015
100	TPIP13P1510A	May 14, 2015	Oct 08, 2015
101	TRUE13C1510A	May 14, 2015	Nov 05, 2015
102	TTA13C1511A	May 15, 2015	Dec 02, 2015
103	SAMA13C1512A	May 15, 2015	Dec 22, 2015
104	THAI13C1512A	May 15, 2015	Dec 22, 2015
105	VGI13C1512A	May 15, 2015	Dec 22, 2015
106	JAS13C1511A	May 18, 2015	Nov 11, 2015
107	INTU13P1601A	May 19, 2015	Jan 19, 2016
108	KBAN13P1601A	May 19, 2015	Jan 19, 2016
109	BBL13P1511A	May 20, 2015	Nov 24, 2015
110	SCB13P1601A	May 20, 2015	Jan 14, 2016
111	S5013C1508A	May 27, 2015	Sep 03, 2015
112	S5013P1508A	May 27, 2015	Sep 03, 2015
113	KBAN13C1510A	Jun 03, 2015	Oct 08, 2015
114	STA13C1601A	Jun 03, 2015	Jan 19, 2016
115	AOT13C1512A	Jun 04, 2015	Dec 11, 2015
116	S5013C1508B	Jun 15, 2015	Sep 03, 2015
117	BH13C1511A	Jun 24, 2015	Nov 27, 2015
118	KTB13C1512A	Jun 24, 2015	Dec 18, 2015
119	KTB13P1512A	Jun 24, 2015	Dec 18, 2015
120	BANP13C1512A	Jun 30, 2015	Dec 21, 2015
121	CK13C1512A	Jun 30, 2015	Dec 25, 2015
122	BAY13C1606A	Jun 30, 2015	Jun 30, 2016
123	BCH13C1606A	Jun 30, 2015	Jun 30, 2016
124	BJC13C1606A	Jun 30, 2015	Jun 30, 2016
125	MEGA13C1606A	Jun 30, 2015	Jun 30, 2016
126	SIM13C1606A	Jun 30, 2015	Jun 30, 2016
127	STA13C1606A	Jun 30, 2015	Jun 30, 2016
128	S5013C1509A	Jul 06, 2015	Oct 05, 2015
129	S5013P1509A	Jul 06, 2015	Oct 05, 2015
130	TPIP13P1512A	Jul 08, 2015	Dec 18, 2015
131	ADVA13C1601A	Jul 08, 2015	Jan 22, 2016
132	DTAC13C1601A	Jul 08, 2015	Jan 22, 2016
133	IVL13C1601A	Jul 08, 2015	Jan 22, 2016
134	SCB13C1601A	Jul 08, 2015	Jan 22, 2016
135	BA13C1602A	Jul 09, 2015	Feb 17, 2016
136	CBG13C1602A	Jul 09, 2015	Feb 17, 2016
137	TPIP13C1512A	Jul 21, 2015	Dec 11, 2015
138	SAMA13C1601A	Jul 27, 2015	Jan 22, 2016
139	PTTE13C1512A	Jul 28, 2015	Dec 14, 2015
140	PTT13C1512A	Jul 28, 2015	Dec 21, 2015
141	BBL13C1601A	Jul 28, 2015	Jan 28, 2016
142	KBAN13P1602A	Jul 28, 2015	Feb 17, 2016
143	S5013C1509B	Jul 31, 2015	Oct 05, 2015
144	S5013P1509B	Jul 31, 2015	Oct 05, 2015
145	TPIP13C1511A	Aug 03, 2015	Dec 03, 2015
146	ITD13C1601A	Aug 03, 2015	Jan 22, 2016
147	KBAN13C1512A	Aug 05, 2015	Dec 11, 2015
148	IRPC13C1601A	Aug 05, 2015	Jan 15, 2016

No.	Name of DW	First Trading Date	Maturity Date
149	TOP13C1601A	Aug 05, 2015	Jan 15, 2016
150	BH13C1602A	Aug 14, 2015	Feb 16, 2016
151	DTAC13C1512A	Aug 17, 2015	Dec 25, 2015
152	TRUE13C1601A	Aug 17, 2015	Jan 28, 2016
153	S5013C1510A	Aug 18, 2015	Nov 04, 2015
154	PTT13P1601A	Aug 18, 2015	Jan 21, 2016
155	IRPC13P1602A	Aug 18, 2015	Feb 26, 2016
156	ITD13P1602A	Aug 18, 2015	Feb 26, 2016
157	PTT13C1511A	Aug 24, 2015	Nov 24, 2015
158	CENT13C1601A	Aug 25, 2015	Jan 21, 2016
159	S5013P1510A	Aug 27, 2015	Nov 04, 2015
160	PTTE13P1512A	Aug 28, 2015	Dec 25, 2015
161	IVL13C1512A	Aug 31, 2015	Dec 25, 2015
162	PTT13P1512A	Aug 31, 2015	Dec 25, 2015
163	TRUE13C1602A	Aug 31, 2015	Feb 19, 2016
164	PTTE13C1601A	Sep 01, 2015	Jan 15, 2016
165	BANP13C1602A	Sep 01, 2015	Feb 24, 2016
166	PTTG13C1602A	Sep 01, 2015	Feb 24, 2016
167	BH13P1602A	Sep 03, 2015	Feb 16, 2016
168	IRPC13C1602A	Sep 03, 2015	Feb 17, 2016
169	CPF13C1603A	Sep 03, 2015	Mar 16, 2016
170	INTU13C1603A	Sep 03, 2015	Mar 16, 2016
171	SCC13C1603A	Sep 03, 2015	Mar 25, 2016
172	S5013C1510B	Sep 04, 2015	Nov 04, 2015
173	S5013P1510B	Sep 04, 2015	Nov 04, 2015
174	PTTG13C1601A	Sep 04, 2015	Jan 20, 2016
175	CKP13C1603A	Sep 07, 2015	Mar 29, 2016
176	IVL13C1602A	Sep 09, 2015	Feb 16, 2016
177	PS13C1603A	Sep 14, 2015	Mar 22, 2016
178	JAS13C1602A	Sep 15, 2015	Feb 08, 2016
179	TTA13C1602A	Sep 17, 2015	Feb 23, 2016
180	STEC13C1603A	Sep 17, 2015	Mar 22, 2016
181	S5013C1511A	Sep 23, 2015	Dec 03, 2015
182	S5013P1511A	Sep 23, 2015	Dec 03, 2015
183	TRUE13P1602A	Sep 24, 2015	Feb 19, 2016
184	QH13C1603A	Sep 28, 2015	Mar 30, 2016
185	S5013C1511B	Oct 01, 2015	Dec 03, 2015
186	S5013P1511B	Oct 01, 2015	Dec 03, 2015
187	EART13C1603A	Oct 01, 2015	Mar 30, 2016
188	S5013P1511C	Oct 02, 2015	Dec 03, 2015
189	DTAC13C1603A	Oct 08, 2015	Mar 11, 2016
190	KTB13C1603A	Oct 08, 2015	Mar 11, 2016
191	PTT13C1603A	Oct 08, 2015	Mar 11, 2016
192	TPIP13C1603A	Oct 08, 2015	Mar 11, 2016
193	KBAN13C1602A	Oct 09, 2015	Feb 17, 2016
194	ADVA13P1603A	Oct 09, 2015	Mar 11, 2016
195	AOT13C1603A	Oct 09, 2015	Mar 11, 2016
196	SCC13P1603A	Oct 09, 2015	Mar 25, 2016
197	BBL13P1603A	Oct 12, 2015	Mar 15, 2016
198	KTC13C1603A	Oct 12, 2015	Mar 15, 2016

No.	Name of DW	First Trading Date	Maturity Date
199	SCB13P1603A	Oct 12, 2015	Mar 15, 2016
200	S5013C1512A	Oct 13, 2015	Jan 06, 2016
201	S5013P1512A	Oct 13, 2015	Jan 06, 2016
202	BANP13C1604A	Oct 20, 2015	Apr 11, 2016
203	TPIP13C1604A	Oct 20, 2015	Apr 11, 2016
204	S5013C1512B	Oct 22, 2015	Jan 06, 2016
205	S5013P1512B	Oct 22, 2015	Jan 06, 2016
206	GUNK13C1604A	Oct 29, 2015	Apr 28, 2016
207	S5013C1512C	Nov 04, 2015	Jan 06, 2016
208	PTT13C1604A	Nov 10, 2015	Apr 08, 2016
209	CK13C1605A	Nov 10, 2015	May 30, 2016
210	SAWA13C1605A	Nov 10, 2015	May 30, 2016
211	TMB13C1605A	Nov 10, 2015	May 30, 2016
212	PTTE13P1604A	Nov 12, 2015	Apr 12, 2016
213	KTB13P1605A	Nov 12, 2015	May 31, 2016
214	SCB13C1605A	Nov 12, 2015	May 31, 2016
215	CPAL13C1606A	Nov 12, 2015	Jun 30, 2016
216	ADVA13C1605A	Nov 18, 2015	May 31, 2016
217	JAS13C1605A	Nov 18, 2015	May 31, 2016
218	TOP13C1606A	Nov 18, 2015	Jun 20, 2016
219	WHA13C1606A	Nov 18, 2015	Jun 20, 2016
220	S5013C1601A	Nov 23, 2015	Feb 03, 2016
221	S5013P1601A	Nov 23, 2015	Feb 03, 2016
222	MINT13C1606A	Nov 23, 2015	Jun 29, 2016
223	PTT13P1606A	Nov 24, 2015	Jun 07, 2016
224	DTAC13P1605A	Nov 27, 2015	May 31, 2016
225	TRUE13C1605A	Dec 03, 2015	May 30, 2016
226	JAS13C1606A	Dec 03, 2015	Jun 29, 2016
227	DTAC13C1605A	Dec 04, 2015	May 31, 2016
228	INTU13C1605A	Dec 04, 2015	May 31, 2016
229	PTTE13C1605A	Dec 04, 2015	May 31, 2016
230	SAMA13C1605A	Dec 09, 2015	May 31, 2016
231	S5013C1602A	Dec 14, 2015	Mar 03, 2016
232	S5013P1602A	Dec 14, 2015	Mar 03, 2016
233	TPIP13C1606A	Dec 17, 2015	Jun 17, 2016
234	ITD13C1606A	Dec 17, 2015	Jun 28, 2016
235	S5013C1603A	Dec 28, 2015	Apr 05, 2016
236	S5013P1603A	Dec 28, 2015	Apr 05, 2016
237	DTAC13P1606A	Jan 06, 2016	Jun 13, 2016
238	DTAC13C1606A	Jan 06, 2016	Jun 13, 2016
239	ADVA13P1606A	Jan 06, 2016	Jun 13, 2016
240	ADVA13C1606A	Jan 06, 2016	Jun 13, 2016
241	TRUE13P1606A	Jan 07, 2016	Jun 13, 2016
242	TRUE13C1606A	Jan 07, 2016	Jun 13, 2016
243	PTT13P1607A	Jan 08, 2016	Jul 11, 2016
244	PTT13C1607A	Jan 08, 2016	Jul 11, 2016
245	EPG13C1606A	Jan 08, 2016	Jun 21, 2016
246	KBAN13C1606A	Jan 13, 2016	Jun 28, 2016
247	BBL13C1606A	Jan 13, 2016	Jun 28, 2016
248	BANP13C1609A	Jan 13, 2016	Oct 06, 2016



No.	Name of DW	First Trading Date	Maturity Date
249	INTU13P1606A	Jan 14, 2016	Jun 09, 2016
250	INTU13C1606A	Jan 14, 2016	Jun 09, 2016
251	ADVA13C1605B	Jan 14, 2016	May 18, 2016
252	S5013C1603C	Jan 15, 2016	Apr 05, 2016
253	S5013P1603B	Jan 15, 2016	Apr 05, 2016
254	S5013C1603B	Jan 15, 2016	Apr 05, 2016
255	PTTG13C1606A	Jan 18, 2016	Jun 16, 2016
256	IVL13C1606A	Jan 18, 2016	Jun 16, 2016
257	TASC13C1607A	Jan 19, 2016	Jul 21, 2016
258	SCC13C1607A	Jan 29, 2016	Jul 21, 2016
259	JAS13C1607A	Jan 29, 2016	Jul 21, 2016
260	CHG13C1608A	Jan 29, 2016	Aug 08, 2016
261	KTC13C1608A	Feb 09, 2016	Aug 22, 2016
262	IRPC13C1608A	Feb 09, 2016	Aug 22, 2016
263	CPF13C1608A	Feb 09, 2016	Aug 24, 2016
264	AOT13C1608A	Feb 09, 2016	Aug 24, 2016
265	S5013P1606B	Feb 12, 2016	Jul 06, 2016
266	S5013C1606B	Feb 12, 2016	Jul 06, 2016
267	S5013P1606A	Feb 12, 2016	Jul 06, 2016
268	S5013C1606A	Feb 12, 2016	Jul 06, 2016
269	CKP13C1609A	Feb 15, 2016	Sep 22, 2016
270	KBAN13P1607A	Feb 23, 2016	Jul 27, 2016
271	ITD13P1607A	Feb 23, 2016	Jul 27, 2016
272	AAV13C1607A	Feb 23, 2016	Jul 27, 2016
273	AOT13P1608A	Feb 26, 2016	Aug 11, 2016
274	THAI13C1609A	Feb 26, 2016	Sep 22, 2016
275	CK13C1608A	Feb 26, 2016	Aug 31, 2016
276	TASC13C1608A	Mar 03, 2016	Aug 31, 2016
277	TRUE13C1608A	Mar 04, 2016	Aug 24, 2016
278	KTB13C1610A	Mar 04, 2016	Oct 26, 2016
279	ITD13C1609A	Mar 04, 2016	Sep 28, 2016
280	RS13C1609A	Mar 07, 2016	Sep 28, 2016
281	S5013P1606C	Mar 08, 2016	Jul 06, 2016
282	S5013C1606C	Mar 08, 2016	Jul 06, 2016
283	DTAC13C1609A	Mar 11, 2016	Sep 15, 2016
284	PTTE13C1608A	Mar 14, 2016	Aug 24, 2016
285	GUNK13C1608A	Mar 16, 2016	Aug 24, 2016
286	SCC13C1610A	Mar 21, 2016	Oct 19, 2016
287	PTTE13P1608A	Mar 28, 2016	Aug 24, 2016
288	PTT13C1608A	Mar 29, 2016	Aug 29, 2016
289	ADVA13C1610A	Mar 29, 2016	Oct 27, 2016
290	S5013P1606D	Apr 05, 2016	Jul 06, 2016
291	S5013C1606D	Apr 05, 2016	Jul 06, 2016
292	STEC13C1610A	Apr 07, 2016	Oct 27, 2016
293	CK13C1610A	Apr 07, 2016	Oct 27, 2016
294	ADVA13P1610A	Apr 08, 2016	Oct 27, 2016
295	BDMS13C1610A	Apr 08, 2016	Oct 27, 2016
296	TTA13C1610A	Apr 20, 2016	Nov 02, 2016
297	JAS13C1610A	Apr 20, 2016	Nov 02, 2016
298	IVL13C1612A	Apr 29, 2016	Dec 22, 2016

No.	Name of DW	First Trading Date	Maturity Date
299	GPSC13C1612A	Apr 29, 2016	Dec 22, 2016
300	BANP13C1612A	Apr 29, 2016	Dec 22, 2016
301	PTT13P1612A	May 03, 2016	Dec 28, 2016
302	TASC13C1612A	May 03, 2016	Dec 28, 2016
303	IRPC13C1612A	May 03, 2016	Dec 28, 2016
304	INTU13C1612A	May 03, 2016	Dec 28, 2016
305	SCB13C1612A	May 09, 2016	Dec 28, 2016
306	PTTE13C1610A	May 09, 2016	Oct 19, 2016
307	GL13C1612A	May 09, 2016	Dec 28, 2016
308	S5013P1609A	May 10, 2016	Oct 05, 2016
309	S5013C1609A	May 10, 2016	Oct 05, 2016
310	PTT13P1611A	May 16, 2016	Dec 07, 2016
311	BBL13P1611A	May 16, 2016	Dec 07, 2016
312	ADVA13P1611A	May 16, 2016	Dec 07, 2016
313	TOP13C1611A	May 16, 2016	Dec 07, 2016
314	SCC13C1611A	May 16, 2016	Dec 07, 2016
315	PTT13C1611A	May 16, 2016	Dec 07, 2016
316	KBAN13C1611A	May 16, 2016	Dec 07, 2016
317	ITD13C1611A	May 16, 2016	Dec 07, 2016
318	INTU13C1611A	May 16, 2016	Dec 07, 2016
319	BBL13C1611A	May 16, 2016	Dec 07, 2016
320	BANP13C1611A	May 16, 2016	Dec 07, 2016
321	AOT13C1611A	May 16, 2016	Dec 07, 2016
322	ADVA13C1611A	May 16, 2016	Dec 07, 2016
323	S5013P1609B	May 18, 2016	Oct 05, 2016
324	S5013C1609B	May 18, 2016	Oct 05, 2016
325	CPF13C1612A	May 18, 2016	Dec 28, 2016
326	TRUE13P1612A	May 30, 2016	Dec 21, 2016
327	TIPI13C1612A	May 30, 2016	Dec 21, 2016
328	PTTG13C1611A	May 30, 2016	Dec 07, 2016
329	PTTE13P1611A	May 31, 2016	Dec 07, 2016
330	PTTE13C1611A	May 31, 2016	Dec 07, 2016
331	THAI13C1612A	Jun 01, 2016	Dec 09, 2016
332	S5013P1609C	Jun 06, 2016	Oct 05, 2016
333	S5013C1609C	Jun 06, 2016	Oct 05, 2016
334	WHA13C1612A	Jun 06, 2016	Dec 22, 2016
335	TRUE13C1612A	Jun 03, 2016	Dec 21, 2016
336	DTAC13C1612A	Jun 03, 2016	Dec 21, 2016
337	GL13C1701A	Jun 09, 2016	Jan 18, 2017
338	VNG13C1701A	Jun 10, 2016	Jan 18, 2017
339	JAS13C1612A	Jun 16, 2016	Dec 22, 2016
340	EPG13C1612A	Jun 21, 2016	Dec 23, 2016
341	CPAL13C1611A	Jun 21, 2016	Dec 07, 2016
342	BANP13P1612A	Jun 23, 2016	Dec 22, 2016
343	THCO13C1701A	Jun 23, 2016	Jan 18, 2017
344	SCB13P1611A	Jun 24, 2016	Dec 07, 2016
345	KBAN13P1611A	Jun 24, 2016	Dec 07, 2016
346	MINT13C1701A	Jun 24, 2016	Jan 18, 2017
347	AAV13C1612A	Jun 24, 2016	Dec 22, 2016
348	THAI13C1701A	Jun 29, 2016	Jan 18, 2017

No.	Name of DW	First Trading Date	Maturity Date
349	UV13C1706A	Jun 30, 2016	Jun 28, 2017
350	PLAT13C1706A	Jun 30, 2016	Jun 28, 2017
351	JAS13C1709A	Jun 30, 2016	Sep 19, 2017
352	JAS13C1707A	Jun 30, 2016	Jul 20, 2017
353	JAS13C1706A	Jun 30, 2016	Jun 28, 2017
354	S5013P1609D	Jul 04, 2016	Oct 05, 2016
355	S5013C1609D	Jul 04, 2016	Oct 05, 2016
356	ITD13C1701A	Jul 05, 2016	Jan 26, 2017
357	BANP13C1701A	Jul 05, 2016	Jan 26, 2017
358	KTC13C1701A	Jul 07, 2016	Jan 26, 2017
359	ADVA13C1701A	Jul 07, 2016	Jan 18, 2017
360	THAI13C1702A	Jul 07, 2016	Feb 09, 2017
361	BEM13C1701A	Jul 07, 2016	Jan 26, 2017
362	TTA13C1701A	Jul 12, 2016	Jan 23, 2017
363	CKP13C1701A	Jul 12, 2016	Jan 23, 2017
364	BCH13C1701A	Jul 12, 2016	Jan 23, 2017
365	S5013P1609E	Jul 14, 2016	Oct 05, 2016
366	S5013C1609E	Jul 14, 2016	Oct 05, 2016
367	TRUE13C1701A	Jul 15, 2016	Jan 23, 2017
368	DTAC13P1612A	Jul 15, 2016	Dec 21, 2016
369	STEC13C1701A	Jul 20, 2016	Jan 25, 2017
370	SAWA13C1701A	Jul 20, 2016	Jan 25, 2017
371	DTAC13C1701A	Jul 20, 2016	Jan 25, 2017
372	TRUE13P1701A	Jul 21, 2016	Jan 23, 2017
373	CHG13C1701A	Jul 21, 2016	Jan 23, 2017
374	PTT13P1701A	Jul 25, 2016	Jan 16, 2017
375	PTT13C1612A	Jul 25, 2016	Dec 28, 2016
376	IRPC13C1701A	Jul 25, 2016	Jan 16, 2017
377	GL13C1702A	Jul 25, 2016	Feb 22, 2017
378	S5013P1611A	Aug 02, 2016	Dec 06, 2016
379	S5013C1611A	Aug 02, 2016	Dec 06, 2016
380	BA13C1701A	Aug 10, 2016	Jan 25, 2017
381	S5013P1612A	Aug 16, 2016	Jan 05, 2017
382	S5013C1612A	Aug 16, 2016	Jan 05, 2017
383	KCE13C1702A	Aug 15, 2016	Feb 22, 2017
384	CBG13C1702A	Aug 15, 2016	Feb 22, 2017
385	AOT13C1701A	Aug 15, 2016	Jan 30, 2017
386	IFEC13C1702A	Aug 18, 2016	Feb 20, 2017
387	BEAU13C1702A	Aug 30, 2016	Feb 20, 2017
388	BA13C1702A	Aug 30, 2016	Feb 09, 2017
389	S5013P1612B	Sep 02, 2016	Jan 05, 2017
390	S5013C1612B	Sep 02, 2016	Jan 05, 2017
391	KTC13C1702A	Sep 06, 2016	Feb 28, 2017
392	CPAL13C1702A	Sep 06, 2016	Feb 28, 2017
393	CBG13C1703A	Sep 06, 2016	Mar 09, 2017
394	S5013P1612C	Sep 19, 2016	Jan 05, 2017
395	S5013C1612C	Sep 19, 2016	Jan 05, 2017
396	CPF13C1705A	Sep 20, 2016	Jun 06, 2017
397	BANP13C1705A	Sep 20, 2016	Jun 06, 2017
398	CK13C1703A	Sep 20, 2016	Mar 23, 2017

No.	Name of DW	First Trading Date	Maturity Date
399	SCC13C1705A	Sep 21, 2016	Jun 06, 2017
400	SCB13C1705A	Sep 21, 2016	Jun 06, 2017
401	PTT13C1705A	Sep 21, 2016	Jun 06, 2017
402	KBAN13C1705A	Sep 21, 2016	Jun 06, 2017
403	TASC13C1703A	Sep 22, 2016	Mar 23, 2017
404	ITD13C1703A	Sep 22, 2016	Mar 23, 2017
405	PTG13C1703A	Sep 22, 2016	Mar 23, 2017
406	CHG13C1703A	Sep 22, 2016	Mar 23, 2017
407	TRUE13C1703A	Sep 30, 2016	Mar 15, 2017
408	PTTE13C1703A	Oct 05, 2016	Mar 15, 2017
409	KTB13C1705A	Oct 05, 2016	Jun 06, 2017
410	BDMS13C1703A	Oct 05, 2016	Mar 15, 2017
411	TTA13C1704A	Oct 14, 2016	May 04, 2017
412	TISC13C1704A	Oct 14, 2016	May 04, 2017
413	S5013P1612D	Oct 17, 2016	Jan 05, 2017
414	S5013C1612D	Oct 17, 2016	Jan 05, 2017
415	S5013P1612E	Oct 19, 2016	Jan 05, 2017
416	BANP13P1704A	Oct 21, 2016	May 04, 2017
417	STEC13C1704A	Oct 21, 2016	May 04, 2017
418	IFEC13C1704A	Oct 21, 2016	May 04, 2017
419	BANP13C1704A	Oct 21, 2016	May 04, 2017
420	ADVA13C1705A	Oct 21, 2016	Jun 06, 2017
421	PTT13P1705A	Oct 27, 2016	Jun 06, 2017
422	WHA13C1704A	Oct 27, 2016	May 04, 2017
423	BEAU13C1704A	Oct 27, 2016	May 04, 2017
424	AOT13C1703A	Nov 03, 2016	Apr 05, 2017
425	S5013P1612F	Nov 04, 2016	Jan 05, 2017
426	S5013C1612E	Nov 04, 2016	Jan 05, 2017
427	IVL13C1704A	Nov 08, 2016	Apr 26, 2017
428	STPI13C1704A	Nov 10, 2016	Apr 26, 2017
429	PTTG13C1705A	Nov 14, 2016	Jun 06, 2017
430	INTU13C1705A	Nov 14, 2016	Jun 06, 2017
431	BBL13C1706A	Nov 14, 2016	Jun 13, 2017
432	SCB13P1705A	Nov 15, 2016	Jun 06, 2017
433	BBL13P1706A	Nov 15, 2016	Jun 13, 2017
434	TOP13C1705A	Nov 15, 2016	Jun 06, 2017
435	PTTE13P1705A	Nov 17, 2016	Jun 06, 2017
436	DTAC13P1704A	Nov 17, 2016	Apr 26, 2017
437	KBAN13P1705A	Nov 16, 2016	Jun 06, 2017
438	ADVA13P1705A	Nov 16, 2016	Jun 06, 2017
439	S5013P1703A	Nov 18, 2016	Apr 05, 2017
440	S5013C1703A	Nov 18, 2016	Apr 05, 2017
441	MTLS13C1704A	Nov 18, 2016	Apr 26, 2017
442	DTAC13C1704A	Nov 18, 2016	Apr 26, 2017
443	VNG13C1706A	Nov 22, 2016	Jun 13, 2017
444	TPIP13C1706A	Nov 22, 2016	Jun 13, 2017
445	TASC13C1706A	Nov 22, 2016	Jun 13, 2017
446	SCC13P1705A	Nov 24, 2016	Jun 06, 2017
447	AOT13P1706A	Nov 24, 2016	Jun 13, 2017
448	ITD13C1706A	Nov 24, 2016	Jun 13, 2017

No.	Name of DW	First Trading Date	Maturity Date
449	S5013P1703B	Nov 25, 2016	Apr 05, 2017
450	S5013C1703B	Nov 25, 2016	Apr 05, 2017
451	SAWA13C1706A	Nov 29, 2016	Jun 20, 2017
452	EPG13C1706A	Nov 29, 2016	Jun 20, 2017
453	AAV13C1706A	Nov 29, 2016	Jun 20, 2017
454	MTLS13C1706A	Nov 30, 2016	Jun 20, 2017
455	THAI13C1704A	Dec 01, 2016	May 04, 2017
456	KCE13C1706A	Dec 01, 2016	Jun 20, 2017
457	GL13C1706A	Dec 01, 2016	Jun 20, 2017
458	STEC13C1706A	Dec 02, 2016	Jun 27, 2017
459	GUNK13C1706A	Dec 02, 2016	Jun 27, 2017
460	GPSC13C1706A	Dec 02, 2016	Jun 27, 2017
461	VGI13C1706A	Dec 09, 2016	Jun 19, 2017
462	BTS13C1706A	Dec 09, 2016	Jun 19, 2017
463	S5013P1703C	Dec 09, 2016	Apr 05, 2017
464	S5013C1703C	Dec 09, 2016	Apr 05, 2017
465	TRUE13P1706A	Dec 14, 2016	Jun 19, 2017
466	IVL13C1705A	Dec 14, 2016	Jun 06, 2017
467	ITD13C1707A	Dec 14, 2016	Jul 11, 2017
468	BEM13C1707A	Dec 16, 2016	Jul 18, 2017
469	BCH13C1707A	Dec 16, 2016	Jul 18, 2017
470	BA13C1707A	Dec 16, 2016	Jul 18, 2017
471	ADVA13C1707A	Dec 16, 2016	Jul 18, 2017
472	PTT13C1707A	Dec 19, 2016	Jul 18, 2017
473	IRPC13C1707A	Dec 19, 2016	Jul 18, 2017
474	CKP13C1707A	Dec 19, 2016	Jul 18, 2017
475	GL13C1707A	Dec 21, 2016	Jul 18, 2017
476	UNIQ13C1706A	Dec 21, 2016	Jun 13, 2017
477	TRUE13C1706A	Dec 27, 2016	Jun 19, 2017
478	THAI13C1706A	Dec 27, 2016	Jun 19, 2017
479	S5013P1703D	Dec 28, 2016	Apr 05, 2017
480	S5013C1703D	Dec 28, 2016	Apr 05, 2017
481	GL13C1710A	Dec 30, 2016	Oct 27, 2017
482	GL13C1709A	Dec 30, 2016	Oct 03, 2017
483	WORK13C1709A	Dec 30, 2016	Oct 03, 2017
484	ERW13C1709A	Dec 30, 2016	Oct 03, 2017

## 5.2 The Company's Branch and subsidiary's office locations

Bangkok Branch	Provincial Branch	
<b>Pin Klao</b> 7/129 Central Pinklao Building, 7 <sup>th</sup> Floor,Room no. 704, Boromrajchonnane Road, Arunammarin Sub-District, Bangkok Noi District, Bangkok 10700 Tel: 0 2084 9090-9 Fax: 0 2884 9019	<b>Hat Yai</b> 29 Lee Garden Plaza, 6 <sup>th</sup> Floor, Room no. 602, Prachathipat Road, Hat Yai Sub-District, Hat Yai District, Songkhla 90110 Tel: 0 7435 2520-39 Fax: 0 7423 7164	<b>Chiang Rai</b> 490/1 Uttrarakit Road, Weang Sub-District, Muang District, Chiang Rai 57000 Tel: 0 5374 0701-7 Fax: 0 5371 3117
<b>Changwattana</b> 99/349 Na-nakorn building, 1 <sup>st</sup> Floor, Changwattana Road, Tongsonghong Sub-District, Laksee District, Bangkok 10210 Tel: 0 2074 7400 Fax: 0 2576 1562	<b>Nakhon Ratchasima</b> 1242/2 The Mall Shopping Center, Nakorn-Ratchasima branch, 3 <sup>rd</sup> Floor, Room A2, Mittapap Road, Naimuang Sub-District, Muang District, Nakhon Ratchasima 30000 Tel: 0 4439 3722 Fax: 0 4439 3723	<b>Phetchaburi</b> 96/1-2, Moo 8, Kirirattaya Road, Thongchai Sub-District, Muang District, Petchaburi 76000 Tel: 0 3241 8371-5 Fax: 0 3241 8375
<b>Thapra</b> 99 The Mall Shopping Complex, 6 <sup>th</sup> Floor, Room no. 1, Ratchadapisek Road (Thapra-Taksin), Bukkkhalow Sub-District, Thonburi District, Bangkok 10600 Tel: 0 2876 4800-6 Fax: 0 2876 4807	<b>Samut Sakhon</b> 923/55 A.T. Tower Building, Ekachai Road, Maha Chai Sub-District, Muang District, Samut Sakhon 74000 Tel: 0 3442 6940 -7 Fax: 0 3482 6375	<b>Udon Thani</b> 26-27 Naraesuan Road, Makkeng Sub-District, Muang District, Udon Thani 41000 Tel: 0 4224 4008, 0 4224 3536, Fax: 0 4224 3960
<b>Thaniya</b> 62, Thaniya Plaza Building , 5 <sup>th</sup> Floor, Room 505, Silom Road, Suriyawong Sub-District, Bangrak District, Bangkok 10500 Tel: 0 2632 9360-9 0 2632 9371-85 Fax: 0 2632 9370	<b>Suphan Buri</b> 28/6-7 Muanhan Road, Thapeeleang Sub-District, Muang District, Suphan Buri 72000 Tel: 0 3552 2530-3 Fax: 0 3550 2875	<b>Nakhon Pathom 2</b> 28/32, 2 <sup>nd</sup> Floor, Yingpao Road, Sanamjan Sub-District, Muang District, Nakhon Pathom 73000 Tel: 0 3427 2307, 0 3427 2519-21 0 3427 2496-7 Fax: 0 3427 2309
<b>Silom Complex</b> 191, Silom Complex Building, 20 <sup>th</sup> Floor, Room no. 3-5,Silom Road, Silom Sub-district, Bangrak District, Bangkok 10500 Tel: 0 2126 6360 Fax: 0 2126 6396-98	<b>Nakhon Sawan</b> 15/28-29 Sawanvithi Road, Pak Nam Pho Sub-District, Muang District, Nakhon Sawan 60000 Tel: 0 5631 4211-9 Fax: 0 5631 4211	<b>Online Udon Thani</b> 168 Moo 6, 168 Platinum Project, Room P-004 (B), Boonyahan Road, Nadee Sub-District, Muang District, Udon Thani 41000 Tel: 0 4224 4008 Fax: 0 4224 3960
<b>Pracha Chuen</b> 99/218, Moo 6, Boonto 6 project Cheangwattana Road, Banmai sub-district, Pakkred District, Nonthaburi 11120 Tel: 0 2565 5410, 0 2565 5402-8 Fax: 0 2565 5860	<b>Nakhon Pathom 1</b> 28/32, 1 <sup>st</sup> Floor, Yingpao Road, Sanamjan Sub-District, Muang District, Nakhon Pathom 73000 Tel: 0 3427 2300-6 Fax: 0 3421 9262	
<b>Subsidiary Company</b>		
<b>One Asset Management Limited</b> 989 Siam Tower (Siam Discovery), 24 <sup>th</sup> Floor, Rama I Road, Pathumwan Sub-District, Pathumwan District, Bangkok 10330, Thailand Tel: 66 (0) 2659 8888 Fax : 66 (0) 2659 8860 Website : <a href="http://www.one-asset.com">http:// www.one-asset.com</a>		

### 5.3 Report of the Audit Committee

*Dear Shareholders,*

The Audit Committee of KGI Securities (Thailand) Public Company Limited consists of three independent directors, Dr. Pisit Leeahtam (Chairman), Dr. Don Bhasavanich and Mr. Pradit Sawattananond. The Secretary to the Committee is Ms. Rungthip Vanitchapan, who also serves as Senior Vice President of the Audit Department. All of the Committee's members meet the qualifications set by The Securities and Exchange Commission. In 2016, the Audit Committee had five meetings and details of the attendance of each director are as follows:

<b>Audit Committee</b>	<b>Position</b>	<b>Attendance Record / Total Meeting</b>
1. Dr. Pisit Leeahtam	Chairman of the Audit Committee	5 / 5
2. Dr. Don Bhasavanich	Audit Committee Member	5 / 5 (2 attendances by phone)
3. Mr. Pradit Sawattananond	Audit Committee Member	5 / 5

The Committee performed its duties as assigned by the Board of Directors in accordance with the charter of the Audit Committee. These duties include reviewing of financial statements, reviewing of the Company's internal control, reviewing of the Company's compliance with the Securities and Exchange Act, reviewing of the related party transactions, monitoring of risk management, as well as proposing the appointment of the auditors and the auditing fee. The duties are summarized as follows:

#### 1. Reviewing of financial statements

The Audit Committee reviewed the quarterly and yearly financial statements for the year 2016 of the Company and its subsidiaries with the Head of Finance and Accounting Department, Audit Department, and the external auditors. The fifth meeting was held exclusively with the external auditors without management or executives from other departments in attendance. The Audit Committee also consulted with the external auditors regarding significant issues and the disclosure of notes to the financial statement.

The Audit Committee agreed that the financial statements of KGI Securities (Thailand) Public Company Limited and its subsidiaries for year ended 31<sup>st</sup> December 2016 were accurate and adequately adhered to the general accepted accounting principles and in accordance to the law and related regulations.

#### 2. Reviewing of internal control

The Audit Committee monitored and reviewed the annual audit plan of the Company and its implementation along with considering the quarterly reports to comply with the Policies and Guidelines to prevent and combat corruption and the annual reports of the Audit Department of the year 2016. In addition, the Audit Committee assessed the Self Assessment Questionnaire on Adequacy of Internal Control System of the Company along with control environment, risk management, control activities, information and communication, and monitoring activities. For the assessment result for the year 2016, the Audit Committee regarded the Company as having an adequate internal control system appropriate for its businesses.

#### 3. Reviewing of the Company's compliance with the Securities and Exchange Act

The Audit Committee monitored and reviewed the Company's compliance with the Securities and Exchange Act along with considering the quarterly and annual reports of the Legal and Compliance Department for the year 2016. In 2016, the Audit Committee agreed that the Company closely controlled and audited the performances of its units to ensure that they worked appropriately and complied with the related rules.

#### 4. Reviewing of related party transactions

In 2016, the Audit Committee reviewed the Company's related party transactions, the transactions with general business terms which were approved in principle by the Board. For the other related transactions, the Audit Committee considered and agreed that these transactions were necessary and



reasonable and served the most benefit of the company, including disclosing all significant information complying with SEC and SET regulations.

**5. Monitoring of risk management**

In 2016, the Audit Committee monitored the risk management practices and assessed the adequacy and effectiveness of the risk management system to ensure that the Company has efficient risk management control. These risks include Credit Risk, Market Risk, and Operational Risk.

**6. Revision of the Audit Committee Charter**

In the case where it was considered to be necessary and appropriate, the Audit Committee was able to revise its Charter and proposed amendments to the Company's Board of Directors for consideration and approval. These included the Audit Committee's authority, scope of work duties, and meeting to be in line with the principles of good corporate governance, as well as the regulation of the Stock Exchange of Thailand and Securities and Exchange Commission. In 2016, the Audit Committee has reviewed the Audit Committee Charter and agreed that it is up-to-date and appropriate to the Company's environment.

**7. Appointment of external auditor and auditing fee for 2017**

The Audit Committee considered the performance of Deloitte Touche Tohmatsu Jaiyos Audit Co., Ltd in the past year, and agreed that Deloitte Touche Tohmatsu Jaiyos Audit Co., and its auditor, has expertise in the auditing of a financial business including suggestions and consultation on accounting, tax and internal control. They also perform independently with no correlation or conflict of interest with the company and its subsidiaries.

The Audit Committee proposed that the Board of Directors propose at the shareholders' meeting, to consider and approve the appointment of Deloitte Touche Tohmatsu Jaiyos Audit Co., Ltd to be the Company's auditor for the 2017 accounting period. The Audit Committee also proposed to consider and approve the auditing fee for 2017 of Baht 1,960,000, which remained the same as the previous year. This fee is appropriate for the type and size of business of the Company.

Auditor's Name	Certified Public Account Number	Number of years the auditor has signed the Company's financial statements
Mr.Chavala Tienpasertkij	4301	3 Years ( Year 2014-2016)
Dr. Suwatchai Meakhaamnouychai	6638	Has never signed the Company's financial statements
Mr. Wonlop Vilaivaravit	6797	Has never signed the Company's financial statements
Mrs. Nisakorn Songmanee	5035	Has never signed the Company's financial statements

**8. Audit Committee Self-Assessment**

The Committee conducted a self-assessment to review and evaluate its performance by benchmarking it against the Audit Committee Charter and best practice guidelines. The review concluded that the Audit Committee's performance complete fulfilled its Charter and complied with good principles, enhancing good corporate governance effectively.

Respectfully,



(Dr. Pisit Leeahtam)

Chairman of Audit Committee