

Attachment 1

Information of the Company's directors, management and the Company Secretary

Information of the Company's directors, management and the Company Secretary (As of 31st December 2017)

Name / Position / The appointment date	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
1) Mr. Foong Hock Meng Position in the Company: -Chairman The Appointment date as a director: 14 th Sep 2009	61	Master of Science (Operational Research), University of Birmingham, UK <u>Training Program</u> Corporate Governance for Capital Market Intermediaries 17/2016 from Thai Institute of Directors Association (IOD)	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Apr 2014 - Oct 2017 Director KGI Futures (Singapore) Pte.Ltd. Incorporated in Singapore Aug 2009 - Dec 2015 Director Bauhinia 8 Fund Incorporated in Cayman Islands Feb 2013 - Aug 2014 Director KGI Capital Asia Limited Incorporated in Hong Kong Dec 2009 - Aug 2014 Director KGI Wealth Management Ltd Incorporated in Hong Kong Aug 2009 - Mar 2013 Director KGI Select Fund SPC Incorporated in Cayman Islands Jul 2009 - Feb 2013 Managing Director KGI Asia Limited Incorporated in Hong Kong Dec 2009 - Feb 2012 Director KT Opportunistic Ltd Incorporated in BVI Jun 2005 - Mar 2009 President and Director PIMCO ASIA Pte Ltd Incorporated in Singapore Sep 2000 - Sep 2006 Director SATA ComHealth Incorporated in Singapore Dec 1999 - Jul 2005 Managing Director & Director Bank Pictet & Cie (ASIA) Ltd Incorporated in Singapore Dec 1999 – Jul 2005 Managing Director & Director Pictet Asia Pte Ltd Incorporated in Singapore <u>Position in Other listed companies</u> -None- <u>Position in Other non- listed companies</u> Nov 2015 - Present Director KGI Alliance Corporation Incorporated in BVI Jan 2015 - Present Director KGI Securities (Singapore) Pte.Ltd Incorporated in Singapore (formerly named KGI Fraser Securities Pte. Ltd. Incorporated in Singapore) Oct 2013 - Present Director KGI International Ltd Incorporated in BVI

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Name / Position / The appointment date	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
1) Mr. Foong Hock Meng (continue) Position in the Company: -Chairman The Appointment date as a director: 14 th Sep 2009	61	Master of Science (Operational Research), University of Birmingham, UK <u>Training Program</u> Corporate Governance for Capital Market Intermediaries 17/2016 from Thai Institute of Directors Association (IOD)	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Position in Other non-listed companies</u> Nov 2011 - Present Non- Executive director One Asset Management Ltd. Incorporated in Thailand Aug 2009 - Present Director KGI Capital (Singapore) Pte.Ltd. Incorporated in Singapore Aug 2009 - Present Director KGI Asia (Holdings) Pte Ltd Incorporated in Singapore
2) Dr. Pisit Leeahtam Position in the Company: -Vice Chairman -Independent Director -Audit Committee Chairman -Nomination Committee Chairman The Appointment date as a director : 4 th May 2001	66	Ph.D. Economics, The Netherlands School of Economics, Erasmus University, Netherlands <u>Training Program</u> - Director Certification Program class 18/2002 -Corporate Governance for Capital Market Intermediaries 2/2015 from Thai Institute of Directors Association (IOD)	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2016)	-None-	<u>Work experiences</u> 2008 - 2016 Dean, Faculty of Economic Chiang Mai University 2014 - 2015 Member of the NRC The National Reform Council (NRC) 2001 - 2003 President & Chief Operating Officer TT&T Public Company Limited 1997 - 2001 Deputy Minister of Finance Ministry of Finance <u>Position in Other listed companies</u> 2005 - Present Chairman and Chairman of Audit Committee Tirathai Plc. 2004 - Present Chairman Prueksa Holding Plc. 2008 - Present Chairman of Audit Committee Global Connection Plc. <u>Position in Other non- listed companies</u> 2016 - Present Independent Director Sera Plc. 2014 - Present Chairman The Thai Bond Market Association 2010 - Present Chairman of the Board Thai Tank Terminal Ltd. 2008 - Present Director P Planner Co., Ltd. 2007 - Present Vice Chairman of the University Council Rajamangala University of Technology Krungthep

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Name / Position / The appointment date	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
2) Dr. Pisit Leeahtam (continue) Position in the Company: -Vice Chairman -Independent Director -Audit Committee Chairman -Nomination Committee Chairman The Appointment date as a director : 4 th May 2001	66	Ph.D. Economics, The Netherlands School of Economics, Erasmus University, Netherlands <u>Training Program</u> - Director Certification Program class 18/2002 -Corporate Governance for Capital Market Intermediaries 2/2015 from Thai Institute of Directors Association (IOD)	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Position in Other non- listed companies</u> 2011 - Present Chairman and Chairman of Audit Committee Quality Minerals Plc 2005 - Present Independent Director Dumex Ltd. 2004 - Present Independent Director and Chairman of Audit Committee Pan Rajathevi Group Plc. 2004 - Present Independent Director and Chairman of Audit Committee Muang Thai Life Assurance Plc. 2004 - Present Council Member Faculty of Commerce and Accountancy, Thammasat University 2003 - Present Council Member King Mongkut's University of Technology, Thonburi 2002 - Present President Provident Fund Association 2001 - Present Vice Chairman TPT Petrochemical Plc. 2001-Present Course Formulating Member Prachatipok Institute (of the Thai Parliament) 2001 - Present Chairman Foundation for Saving Development Institute

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Name / Position / The appointment date	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
3) Dr. Don Bhasavanich Position in the Company: -Independent Director -Audit Committee member The Appointment date as a director: 17 th April 2001	66	-MBA, University of Pittsburgh, USA -Ph.D, Electrical Engineering, University of Liverpool, UK <u>Training Program</u> - Directors Certification Program class 62/2005, - Audit Committee Program class 7/2005, - Finance for Non- Finance Directors class 8/2004, and -Corporate Governance for Capital Market Intermediaries 3/2015 from Thai Institute of Directors Association (IOD) -IT Governance & Cyber Security for Directors & MDs from SEC	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Oct 2014 - Nov 2017 COO BEC New Media / IT Mar 2016 - Nov 2016 Board Chairman Thailand Post Distribution Co., Ltd Aug 2014 - Nov 2016 Board Vice-Chairman Thailand Post Co., Ltd Apr 2011 - May 2014 President TT&T Plc. May 2007 - Apr 2011 ➔ Managing Director Canadoil Asia Ltd. ➔ Chief Operating Officer ➔ Director Canadoil Group Oct 2006 - May 2007 Managing Director Thai Copper Industries Plc. May 2004 - Sep 2006 President NSM Plc. Oct 2002 - May 2004 Chief Executive Officer Unithai Group of Companies Plc. Feb 1999 - Oct 2002 Managing Director DHL (Thailand) <u>Position in Other listed companies</u> -None- <u>Position in Other non- listed companies</u> -None-
4) Mr. Picharn Kullavanijaya Position in the Company: -Independent Director The Appointment date as a director: 24 th May 2011	57	-Master of Science, Mechanical Engineering, Northwestern University, USA -MBA, Kellogg Graduate School of Management, Northwestern University, USA <u>Training Program</u> - Compliance and Directors Training Program for U.S. Banking regulations from Gateway Bank F.S.B., Oakland, California, USA. -Corporate Governance for Capital Market Intermediaries 6/2015 from Thai Institute of Directors Association (IOD)	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> May 2008 - Jan 2011 Founding Partner New Forest Partner, LLC, USA Feb 2000 - Apr 2008 Managing Director Investment Banking Citigroup Global Markets, USA <u>Position in Other listed companies</u> -None- <u>Position in Other non- listed companies</u> Jun 2014 - Present Director Equilibrium Capital Group, USA Nov 2014 - Present Independent Director, Next Capital Corporation, USA Jul 2011 - Present Chief Executive Officer Equilibrium Capital Services, USA (Broker-dealer affiliate of Equilibrium Capital Group) Oct 2010 - Present Partner & Principal, Equilibrium Capital Group, USA

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Name / Position / The appointment date	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
5) Mr. Pradit Sawattananond Position in the Company: -Independent Director -Audit Committee member -Compensation Committee member - Nomination Committee member - ESOP Committee member The Appointment date as a director: 31 st Jan 2000	69	MBA, Central State University, Oklahoma, USA <u>Training Program</u> - Director Certification Program class 3/2000, - Audit Committee Program class 3/2004, - Improving the Quality of Financial Reporting Program class 4/2006, - Monitoring the Quality of Financial Reporting class 5/2007 - Monitoring the Internal Audit Function class 5/2008 - Monitoring the System of Internal Control and Risk Management class 2/2008 - Role of Compensation Committee class 6/2008 - Advanced Audit Committee Program class 13/2013 - How to Develop a risk Management Plan class 4/2013 - Corporate Governance for Capital Market Intermediaries 1/2014 (all above) from Thai Institute of Directors Association (IOD) - Chief Financial Officer Certification Program class 1/2004 from the Institute of Certified Accountants and Auditors of Thailand.	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> May 2005 - Feb 2006 Advisor to the Committee on Economics Development, The House of Representatives Dec 2003 - Dec 2006 Director The Thailand Securities Depository Co., Ltd. Aug 2001 - Feb 2006 Specialist to the Committee on Finance, Banking and Financial Institution, The House of Representatives <u>Position in Other listed companies</u> Dec 2015 - Present Independent Director and Chairman of the Audit Committee Nusasiri Public Company Limited Mar 2004 - Present Independent Director and Chairman of the Audit Committee Asia Sermkij Leasing Plc. Jan 2004 - Present Independent Director and the Audit Committee Thai Film Industries Plc. <u>Position in Other non- listed companies</u> -None-

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Name / Position / The appointment date	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
6) Mr. Chih - Hung Lin Position in the Company: -Chief Operating Officer (COO) -Authorized Director -Risk Management Committee Chairman -Debt Recovery Committee Chairman -Human Resource Committee Chairman -ESOP Committee Chairman The Appointment date as a director : 2 nd Jun 2015 The Appointment date as a COO : 18 th May 2016	48	Doctor of Philosophy, Engineering – Economic Systems and Operations Research , Stanford University, USA <u>Training Program</u> Corporate Governance for Capital Market Intermediaries 16/2016 from Thai Institute of Directors Association (IOD)	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Sep 2001- Aug 2011 Managing Director, Head of Asian Equity Derivative Analytics , The Royal Bank of Scotland N.V. (ABN AMRO Bank N.V.) Aug 2011- May 2016 Executive Vice President, Regional Head of Equity Derivatives, KGI Securities Co. Ltd. (Public Company in Taiwan) <u>Position in Other listed companies</u> -None- <u>Position in Other non- listed companies</u> Jun 2016 - Present Director One Asset Management Limited May 2016 - Present Director KGI Securities (Thailand) International Holdings Limited, Hong Kong May 2016 - Present Director KGI (Thailand) Holdings Limited, BVI

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Name / Position / The appointment date	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
<p>7) Ms. Bee Leng Ooi</p> <p>Position in the Company: -Managing Director -Authorized Director -Nomination Committee member -Risk Management Committee member -Human Resource Committee member -ESOP Committee member</p> <p>The Appointment date as a director: 26th Dec 2000</p>	58	<p>MBA, University of Chicago, USA.</p> <p><u>Training Program</u> -Corporate Governance for Capital Market Intermediaries 9/2015 from Thai Institute of Directors Association (IOD)</p>	<p>Beginning of the year = 0.001% Ending of the year = 0.001% (20,000 shares)</p> <p>(No trading transaction in 2017)</p>	-None-	<p><u>Work experiences</u> 2000 Director, Business Planning KGI Asia Ltd, Hong Kong 1999 - 2000 Managing Director GK GOH Securities, Philippines</p> <p><u>Position in Other listed companies</u> -None-</p> <p><u>Position in Other non-listed companies</u> Jul 2014 - Present Business Advisor KGI Ong Capital Pte Ltd. (Change company name to KGI Futures (Singapore) Pte. Ltd), Singapore May 2012 - Present Director KGI Securities (Thailand) International Holdings Limited, Hong Kong May 2012 - Present Director KGI (Thailand) Holdings Limited, BVI</p>
<p>8) Ms. Suchada Sodthibhaphkul</p> <p>Position in the Company: -Executive Director -Authorized Director -Risk Management Committee member -Debt Recovery Committee member -Human Resource Committee member</p> <p>The Appointment date as a director: 17th Apr 2001</p>	63	<p>MBA, Chulalongkorn University, Thailand</p> <p><u>Training Program</u> -Director Certification Program class 38/2003 - Corporate Governance for Capital Market Intermediaries-CGI class Exclusive from Thai Institute of Directors Association (IOD) - Leadership Program class 2/2006 from Capital Market Academy</p>	<p>Beginning of the year = 0% Ending of the year = 0%</p> <p>(No trading transaction in 2017)</p>	-None-	<p><u>Work experiences</u> May 2011 - May 2017 Director The Thai Bond Market Association Apr 2001 - Oct 2014 Managing Director KGI Securities (Thailand) Plc. 1997 - Apr 2001 Executive Director KGI Securities (Thailand) Plc. 1990 - 1997 Executive Director First Asia Securities Plc.</p> <p><u>Position in Other listed companies</u> Jan 2008 - Present Director Thai Rubber Latex Corporation (Thailand) Plc.</p> <p><u>Position in Other non-listed companies</u> -None-</p>
<p>9) Mr. Wisit Wongpaisan</p> <p>Position in the Company: -Director -Nomination Committee member -Risk Management Committee member</p> <p>The Appointment date as a director: 28th Aug 2001</p>	65	<p>MBA, University of East Asia, Macau</p>	<p>Beginning of the year = 0% Ending of the year = 0%</p> <p>(No trading transaction in 2017)</p>	-None-	<p><u>Work experiences</u> Aug 2001 - Feb 2012 President KGI Securities (Thailand) Plc. Oct 2000 - Jul 2001 Chief Operating Officer KGI Securities (Thailand) Plc. Jun 1993 - Jul 2000 Executive Vice President Bank of Asia Plc.</p> <p><u>Position in Other listed companies</u> -None-</p> <p><u>Position in Other non-listed companies</u> -None-</p>

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Name / Position / The appointment date	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
10) Ms. Pi - Lin Huang Position in the Company: -Director The Appointment date as a director: 11 th Jul 2005	47	Bachelor, Accounting National Taiwan University, Taiwan	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Mar 2005- Oct 2017 Director KGI Securities (Hong Kong) Limited, Hong Kong Mar 2005 - Jan 2013 Director KGI Efficient Frontier Ltd, Hong Kong Mar 2005 - Feb 2008 Director KGI Securities (Korea) Co., Ltd, Korea Mar 2004 - Jan 2005 Manager, Yageo Corp, (Listed company in Taiwan) 1999 - Jan 2004 Manager, Deloitte Consulting (Arthur Andersen Business Consulting), Taiwan <u>Position in Other listed companies</u> -None- <u>Position in Other non- listed companies</u> Nov 2015 - Present Director KGI Hong Kong Limited, Hong Kong Nov 2015 - Present Director KGI Alliance Corporation, British Virgin Islands Jun 2015-Present Supervisor CDC Finance & Leasing Corp.,Taiwan Aug 2014 - Present Director KGI International Holding Limited, Cayman Islands Aug 2014 - Present Director KGI Finance Limited, Hong Kong May 2009 - Present Director KGI International (Hong Kong) Limited, Hong Kong Jan 2005 - Present Senior Vice President, Finance, KGI Securities Co. Ltd. (Public Company in Taiwan) Mar 2005 - Present Director KGI Asia Limited, Hong Kong Mar 2005 - Present Director KGI International Finance Limited, Hong Kong Mar 2005 - Present Director KGI Capital Asia Limited, Hong Kong Mar 2005 - Present Director KGI Limited, British Virgin Islands

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Name / Position / The appointment date	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
11) Mr. Yen, Chih - Chien Position in the Company: -Director -Compensation Committee Chairman The Appointment date as a director : 11 th Jul 2005	52	-MBA, MIT, Sloan School of Management, USA -LLM, University of Michigan Law School, USA	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<p><u>Work experiences</u></p> <p>Dec 2009 - Oct 2017 Director KGI Wealth Management Limited, Hong Kong</p> <p>Jan 2005 - Jan 2013 Director KGI Efficient Frontier Limited, Hong Kong</p> <p>Jun 2005 - Feb 2008 Standing Director KGI Securities (Korea) Co., Ltd, Korea</p> <p>May 1994 - Mar 2004 Senior Lawyer Lee and Li, Attorneys-at-Law, Taiwan</p> <p>Jan 2001 - Jan 2002 Senior Vice President Lee and Li Business Consultant (Shanghai) Co., Ltd, China</p> <p><u>Position in Other listed companies</u> -None-</p> <p><u>Position in Other non- listed companies</u></p> <p>May 2016 - Present Supervisor CDIB Capital Creative Industries Limited, Taiwan</p> <p>Nov 2015 - Present Supervisor CPEC Huachuang Private Equity (Kunshan) Co. Ltd., China</p> <p>Nov 2015 - Present Director CDIB Capital Management Corporation, Taiwan</p> <p>Nov 2015 - Present Supervisor CPEC Huakai Private Equity (Fujian) Co., Ltd., China</p> <p>Sep 2015 - Present Director KGI Fraser Securities Pte. Ltd (Change company name to KGI Securities (Singapore) Pte. Ltd), Singapore</p> <p>Dec 2014 - Present Director KGI Asset Management Limited, Hong Kong</p> <p>Sep 2014 - Present Director KGI Securities Co., Ltd. (Public Company in Taiwan)</p> <p>Aug 2014 - Present Director KGI Alliance Corporation, British Virgin Islands</p> <p>Jan 2013 - Present Executive Vice President China Development Finance Holding Corporation, (Listed company in Taiwan)</p> <p>Jun 2007 - Present Director Global Securities Finance Corporation, Taiwan</p> <p>May 2007 - Present Executive Vice President, KGI Securities Co. Ltd. (Public Company in Taiwan)</p>

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Name / Position / The appointment date	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
12) Mr. Shaw - Tzeng Ding Position in the Company: -Director The Appointment date as a director : 16 th Nov 2016	55	Master of Science, University of Illinois at Urbana-Champaign, Major in Finance, USA	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Jul 2009 - May 2016 President KGI Securities Co. Ltd, (Public Company in Taiwan) <u>Position in Other listed companies</u> -None- <u>Position in Other non- listed companies</u> Jun 2016 - Present Senior consultant KGI Securities Co. Ltd, (Public Company in Taiwan) Sep 2009 - Present Director KGI Securities Co., Ltd (Public Company in Taiwan) Aug 2014 - Present Director Richpoint Company Limited British Virgin Islands Aug 2016 - Present Commissioner PT KGI Sekuritas Indonesia, Indonesia Jun 2003 - Present Director Supersonic Service Inc. British Virgin Islands

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Name / Position / The appointment date	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
13) Mr. Somchai Kanjapetcharat Position in the Company: -Senior Managing Director, Retail Equity Business - Risk Management Committee member The Appointment date as a management: 1 st Mar 2013	62	Development Administration (NIDA), Thailand	Beginning of the year = 0.02% Ending of the year = 0.00% (400,000 shares) (Sell 400,000 shares of KGI stock in 2017)	-None-	<p><u>Work experiences</u></p> <p>Sep 2000 - Feb 2013 Chief Executive Officer of Retail Sales Officer KT ZMICO Securities Company Limited</p> <p>1992 - 2000 Senior Vice President Krungthai Tanakit Finance & Securities Plc.</p> <p>1990 - 1992 Assistant Vice President Thana One Finance & Securities Plc.</p> <p><u>Position in Other</u> -None- <u>listed companies</u></p> <p><u>Position in Other non-</u> -None- <u>listed companies</u></p>
14) Mr. Suthep Rungsiam Position in the Company: -Managing Director, Brokerage Business - Risk Management Committee member The Appointment date as a management: 1 st Nov 2009	50	-MBA, Chaminade University of Honolulu, USA -BBA, International Business, University of Hawaii, USA	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<p><u>Work experiences</u></p> <p>2009 - 2012 Managing Director, Retail Equity Business KGI Securities (Thailand) Plc.</p> <p>2006 - 2008 Deputy Managing Director Institutional Clients Division Globex Securities Co., Ltd.</p> <p>2000 - 2005 Vice President Institutional Clients Division BNP Paribas Peregrine Securities (Thailand) Ltd.</p> <p>1998 - 2000 Vice President Institutional Clients Division Indosuez W.I. Carr Securities (Thailand) Ltd.</p> <p><u>Position in Other</u> -None- <u>listed companies</u></p> <p><u>Position in Other non-</u> -None- <u>listed companies</u></p>

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15) Ms. Jariya Posayajinda Position: -Managing Director, Proprietary Trading Department - Risk Management Committee member The Appointment date as a management: 1 st Apr 2009	50	MBA (Finance) Eastern Michigan University, Michigan, USA	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<p><u>Work experiences</u></p> <p>Sep 2005 - Apr 2011 Executive Vice President (2009 - 2011) Senior Vice President (2005 - 2009) Proprietary Trading Department KGI Securities (Thailand) Plc.</p> <p>May 2002 - Feb 2005 Deputy Chief Marketing Officer UOB Asset Management (Thai) Co., Ltd</p> <p><u>Position in Other listed companies</u> -None-</p> <p><u>Position in Other non-listed companies</u> -None-</p>
16) Mr. Apichart Tungkiatsilp Position in the Company: -Managing Director, Fixed Income Department - Risk Management Committee member The Appointment date as a management: 2 nd Sep 2009	45	MBA, Cleveland State University, USA	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<p><u>Work experiences</u></p> <p>Sep 2009 - Mar 2014 Executive Vice President Fixed Income Department KGI Securities (Thailand) Plc.</p> <p>2005 - Aug 2009 Financial Institution Sales Manager Siam Commercial Bank Plc.</p> <p>2004 - 2005 Fixed-Income Trading Manager Siam Commercial Bank Plc.</p> <p><u>Position in Other listed companies</u> -None-</p> <p><u>Position in Other non-listed companies</u></p> <p>May 2017 - Present Director The Thai Bond Market Association</p>

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Name / Position	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
17) Ms. Patchpon Sankaburanuruk Position in the Company: -Managing Director, Investment Banking Department - Risk Management Committee member The Appointment date as a management : 1 st May 2012	47	MBA, Finance University of Hartford, USA.	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Jun 2002 - Apr 2016 -Executive Vice President (2013-2016) -Senior Vice President (2006-2012) -Vice President (2004-2005) -Assistant Vice President (2002-2004) Investment Banking Department KGI Securities (Thailand) Plc. Jun 1999 - May 2002 Assistant Manager Investment Banking Department Vickers Ballas Securities (Thailand) Co, Ltd Feb 1996 - Oct 1998 Manager Investment Banking Department Cathay Capital Co, Ltd Jan 1995 - Jan 1996 Officer Investment Banking Department Multi-Credit Corporation of Thailand Pcl. <u>Position in Other listed companies</u> -None- <u>Position in Other non- listed companies</u> -None-
18) Mr. Jenvit Chinkulkitniwat Position in the Company: - Managing Director, Equity Derivatives Department - Risk Management Committee member The Appointment date as a management : 1 st Apr 2014	38	Master of Science in Finance, University of Illinois, USA	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Apr 2014 - Apr 2016 Executive Vice President (Year 2014-2016) Nov 2005 - Mar 2014 Senior Vice President (Year 2005-2014) Equity Derivatives Department KGI Securities (Thailand) Plc. <u>Position in Other listed companies</u> -None- <u>Position in Other non- listed companies</u> -None-

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19) Ms. Saranya Larksukthom Position in the Company: -Senior Executive Vice President, Operation Department - Risk Management Committee member - Debt Recovery Committee member - Purchase Committee member - Welfare Committee member - Health and Environment Committee member The Appointment date as a management : 1 st Mar 2001	55	MBA, Strayer University, USA	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Mar 1999 - Apr 2011 -Executive Vice President (2001-2011) -Senior Vice President (1999 - 2001) Operation Department KGI Securities (Thailand) Plc 1996 - 1998 Assistant Vice President Nava Vickers Ballas Securities (Thailand) Co., Ltd. <u>Position in Other</u> -None- <u>listed companies</u> <u>Position in Other non-</u> <u>listed companies</u> Mar 2012 - Present Vice Chairman Back Office Operation Club
20) Mr. Somchai Siripaiboolpong Position in the Company: -Executive Vice President, Retail Equity Business - Welfare Committee member The Appointment date as a management : 20 th Nov 2000	64	Bachelor of Business Administration, Chulalongkorn University, Thailand	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> 1994 - 1999 SCCF Finance & Securities 1991 - 1994 JF Thanakom Securities Limited <u>Position in Other</u> -None- <u>listed companies</u> <u>Position in Other non-</u> -None- <u>listed companies</u>

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21) Ms. Songsri Chawengsataporn Position in the Company: -Executive Vice President, Retail Equity Business -Welfare Committee member The Appointment date as a management : 1 st May 2011	58	Bachelor Economics, University of the Thai Chamber of Commerce, Thailand	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Jul 1996 - Apr 2011 Senior Vice President KGI Securities (Thailand) Plc.
					<u>Position in Other listed companies</u> -None-
					<u>Position in Other non-listed companies</u> -None-
22) Ms. Dutsanee Pamontip Position in the Company: -Executive Vice President, Retail Equity Business The Appointment date as a management : 1 st May 2011	58	Bachelor of Economics, Thammasat University, Thailand	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Jan 2007 - Apr 2011 Senior Vice President KGI Securities (Thailand) Plc.
					<u>Position in Other listed companies</u> -None-
					<u>Position in Other non-listed companies</u> -None-
23) Ms. Warunlak Aiemmeechai Position in the Company: -Executive Vice President, Retail Equity Business The Appointment date as a management : 22 nd Apr 2013	49	Bachelor of Arts, Valaya Alongkorn Rajabhat University, Thailand	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Oct 1998 - Apr 2013 Executive Vice President KT ZMICO Securities Company Limited
					<u>Position in Other listed companies</u> -None-
					<u>Position in Other non-listed companies</u> -None-
24) Mr. Kamthorn Chinsakuljaroen Position in the Company: -Executive Vice President, Retail Equity Business -Welfare Committee member The Appointment date as a management: 1 st Apr 2014	52	MBA, National University, USA	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Sep 2010 - Mar 2014 Senior Vice President Retail Equity Business KGI Securities (Thailand) Plc. 2002 - 2010 Vice President Globlex Securities Co., Ltd.
					<u>Position in Other listed companies</u> -None-
					<u>Position in Other non-listed companies</u> -None-

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Name / Position	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
25) Mr. Viwat Charoenthangvitaya Position in the Company: -Executive Vice President, Retail Equity Business The Appointment date as a management : 1 st Apr 2014	51	-Master of Business Administration, National Taipei University of Technology -National Kaohsiung university of Applied Sciences, Taiwan	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Jul 2007 - Mar 2014 Senior Vice President Retail Equity Business KGI Securities (Thailand) Plc. <u>Position in Other listed companies</u> -None- <u>Position in Other non-listed companies</u> -None-
26) Mr. Hua -Feng Chang Position in the Company: -Executive Vice President, Retail Equity Business The Appointment date as a management : 1 st Apr 2008	55	MBA in International Management Monterey Institute of International Studies. CA, USA	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Feb 2001 - Mar 2008 Senior Vice President (2004 - 2008) Vice President (2001 - 2004) KGI Securities (Thailand) Plc. <u>Position in Other listed companies</u> -None- <u>Position in Other non-listed companies</u> -None-
27) Ms. Kannikar Pradisorn Position in the Company: -Executive Vice President, Institutional Equities Business Department - Risk Management Committee member The Appointment date as a management: 1 st May 2015	45	MBA, Assumption University, Thailand	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Jun 2006 - Apr 2015 Senior Vice President Institutional Equities Business KGI Securities (Thailand) Plc. Mar 2003 - May 2006 Senior Sales Executive, Institutional Department FINANSA Securities Co.Ltd. Feb 2000 - Mar 2003 Senior Sales Executive Institutional Sales Desk KGI Securities One Plc Jun 1999 - Jan 2000 Risk Executive Risk Management Department GE Capital (Thailand) Ltd. Feb 1995 - May 1999 Statistician Merrill Lynch (Singapore) Corporate Strategy & Research Jul 1994 - Jan 1995 Assistant Analyst Research Department Multi-Credit Corporation Plc. <u>Position in Other listed companies</u> -None- <u>Position in Other non-listed companies</u> -None-

Information of the Company's directors, management and the Company Secretary (As of 31st December 2017)

Name / Position	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
28) Ms. Inchaya Chatcherdasak Position in the Company: -Executive Vice President, Human Resource Department - Purchase Committee member - Human Resource Committee member - Disciplinary Committee member - ESOP Committee member - Corporate Governance Committee member The Appointment date as a management: 18 th Aug 2014	46	Bachelor of Arts (B.A.) major in History, Thammasat University, Thailand	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> May 2014 - Aug 2014 -Chief Operating Officer & Director Jul 2012 - Apr 2014 -Senior Vice President Head of Business Development Jan 2003 - Jun 2012 -Senior Vice President Head of Human Resource KTB Securities (Thailand) Co., Ltd Jul 2002 - Sep 2002 Assistant Manager Human Resource Department ACSG (Thailand) Co., Ltd Sep 2000 - Jul 2002 Assistant Manager Human Resource Department SG Asia Credit Securities Co., Ltd. Oct 1999 - Sep 2000 Assistant Manager, Human Resources Department Adkinson Securities Co., Ltd <u>Position in Other listed companies</u> -None- <u>Position in Other non-listed companies</u> -None-
29) Ms. Khunmira Thunnom Position in the Company: -Executive Vice President, Finance and Accounting Department - Risk Management Committee member - Debt Recovery Committee member The Appointment date as a management : 1 st Apr 2008	52	Master of Accountancy, Financial Accounting, Chulalongkorn University, Thailand.	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> 1993 - Mar 2008 -Senior Vice President (2001 - 2008) -Vice President (1993 - 2001) Finance & Accounting Department KGI Securities (Thailand) Plc. 1989 - 1992 Assistant Manager Ernst & Young Office Limited <u>Position in Other listed companies</u> -None- <u>Position in Other non-listed companies</u> -None-
30) Ms. Acharee Turongsomboon Position in the Company: -Executive Vice President, Treasury Department - Risk Management Committee member The Appointment date as a management : 1 st May 2012	51	Bachelor of Arts in Business Administration – General Management Sukhothai Thammathirat University, Thailand	Beginning of the year = 0.003% Ending of the year = 0.003% (52,100 shares) (No trading transaction in 2017)	-None-	<u>Work experiences</u> 2001 - 2011 Senior Vice President Treasury Department KGI Securities (Thailand) Plc. 1991 - 2000 Vice President Finance & Capital market Department Securities One Plc. <u>Position in Other listed companies</u> -None- <u>Position in Other non-listed companies</u> -None-

Information of the Company's directors, management and the Company Secretary (As of 31st December 2017)

Name / Position	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
31) Mr. Sumek Chantrasuriyarat Position in the Company: -Executive Vice President, Research Department The Appointment date as a management: 17 th Aug 2015	54	- Master of Science in Financial, National Institute of Development Administration - Bachelor of Science (Statistics), Chulalongkorn University	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> 2015 Deputy Managing Director Kasikorn Securities Plc. 2014 Deputy Managing Director AEC Securities Plc. 2012 - 2013 Deputy Managing Director IV Global Securities Plc. 2007 - 2012 Deputy Managing Director KT Zmico Securities Co., Ltd. 2002 - 2007 Deputy Managing Director Bualuang Securities Plc. 1990 - 2002 Vice President Research Department Merrill Lynch Phatra Securities Company Limited <u>Position in Other listed companies</u> -None- <u>Position in Other non-listed companies</u> -None-
32) Ms. Nantarat Surakkhaka Position in the Company: -Executive Vice President, COO Office Support Department - Risk Management Committee member - Debt Recovery Committee member - Purchase Committee member - Disciplinary Committee member - Corporate Governance Committee member The Appointment date as a management: 1 st Mar 2013	49	LL.M. ,Widener University, Delaware, USA. <u>Training Program</u> -Director Certification Program Class 199/2015 - Corporate Governance for Capital market Intermediaries 5/2015 from Thai Institute of Directors Association (IOD) - ASCO Compliance Training Program from ASCO	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Jan 2010 - Feb 2013 Senior Vice President COO Office (Corporate Strategy) KGI Securities (Thailand) Plc. Jun 2005 - Aug 2006 Vice President, Legal and Debt Recovery Division KGI Securities (Thailand) Plc. Apr 1996 - May 2005 Legal Officer The Office of the Securities and Exchange Commission <u>Position in Other listed companies</u> -None- <u>Position in Other non-listed companies</u> Nov 2011 - Present Authorized Director One Asset Management Limited

Information of the Company's directors, management and the Company Secretary (As of 31st December 2017)

Name / Position	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
33) Ms. Nongluk Ningsanond Position in the Company: -Company Secretary -Executive Vice President, Company Secretary Office - Risk Management Committee member - Purchase Committee member - Corporate Governance Committee member The Appointment date as a management : 1 st Apr 2008	55	-Bachelor of Economics, Thammasat University, Thailand -MBA, Bangkok University, Thailand <u>Training Program</u> -Certified Investment and Securities Analyst (CISA III) from Securities Analysis Association -Company Secretary Program Class 5/2004 -Effective Minute Taking Class 10/2008 - Corporate Governance Capital Market Intermediaries Class 1/2014 from Thai Institute of Directors Association (IOD)	Beginning of the year = 0.000003% Ending of the year = 0.000003% (55 Shares) (No trading transaction in 2017)	-None-	<u>Work experiences</u> 1997 - Mar 2008 -Senior Vice President (2001 - 2008) -Vice President (1997 - 2001) KGI Securities (Thailand) Plc. 1992 - 1997 Vice President Investment Banking Department First Asia Securities Plc. <u>Position in Other listed companies</u> -None- <u>Position in Other non-listed companies</u> -None-
34) Ms. Suchada Wongrak Position in the Company: -Senior Vice President, Corporate Service Department -Health and Environment Committee member The Appointment date as a management : 1 st Jan 2010	53	BTec Higher National Diploma, south Warwickshire college, England <u>Training Program</u> -Occupational Health, Safety and Environment committee course	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> 2000 - 2010 Vice President KGI Securities (Thailand) Plc. 1993-1998 Manager Thai Fuji Finance and Securities Ltd 1990- 1993 Officer Ocean Finance and Securities Ltd <u>Position in Other listed companies</u> -None- <u>Position in Other non-listed companies</u> -None-

Information of the Company's directors, management and the Company Secretary (As of 31st December 2017)

Name / Position	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect)(%)	Relationship among Director and management	Work experiences and Position in other companies
35) Ms. Chutima Jitbunjong Position in the Company: -Senior Vice President, Risk Management Department - Risk Management Committee member The Appointment date as a management : 16 th Sep 2015	43	Master of Science in Economics; University of Nebraska at Omaha, USA Bachelor of Art in Economics; Thammasat University	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> 2015 Senior Vice President Risk Management Dept. Nomura Securities Public Company Limited 2012-2015 Assistant Vice President Operations Dept. DBS Vickers Securities (Thailand) Company Limited Vice President Securities Service Dept. Risk Management Division Asia Plus Securities Public Company Limited 2002-2012 2000-2002 Officer Credit Approval Dept. Bangkok Bank 1994-1995 Officer Credit Approval Dept. Siam General Factoring Public Company Limited
					<u>Position in Other listed companies</u> -None-
					<u>Position in Other non-listed companies</u> -None-

Information of the Company's directors, management and the Company Secretary (As of 31st December 2017)

Name / Position	Age (Years)	Education / Training	Portion of shares held in company (Direct and Indirect) (%)	Relationship among Director and management	Work experiences and Position in other companies
36) Ms. Rungthip Vanitchapan Position in the Company: -Senior Vice President, Audit Department -Disciplinary Committee member -Corporate Governance Committee member The Appointment date as a management: 1 st Feb 2016	46	-Master of Communication Arts (Advertising), Bangkok University -Bachelor of Business Administration (Finance), Thammasat University <u>Training Program</u> -COSO 2013 Internal Control framework by Federation of Accounting Professions -FATCA Workshop (Foreign Account Tax Compliance Act) 2013 by Association of Securities Companies (ASCO) -The Anti-Money Laundering Act and Financial support for terrorism Act. 1999 by the Anti-Money Laundering Office (AMLO) -Foreign Investment Course 2010 by Bank of Thailand (BOT)	Beginning of the year = 0% Ending of the year = 0% (No trading transaction in 2017)	-None-	<u>Work experiences</u> Jun. 2006 - Jan 2016 Senior Manager Compliance Department, Thanachart Securities Plc. May 2005- Mar 2006 Marketing Communications Manager, Payment Solution Co., Ltd., (Shin Corporation Group) Nov.2004 - May 2005 Corporate Public Relations Manager, Office of Bangkok Fashion City By Ministry of Industry. Nov.2001- Nov 2004 Marketing Manager, WinStore Co., Ltd. Aug.1995 - Oct. 2000 Senior Officer, Members Supervision & Examination Department The Stock Exchange of Thailand <u>Position in Other listed companies</u> -None- <u>Position in Other non-listed companies</u> -None-

The position of Directors and Management in subsidiary and related companies (As of 31st December 2017)

Directors and Management	Position in the Company	Position in Subsidiaries		
		ONEAM	HOLDCO	KTHL
		99% owned by the Company	100% owned by the Company	100% owned by the Company
1) Mr. Foong Hock Meng	A	A , E	-	-
2) Dr. Pisit Leeahtam	B, D, F	-	-	-
3) Dr. Don Bhasavanich	D, G	-	-	-
4) Mr. Picharn Kullavanijaya	D	-	-	-
5) Mr. Pradit Sawattananond	D, G	-	-	-
6) Mr. Chih - Hung Lin	E, I	H , E	H	H
7) Ms. Bee Leng Ooi	E, K	-	H	H
8) Ms. Suchada Sodthibhaphkul	E, L	-	-	-
9) Mr. Wisit Wongpaisan	C	-	-	-
10) Ms. Pi - Lin Huang	C	-	-	-
11) Mr. Yen, Chih Chien	C	-	-	-
12) Mr. Shaw – Tzeng Ding	C	-	-	-
13) Mr. Somchai Kanjanapetcharat	J	-	-	-
14) Mr. Suthep Rungsiam	K	-	-	-
15) Ms. Jariya Posayajinda	K	-	-	-
16) Mr. Apichart Tungkiatsilp	K	-	-	-
17) Ms. Patchpon Sankaburanuruk	K	-	-	-
18) Mr. Jenvit Chinkulkitniwat	K	-	-	-
19) Ms. Saranya Larksukthom	M	-	-	-
20) Mr. Somchai Siripaiboolpong	N	-	-	-
21) Ms. Songsri Chawengsataporn	N	-	-	-
22) Ms. Dutsanee Pamontip	N	-	-	-
23) Ms. Warunlak Aiemmeechai	N	-	-	-
24) Mr. Kamthorn Chinsakuljaroen	N	-	-	-
25) Mr. Viwat Charoenthangvitaya	N	-	-	-
26) Mr. Hua - Feng Chang	N	-	-	-
27) Ms. Kannikar Pradisakesorn	N	-	-	-
28) Ms. Inchaya Chatchersak	N	-	-	-
29) Ms. Khunmira Thunnom	N, O	-	-	-
30) Ms. Acharee Turongsomboon	N	-	-	-
31) Mr. Sumek Chantrasuriyarat	N	-	-	-
32) Ms. Nantarat Surakkhaka	N	H , E	-	-
33) Ms. Nongluk Ningsanond	N , P	-	-	-
34) Ms. Suchada Wongrak	Q	-	-	-
35) Ms. Chutima Jitbunjong	Q	-	-	-
36) Ms. Rungthip Vanitchapan	Q	-	-	-

Director title

A: Chairman
E: Authorized Director

B: Vice Chairman
F: Chairman of Audit
Committee

C: Director
G: Audit Committee

D: Independent Director
H: Persons who the Board
appointed as a director of its
subsidiaries

Company title

I: Chief Operating Officer
M: Senior Executive Vice
President

J: Senior Managing Director
N: Executive Vice President

K: Managing Director
O: Head of Finance &
Accounting Department

L: Executive Director
P: Company Secretary
Q: Senior Vice President

Subsidiaries

ONEAM : One Asset Management Limited
KTHL : KGI (Thailand) Holdings Limited

HOLDCO: KGI Securities (Thailand) International Holdings Limited

Note: Directors and executives of the Company have no record of any punishment under the Securities Exchange Act of 2535 or the Derivatives Act 2546