

**Attachment 5**  
**Others Attachment**

### 5.1 Underlying company information of the remaining DWs and History of DW issued by the Company for the past 2 years (2016 - 2017)

#### Underlying company information of the remaining DWs

Underlying company information of the remaining DWs (from Underlying company's website as of 31<sup>st</sup> December 2017) are as follows:

No	Stocks	Company's name	Website
1	AAV	ASIA AVIATION PUBLIC COMPANY LIMITED	<a href="http://www.aavplc.com">http://www.aavplc.com</a>
2	ADVANC	ADVANCED INFO SERVICE PUBLIC COMPANY LIMITED	<a href="http://investor.ais.co.th">http://investor.ais.co.th</a>
3	AMATA	AMATA CORPORATION PUBLIC COMPANY LIMITED	<a href="http://www.amata.com">http://www.amata.com</a>
4	AOT	AIRPORTS OF THAILAND PUBLIC COMPANY LIMITED	<a href="http://www.airportthai.co.th">www.airportthai.co.th</a>
5	BA	BANGKOK AIRWAYS PUBLIC COMPANY LIMITED	<a href="http://www.bangkokair.com">http://www.bangkokair.com</a>
6	BANPU	BANPU PUBLIC COMPANY LIMITED	<a href="http://www.banpu.com">http://www.banpu.com</a>
7	BBL	BANGKOK BANK PUBLIC COMPANY LIMITED	<a href="http://www.bangkokbank.com">www.bangkokbank.com</a>
8	BCH	BANGKOK CHAIN HOSPITAL PUBLIC COMPANY LIMITED	<a href="http://www.bangkokchainhospital.com">www.bangkokchainhospital.com</a>
9	BCP	BANGCHAK CORPORATION PUBLIC COMPANY LIMITED	<a href="http://www.bangchak.co.th">http://www.bangchak.co.th</a>
10	BCPG	BCPG PUBLIC COMPANY LIMITED	<a href="http://www.bcpvgroup.com">www.bcpvgroup.com</a>
11	BDMS	BANGKOK DUSIT MEDICAL SERVICES PUBLIC COMPANY LIMITED	<a href="http://www.bangkokhospital.com">www.bangkokhospital.com</a>
12	BEAUTY	BEAUTY COMMUNITY PUBLIC COMPANY LIMITED	<a href="http://www.beautycommunity.co.th">www.beautycommunity.co.th</a>
13	BEC	BEC WORLD PUBLIC COMPANY LIMITED	<a href="http://www.becworld.com">www.becworld.com</a>
14	BEM	BANGKOK EXPRESSWAY AND METRO PUBLIC COMPANY LIMITED	<a href="http://www.bemplc.co.th">www.bemplc.co.th</a>
15	BH	BUMRUNGRAD HOSPITAL PUBLIC COMPANY LIMITED	<a href="http://www.bumrungrad.com">www.bumrungrad.com</a>
16	BIG	BIG CAMERA CORPORATION PUBLIC COMPANY LIMITED	<a href="http://www.bigcamera.co.th">www.bigcamera.co.th</a>
17	BJC	BERLI JUCKER PUBLIC COMPANY LIMITED	<a href="http://www.bjc.co.th">www.bjc.co.th</a>
18	BLA	BANGKOK LIFE ASSURANCE PUBLIC COMPANY LIMITED	<a href="http://www.bangkoklife.com">www.bangkoklife.com</a>
19	BPP	BANPU POWER PUBLIC COMPANY LIMITED	<a href="http://www.banpupower.com">www.banpupower.com</a>
20	CBG	CARABAO GROUP PUBLIC COMPANY LIMITED	<a href="http://www.carabaogroup.com">www.carabaogroup.com</a>
21	CHG	CHULARAT HOSPITAL PUBLIC COMPANY LIMITED	<a href="http://www.chularat.com">www.chularat.com</a>
22	CK	CH. KARNCHANG PUBLIC COMPANY LIMITED	<a href="http://www.ch-karnchang.co.th">http://www.ch-karnchang.co.th</a>
23	CKP	CK POWER PUBLIC COMPANY LIMITED	<a href="http://www.ckpower.co.th">www.ckpower.co.th</a>
24	COM7	COM7 PUBLIC COMPANY LIMITED	<a href="http://www.comseven.com">www.comseven.com</a>
25	CPALL	CP ALL PUBLIC COMPANY LIMITED	<a href="http://www.cpal.co.th">www.cpal.co.th</a>
26	CPF	CHAROEN POKPHAND FOODS PUBLIC COMPANY LIMITED	<a href="http://www.cpfworldwide.com">www.cpfworldwide.com</a>
27	CPN	CENTRAL PATTANA PUBLIC COMPANY LIMITED	<a href="http://www.cpn.co.th">www.cpn.co.th</a>
28	DELTA	DELTA ELECTRONICS (THAILAND) PUBLIC COMPANY LIMITED	<a href="http://www.deltathailand.com">http://www.deltathailand.com</a>
29	DTAC	TOTAL ACCESS COMMUNICATION PUBLIC COMPANY LIMITED	<a href="http://www.dtac.co.th">www.dtac.co.th</a>
30	EA	ENERGY ABSOLUTE PUBLIC COMPANY LIMITED	<a href="http://www.energyabsolute.co.th">http://www.energyabsolute.co.th</a>

**Underlying company information of the remaining DWs (Continue)**

No	Stocks	Company's name	Website
31	EGCO	ELECTRICITY GENERATING PUBLIC COMPANY LIMITED	<a href="http://www.egco.com">http://www.egco.com</a>
32	EPG	EASTERN POLYMER GROUP PUBLIC COMPANY LIMITED	<a href="http://www.epg.co.th">www.epg.co.th</a>
33	GFPT	GFPT PUBLIC COMPANY LIMITED	<a href="http://www.gfpt.co.th">www.gfpt.co.th</a>
34	GLOW	GLOW ENERGY PUBLIC COMPANY LIMITED	<a href="http://www.glow.co.th">www.glow.co.th</a>
35	GPSC	GLOBAL POWER SYNERGY PUBLIC COMPANY LIMITED	<a href="http://www.gpscgroup.com">http://www.gpscgroup.com</a>
36	GUNKUL	GUNKUL ENGINEERING PUBLIC COMPANY LIMITED	<a href="http://www.gunkul.com">http://www.gunkul.com</a>
37	HANA	HANA MICROELECTRONICS PUBLIC COMPANY LIMITED	<a href="http://www.hanagroup.com">www.hanagroup.com</a>
38	INTUCH	INTOUCH HOLDINGS PUBLIC COMPANY LIMITED	<a href="http://www.intouchcompany.com">www.intouchcompany.com</a>
39	IRPC	IRPC PUBLIC COMPANY LIMITED	<a href="http://www.irpc.co.th">www.irpc.co.th</a>
40	ITD	ITALIAN-THAI DEVELOPMENT PUBLIC COMPANY LIMITED	<a href="http://www.itd.co.th">http://www.itd.co.th</a>
41	IVL	INDORAMA VENTURES PUBLIC COMPANY LIMITED	<a href="http://www.indoramaventures.com">www.indoramaventures.com</a>
42	KBANK	KASIKORNBANK PUBLIC COMPANY LIMITED	<a href="http://www.kasikornbank.com">www.kasikornbank.com</a>
43	KCE	KCE ELECTRONICS PUBLIC COMPANY LIMITED	<a href="http://www.kcehai.in.th">www.kcehai.in.th</a>
44	KKP	KIATNAKIN BANK PUBLIC COMPANY LIMITED	<a href="http://kiatnakin.co.th">http://kiatnakin.co.th</a>
45	KTB	KRUNG THAI BANK PUBLIC COMPANY LIMITED	<a href="http://www.ktb.co.th">http://www.ktb.co.th</a>
46	KTC	KRUNGTHAI CARD PUBLIC COMPANY LIMITED	<a href="http://www.ktc.co.th">www.ktc.co.th</a>
47	MALEE	MALEE GROUP PUBLIC COMPANY LIMITED	<a href="http://www.malee.co.th">http://www.malee.co.th</a>
48	MEGA	MEGA LIFESCIENCES PUBLIC COMPANY LIMITED	<a href="http://www.megawecare.com">www.megawecare.com</a>
49	MINT	MINOR INTERNATIONAL PUBLIC COMPANY LIMITED	<a href="http://www.minorinternational.com">www.minorinternational.com</a>
50	MTLS	MUANGTHAI LEASING PUBLIC COMPANY LIMITED	<a href="http://www.muangthaileasing.co.th">www.muangthaileasing.co.th</a>
51	PLANB	PLAN B MEDIA PUBLIC COMPANY LIMITED	<a href="http://www.planbmedia.co.th">www.planbmedia.co.th</a>
52	PTG	PTG ENERGY PUBLIC COMPANY LIMITED	<a href="http://www.ptgenergy.co.th">www.ptgenergy.co.th</a>
53	PTL	POLYPLEX (THAILAND) PUBLIC COMPANY LIMITED	<a href="http://www.polyplexthailand.com">www.polyplexthailand.com</a>
54	PTT	PTT PUBLIC COMPANY LIMITED	<a href="http://www.pttplc.com">http://www.pttplc.com</a>
55	PTTEP	PTT EXPLORATION AND PRODUCTION PUBLIC COMPANY LIMITED	<a href="http://www.pttep.com">www.pttep.com</a>
56	PTTGC	PTT GLOBAL CHEMICAL PUBLIC COMPANY LIMITED	<a href="http://www.pttgcgroup.com">www.pttgcgroup.com</a>
57	ROBINS	ROBINSON PUBLIC COMPANY LIMITED	<a href="http://robins.listedcompany.com">http://robins.listedcompany.com</a>
58	S	SINGHA ESTATE PUBLIC COMPANY LIMITED	<a href="http://www.singhaestate.co.th">http://www.singhaestate.co.th</a>
59	SAMART	SAMART CORPORATION PUBLIC COMPANY LIMITED	<a href="http://www.samartcorp.com">www.samartcorp.com</a>
60	SAWAD	SRISAWAD CORPORATION PUBLIC COMPANY LIMITED	<a href="http://www.meebaanmeerod.com">http://www.meebaanmeerod.com</a>
61	SCB	THE SIAM COMMERCIAL BANK PUBLIC COMPANY LIMITED	<a href="http://www.scb.co.th">www.scb.co.th</a>
62	SCC	THE SIAM CEMENT PUBLIC COMPANY LIMITED	<a href="http://www.scg.com">www.scg.com</a>
63	SCCC	SIAM CITY CEMENT PUBLIC COMPANY LIMITED	<a href="http://www.siamcitycement.com">http://www.siamcitycement.com</a>

**Underlying company information of the remaining DWs (Continue)**

No	Stocks	Company's name	Website
64	SGP	SIAMGAS AND PETROCHEMICALS PUBLIC COMPANY LIMITED	<a href="http://www.siamgas.com">www.siamgas.com</a>
65	SIRI	SANSIRI PUBLIC COMPANY LIMITED	<a href="http://www.sansiri.com">http://www.sansiri.com</a>
66	SPCG	SPCG PUBLIC COMPANY LIMITED	<a href="http://www.spcg.co.th">www.spcg.co.th</a>
67	SPRC	STAR PETROLEUM REFINING PUBLIC COMPANY LIMITED	<a href="http://www.sprc.co.th">www.sprc.co.th</a>
68	STEC	SINO-THAI ENGINEERING AND CONSTRUCTION PUBLIC CO.,LTD.	<a href="http://www.stecon.co.th">www.stecon.co.th</a>
69	SUPER	SUPERBLOCK PUBLIC COMPANY LIMITED	<a href="http://www.superblockthailand.com">www.superblockthailand.com</a>
70	TASCO	TIPCO ASPHALT PUBLIC COMPANY LIMITED	<a href="http://www.tipcoasphalt.com">http://www.tipcoasphalt.com</a>
71	TCAP	THANACHART CAPITAL PUBLIC COMPANY LIMITED	<a href="http://www.thanachart.co.th">www.thanachart.co.th</a>
72	THAI	THAI AIRWAYS INTERNATIONAL PUBLIC COMPANY LIMITED	<a href="http://www.thaiairways.com">http://www.thaiairways.com</a>
73	THANI	RATCHTHANI LEASING PUBLIC COMPANY LIMITED	<a href="http://www.ratchthani.com">www.ratchthani.com</a>
74	THCOM	THAICOM PUBLIC COMPANY LIMITED	<a href="http://www.thaicom.net">www.thaicom.net</a>
75	TISCO	TISCO FINANCIAL GROUP PUBLIC COMPANY LIMITED	<a href="http://www.tisco.co.th">http://www.tisco.co.th</a>
76	TKN	TAOKAENOI FOOD & MARKETING PUBLIC COMPANY LIMITED	<a href="http://www.taokaenoi.co.th">www.taokaenoi.co.th</a>
77	TOP	THAI OIL PUBLIC COMPANY LIMITED	<a href="http://www.thaioilgroup.com">www.thaioilgroup.com</a>
78	TPIPL	TPI POLENE PUBLIC COMPANY LIMITED	<a href="http://www.tpipolene.co.th">http://www.tpipolene.co.th</a>
79	TTA	THORESEN THAI AGENCIES PUBLIC COMPANY LIMITED	<a href="http://www.thoresen.com">http://www.thoresen.com</a>
80	TTCL	TTCL PUBLIC COMPANY LIMITED	<a href="http://www.ttcl.com">www.ttcl.com</a>
81	TU	THAI UNION GROUP PUBLIC COMPANY LIMITED	<a href="http://www.thaiuniongroup.com">http://www.thaiuniongroup.com</a>
82	TVO	THAI VEGETABLE OIL PUBLIC COMPANY LIMITED	<a href="http://www.tvothai.com">www.tvothai.com</a>
83	UNIQ	UNIQUE ENGINEERING AND CONSTRUCTION PUBLIC COMPANY LIMITED	<a href="http://www.unique.co.th">http://www.unique.co.th</a>
84	VIBHA	VIBHAVADI MEDICAL CENTER PUBLIC COMPANY LIMITED	<a href="http://www.vibhavadi.com">http://www.vibhavadi.com</a>
85	VNG	VANACHAI GROUP PUBLIC COMPANY LIMITED	<a href="http://www.vanachai.com">www.vanachai.com</a>
86	WHA	WHA CORPORATION PUBLIC COMPANY LIMITED	<a href="http://www.wha.co.th">www.wha.co.th</a>
87	WORK	WORKPOINT ENTERTAINMENT PUBLIC COMPANY LIMITED	<a href="http://www.workpoint.co.th">http://www.workpoint.co.th</a>
88	TRUE	TRUE CORPORATION PUBLIC COMPANY LIMITED	<a href="http://www.truecorp.co.th">http://www.truecorp.co.th</a>

**History of DW issued by the Company for the past 2 years (2016-2017)**

No.	Name of DW	First Trading Date	Maturity Date
1	DTAC13P1606A	6 January 2016	7 June 2016
2	DTAC13C1606A	6 January 2016	7 June 2016
3	ADVA13P1606A	6 January 2016	7 June 2016
4	ADVA13C1606A	6 January 2016	7 June 2016
5	TRUE13P1606A	7 January 2016	7 June 2016
6	TRUE13C1606A	7 January 2016	7 June 2016
7	PTT13P1607A	8 January 2016	5 July 2016
8	PTT13C1607A	8 January 2016	5 July 2016
9	EPG13C1606A	8 January 2016	15 June 2016
10	KBAN13C1606A	13 January 2016	22 June 2016
11	BBL13C1606A	13 January 2016	22 June 2016
12	BANP13C1609A	13 January 2016	30 September 2016
13	INTU13P1606A	14 January 2016	3 June 2016
14	INTU13C1606A	14 January 2016	3 June 2016
15	ADVA13C1605B	14 January 2016	12 May 2016
16	S5013C1603C	15 January 2016	30 March 2016
17	S5013P1603B	15 January 2016	30 March 2016
18	S5013C1603B	15 January 2016	30 March 2016
19	PTTG13C1606A	18 January 2016	10 June 2016
20	IVL13C1606A	18 January 2016	10 June 2016
21	TASC13C1607A	19 January 2016	13 July 2016
22	SCC13C1607A	29 January 2016	13 July 2016
23	JAS13C1607A	29 January 2016	13 July 2016
24	CHG13C1608A	29 January 2016	2 August 2016
25	KTC13C1608A	9 February 2016	16 August 2016
26	IRPC13C1608A	9 February 2016	16 August 2016
27	CPF13C1608A	9 February 2016	18 August 2016
28	AOT13C1608A	9 February 2016	18 August 2016
29	S5013P1606B	12 February 2016	29 June 2016
30	S5013C1606B	12 February 2016	29 June 2016
31	S5013P1606A	12 February 2016	29 June 2016
32	S5013C1606A	12 February 2016	29 June 2016
33	CKP13C1609A	15 February 2016	16 September 2016
34	KBAN13P1607A	23 February 2016	21 July 2016
35	ITD13P1607A	23 February 2016	21 July 2016
36	AAV13C1607A	23 February 2016	21 July 2016
37	AOT13P1608A	26 February 2016	5 August 2016
38	THAI13C1609A	26 February 2016	16 September 2016
39	CK13C1608A	26 February 2016	25 August 2016
40	TASC13C1608A	3 March 2016	25 August 2016
41	TRUE13C1608A	4 March 2016	18 August 2016
42	KTBI3C1610A	4 March 2016	19 October 2016
43	ITD13C1609A	4 March 2016	22 September 2016
44	RS13C1609A	7 March 2016	22 September 2016
45	S5013P1606C	8 March 2016	29 June 2016
46	S5013C1606C	8 March 2016	29 June 2016
47	DTAC13C1609A	11 March 2016	9 September 2016
48	PTTE13C1608A	14 March 2016	18 August 2016

No.	Name of DW	First Trading Date	Maturity Date
49	GUNK13C1608A	16 March 2016	18 August 2016
50	SCC13C1610A	21 March 2016	13 October 2016
51	PTTE13P1608A	28 March 2016	18 August 2016
52	PTT13C1608A	29 March 2016	23 August 2016
53	ADVA13C1610A	29 March 2016	20 October 2016
54	S5013P1606D	5 April 2016	29 June 2016
55	S5013C1606D	5 April 2016	29 June 2016
56	STEC13C1610A	7 April 2016	20 October 2016
57	CK13C1610A	7 April 2016	20 October 2016
58	ADVA13P1610A	8 April 2016	20 October 2016
59	BDMS13C1610A	8 April 2016	20 October 2016
60	TTA13C1610A	20 April 2016	27 October 2016
61	JAS13C1610A	20 April 2016	27 October 2016
62	IVL13C1612A	29 April 2016	16 December 2016
63	GPSC13C1612A	29 April 2016	16 December 2016
64	BANP13C1612A	29 April 2016	16 December 2016
65	PTT13P1612A	3 May 2016	22 December 2016
66	TASC13C1612A	3 May 2016	22 December 2016
67	IRPC13C1612A	3 May 2016	22 December 2016
68	INTU13C1612A	3 May 2016	22 December 2016
69	SCB13C1612A	9 May 2016	22 December 2016
70	PTTE13C1610A	9 May 2016	13 October 2016
71	GL13C1612A	9 May 2016	22 December 2016
72	S5013P1609A	10 May 2016	29 September 2016
73	S5013C1609A	10 May 2016	29 September 2016
74	PTT13P1611A	16 May 2016	30 November 2016
75	BBL13P1611A	16 May 2016	30 November 2016
76	ADVA13P1611A	16 May 2016	30 November 2016
77	TOP13C1611A	16 May 2016	30 November 2016
78	SCC13C1611A	16 May 2016	30 November 2016
79	PTT13C1611A	16 May 2016	30 November 2016
80	KBAN13C1611A	16 May 2016	30 November 2016
81	ITD13C1611A	16 May 2016	30 November 2016
82	INTU13C1611A	16 May 2016	30 November 2016
83	BBL13C1611A	16 May 2016	30 November 2016
84	BANP13C1611A	16 May 2016	30 November 2016
85	AOT13C1611A	16 May 2016	30 November 2016
86	ADVA13C1611A	16 May 2016	30 November 2016
87	S5013P1609B	18 May 2016	29 September 2016
88	S5013C1609B	18 May 2016	29 September 2016
89	CPF13C1612A	18 May 2016	22 December 2016
90	TRUE13P1612A	30 May 2016	15 December 2016
91	TPIP13C1612A	30 May 2016	15 December 2016
92	PTTG13C1611A	30 May 2016	30 November 2016
93	PTTE13P1611A	31 May 2016	30 November 2016
94	PTTE13C1611A	31 May 2016	30 November 2016
95	THAI13C1612A	1 June 2016	2 December 2016
99	TRUE13C1612A	3 June 2016	15 December 2016
100	DTAC13C1612A	3 June 2016	15 December 2016
96	S5013P1609C	6 June 2016	29 September 2016

No.	Name of DW	First Trading Date	Maturity Date
97	S5013C1609C	6 June 2016	29 September 2016
98	WHA13C1612A	6 June 2016	16 December 2016
101	GL13C1701A	9 June 2016	12 January 2017
102	VNG13C1701A	10 June 2016	12 January 2017
103	JAS13C1612A	16 June 2016	16 December 2016
104	EPG13C1612A	21 June 2016	19 December 2016
105	CPAL13C1611A	21 June 2016	30 November 2016
106	BANP13P1612A	23 June 2016	16 December 2016
107	THCO13C1701A	23 June 2016	12 January 2017
108	SCB13P1611A	24 June 2016	30 November 2016
109	KBAN13P1611A	24 June 2016	30 November 2016
110	MINT13C1701A	24 June 2016	12 January 2017
111	AAV13C1612A	24 June 2016	16 December 2016
112	THAI13C1701A	29 June 2016	12 January 2017
113	UV13C1706A	30 June 2016	22 June 2017
114	PLAT13C1706A	30 June 2016	22 June 2017
115	JAS13C1709A	30 June 2016	13 September 2017
116	JAS13C1707A	30 June 2016	14 July 2017
117	JAS13C1706A	30 June 2016	22 June 2017
118	S5013P1609D	4 July 2016	29 September 2016
119	S5013C1609D	4 July 2016	29 September 2016
120	ITD13C1701A	5 July 2016	20 January 2017
121	BANP13C1701A	5 July 2016	20 January 2017
122	KTC13C1701A	7 July 2016	20 January 2017
123	ADVA13C1701A	7 July 2016	12 January 2017
124	THAI13C1702A	7 July 2016	3 February 2017
125	BEM13C1701A	7 July 2016	20 January 2017
126	TTA13C1701A	12 July 2016	17 January 2017
127	CKP13C1701A	12 July 2016	17 January 2017
128	BCH13C1701A	12 July 2016	17 January 2017
129	S5013P1609E	14 July 2016	29 September 2016
130	S5013C1609E	14 July 2016	29 September 2016
131	TRUE13C1701A	15 July 2016	17 January 2017
132	DTAC13P1612A	15 July 2016	15 December 2016
133	STEC13C1701A	20 July 2016	19 January 2017
134	SAWA13C1701A	20 July 2016	19 January 2017
135	DTAC13C1701A	20 July 2016	19 January 2017
136	TRUE13P1701A	21 July 2016	17 January 2017
137	CHG13C1701A	21 July 2016	17 January 2017
138	PTT13P1701A	25 July 2016	10 January 2017
139	PTT13C1612A	25 July 2016	22 December 2016
140	IRPC13C1701A	25 July 2016	10 January 2017
141	GL13C1702A	25 July 2016	16 February 2017
142	S5013P1611A	2 August 2016	29 November 2016
143	S5013C1611A	2 August 2016	29 November 2016
144	BA13C1701A	10 August 2016	19 January 2017
147	KCE13C1702A	15 August 2016	16 February 2017
148	CBG13C1702A	15 August 2016	16 February 2017
149	AOT13C1701A	15 August 2016	24 January 2017
145	S5013P1612A	16 August 2016	29 December 2016



No.	Name of DW	First Trading Date	Maturity Date
146	S5013C1612A	16 August 2016	29 December 2016
150	IFEC13C1702A	18 August 2016	14 February 2017
151	BEAU13C1702A	30 August 2016	14 February 2017
152	BA13C1702A	30 August 2016	3 February 2017
153	S5013P1612B	2 September 2016	29 December 2016
154	S5013C1612B	2 September 2016	29 December 2016
155	KTC13C1702A	6 September 2016	22 February 2017
156	CPAL13C1702A	6 September 2016	22 February 2017
157	CBG13C1703A	6 September 2016	3 March 2017
158	S5013P1612C	19 September 2016	29 December 2016
159	S5013C1612C	19 September 2016	29 December 2016
160	CPF13C1705A	20 September 2016	31 May 2017
161	BANP13C1705A	20 September 2016	31 May 2017
162	CK13C1703A	20 September 2016	17 March 2017
163	SCC13C1705A	21 September 2016	31 May 2017
164	SCB13C1705A	21 September 2016	31 May 2017
165	PTT13C1705A	21 September 2016	31 May 2017
166	KBAN13C1705A	21 September 2016	31 May 2017
167	TASC13C1703A	22 September 2016	17 March 2017
168	ITD13C1703A	22 September 2016	17 March 2017
169	PTG13C1703A	22 September 2016	17 March 2017
170	CHG13C1703A	22 September 2016	17 March 2017
171	TRUE13C1703A	30 September 2016	9 March 2017
172	PTTE13C1703A	5 October 2016	9 March 2017
173	KTB13C1705A	5 October 2016	31 May 2017
174	BDMS13C1703A	5 October 2016	9 March 2017
175	TTA13C1704A	14 October 2016	27 April 2017
176	TISC13C1704A	14 October 2016	27 April 2017
177	S5013P1612D	17 October 2016	29 December 2016
178	S5013C1612D	17 October 2016	29 December 2016
179	S5013P1612E	19 October 2016	29 December 2016
180	BANP13P1704A	21 October 2016	27 April 2017
181	STEC13C1704A	21 October 2016	27 April 2017
182	IFEC13C1704A	21 October 2016	27 April 2017
183	BANP13C1704A	21 October 2016	27 April 2017
184	ADVA13C1705A	21 October 2016	31 May 2017
185	PTT13P1705A	27 October 2016	31 May 2017
186	WHA13C1704A	27 October 2016	27 April 2017
187	BEAU13C1704A	27 October 2016	27 April 2017
188	AOT13C1703A	3 November 2016	30 March 2017
189	S5013P1612F	4 November 2016	29 December 2016
190	S5013C1612E	4 November 2016	29 December 2016
191	IVL13C1704A	8 November 2016	20 April 2017
192	STPI13C1704A	10 November 2016	20 April 2017
193	PTTG13C1705A	14 November 2016	31 May 2017
194	INTU13C1705A	14 November 2016	31 May 2017
195	BBL13C1706A	14 November 2016	7 June 2017
196	SCB13P1705A	15 November 2016	31 May 2017
197	BBL13P1706A	15 November 2016	7 June 2017
198	TOP13C1705A	15 November 2016	31 May 2017



No.	Name of DW	First Trading Date	Maturity Date
201	KBAN13P1705A	16 November 2016	31 May 2017
202	ADVA13P1705A	16 November 2016	31 May 2017
199	PTTE13P1705A	17 November 2016	31 May 2017
200	DTAC13P1704A	17 November 2016	20 April 2017
203	S5013P1703A	18 November 2016	30 March 2017
204	S5013C1703A	18 November 2016	30 March 2017
205	MTLS13C1704A	18 November 2016	20 April 2017
206	DTAC13C1704A	18 November 2016	20 April 2017
207	VNG13C1706A	22 November 2016	7 June 2017
208	TPIP13C1706A	22 November 2016	7 June 2017
209	TASC13C1706A	22 November 2016	7 June 2017
210	SCC13P1705A	24 November 2016	31 May 2017
211	AOT13P1706A	24 November 2016	7 June 2017
212	ITD13C1706A	24 November 2016	7 June 2017
213	S5013P1703B	25 November 2016	30 March 2017
214	S5013C1703B	25 November 2016	30 March 2017
215	SAWA13C1706A	29 November 2016	14 June 2017
216	EPG13C1706A	29 November 2016	14 June 2017
217	AAV13C1706A	29 November 2016	14 June 2017
218	MTLS13C1706A	30 November 2016	14 June 2017
219	THAI13C1704A	1 December 2016	27 April 2017
220	KCE13C1706A	1 December 2016	14 June 2017
221	GL13C1706A	1 December 2016	14 June 2017
222	STEC13C1706A	2 December 2016	21 June 2017
223	GUNK13C1706A	2 December 2016	21 June 2017
224	GPSC13C1706A	2 December 2016	21 June 2017
225	VGI13C1706A	9 December 2016	13 June 2017
226	BTS13C1706A	9 December 2016	13 June 2017
227	S5013P1703C	9 December 2016	30 March 2017
228	S5013C1703C	9 December 2016	30 March 2017
229	TRUE13P1706A	14 December 2016	13 June 2017
230	IVL13C1705A	14 December 2016	31 May 2017
231	ITD13C1707A	14 December 2016	4 July 2017
232	BEM13C1707A	16 December 2016	12 July 2017
233	BCH13C1707A	16 December 2016	12 July 2017
234	BA13C1707A	16 December 2016	12 July 2017
235	ADVA13C1707A	16 December 2016	12 July 2017
236	PTT13C1707A	19 December 2016	12 July 2017
237	IRPC13C1707A	19 December 2016	12 July 2017
238	CKP13C1707A	19 December 2016	12 July 2017
239	GL13C1707A	21 December 2016	12 July 2017
240	UNIQ13C1706A	21 December 2016	7 June 2017
241	TRUE13C1706A	27 December 2016	13 June 2017
242	THAI13C1706A	27 December 2016	13 June 2017
243	S5013P1703D	28 December 2016	30 March 2017
244	S5013C1703D	28 December 2016	30 March 2017
245	GL13C1710A	30 December 2016	20 October 2017
246	GL13C1709A	30 December 2016	27 September 2017
247	WORK13C1709A	30 December 2016	27 September 2017
248	ERW13C1709A	30 December 2016	27 September 2017

No.	Name of DW	First Trading Date	Maturity Date
249	IVL13C1707A	9 January 2017	19 July 2017
250	TKN13C1707A	10 January 2017	19 July 2017
251	SPRC13C1707A	10 January 2017	19 July 2017
252	THCO13C1708A	12 January 2017	9 August 2017
253	SCC13C1708A	12 January 2017	9 August 2017
254	KTC13C1708A	12 January 2017	9 August 2017
255	IRPC13C1708A	12 January 2017	9 August 2017
256	CPF13C1708A	12 January 2017	9 August 2017
257	CPAL13C1708A	12 January 2017	9 August 2017
258	CBG13C1708A	12 January 2017	9 August 2017
259	GUNK13C1708A	13 January 2017	9 August 2017
260	TTA13C1707A	19 January 2017	19 July 2017
261	TPIP13C1708A	25 January 2017	17 August 2017
262	DTAC13C1708A	25 January 2017	17 August 2017
263	SAMA13C1708A	26 January 2017	17 August 2017
264	PTT13C1708A	27 January 2017	17 August 2017
265	CHG13C1708A	30 January 2017	22 August 2017
266	S5013P1703E	30 January 2017	30 March 2017
267	S5013C1703E	30 January 2017	30 March 2017
268	DTAC13P1708A	31 January 2017	17 August 2017
269	PTTE13C1708A	2 February 2017	29 August 2017
270	S5013P1703F	2 February 2017	30 March 2017
271	S5013C1703F	2 February 2017	30 March 2017
272	VIBH13C1709A	6 February 2017	13 September 2017
273	PTG13C1709A	6 February 2017	13 September 2017
274	CK13C1709A	6 February 2017	13 September 2017
275	BEAU13C1709A	6 February 2017	13 September 2017
276	LPN13C1709A	7 February 2017	13 September 2017
277	S5013P1705A	7 February 2017	30 May 2017
278	S5013C1705A	7 February 2017	30 May 2017
279	AOT13C1708A	8 February 2017	29 August 2017
280	KKP13C1709A	10 February 2017	27 September 2017
281	TASC13C1709A	14 February 2017	27 September 2017
282	TRUE13C1709A	14 February 2017	27 September 2017
283	STPI13C1709A	14 February 2017	27 September 2017
284	MINT13C1709A	14 February 2017	27 September 2017
285	BDMS13C1709A	14 February 2017	27 September 2017
286	CBG13P1709A	15 February 2017	13 September 2017
287	AOT13P1708A	17 February 2017	29 August 2017
288	TISC13C1709A	23 February 2017	5 September 2017
289	PTTE13C1709A	23 February 2017	5 September 2017
290	BLA13C1709A	23 February 2017	5 September 2017
291	S5013P1706A	2 March 2017	29 June 2017
292	S5013C1706A	2 March 2017	29 June 2017
293	S5013P1706B	3 March 2017	29 June 2017
294	S5013C1706B	3 March 2017	29 June 2017
295	CBG13C1709A	6 March 2017	27 September 2017
296	BANP13C1709A	6 March 2017	27 September 2017
297	THAI13C1710A	8 March 2017	5 October 2017
298	KCE13C1710A	13 March 2017	12 October 2017

No.	Name of DW	First Trading Date	Maturity Date
299	UNIQ13C1710A	14 March 2017	12 October 2017
300	PTG13C1710A	14 March 2017	12 October 2017
301	BCH13C1710A	14 March 2017	12 October 2017
302	S5013P1706C	17 March 2017	29 June 2017
303	S5013C1706C	17 March 2017	29 June 2017
304	WHA13C1710A	21 March 2017	27 October 2017
305	KCE13C1709A	21 March 2017	29 September 2017
306	GPSC13C1710A	27 March 2017	27 October 2017
307	IVL13C1710A	29 March 2017	27 October 2017
308	CPF13C1712A	29 March 2017	8 December 2017
309	ADVA13C1710A	29 March 2017	27 October 2017
310	IVL13P1710A	30 March 2017	27 October 2017
311	BANP13P1712A	30 March 2017	8 December 2017
312	ADVA13P1711A	30 March 2017	30 November 2017
313	S5013P1706D	31 March 2017	29 June 2017
314	S5013C1706D	31 March 2017	29 June 2017
315	BBL13P1712A	17 April 2017	13 December 2017
316	STEC13C1712A	17 April 2017	13 December 2017
317	KTBI3C1712A	17 April 2017	13 December 2017
318	EPG13C1712A	17 April 2017	13 December 2017
319	DTAC13C1712A	17 April 2017	13 December 2017
320	CPAL13C1712A	17 April 2017	13 December 2017
321	SUPE13C1712A	24 April 2017	22 December 2017
322	VNG13C1712A	24 April 2017	22 December 2017
323	TTA13C1712A	24 April 2017	22 December 2017
324	ITD13C1712A	24 April 2017	22 December 2017
325	BEM13C1712A	24 April 2017	22 December 2017
326	PTTE13P1712A	25 April 2017	22 December 2017
327	TOP13C1712A	25 April 2017	22 December 2017
328	PTTG13C1712A	25 April 2017	22 December 2017
329	INTU13C1712A	25 April 2017	22 December 2017
330	CHG13C1712A	25 April 2017	22 December 2017
331	TKN13C1712A	27 April 2017	21 December 2017
332	SCC13C1711A	27 April 2017	30 November 2017
333	SCB13C1711A	27 April 2017	30 November 2017
334	PTT13C1711A	27 April 2017	30 November 2017
335	KBAN13C1711A	27 April 2017	30 November 2017
336	ADVA13C1711A	27 April 2017	30 November 2017
337	SCC13P1711A	2 May 2017	30 November 2017
338	SCB13P1711A	2 May 2017	30 November 2017
339	PTT13P1711A	2 May 2017	30 November 2017
340	KBAN13P1711A	2 May 2017	30 November 2017
341	GUNK13C1712A	3 May 2017	21 December 2017
342	AAV13C1712A	3 May 2017	21 December 2017
343	S5013P1706E	5 May 2017	29 June 2017
344	S5013C1706E	5 May 2017	29 June 2017
345	TASC13C1712A	15 May 2017	20 December 2017
346	STEC13C1801A	15 May 2017	11 January 2018
347	CK13C1712A	15 May 2017	20 December 2017
348	THAI13C1712A	19 May 2017	21 December 2017

No.	Name of DW	First Trading Date	Maturity Date
349	SAWA13C1712A	19 May 2017	21 December 2017
350	PTG13C1712A	19 May 2017	21 December 2017
351	MTLS13C1801A	19 May 2017	17 January 2018
352	CBG13C1712A	19 May 2017	21 December 2017
353	BANP13C1712A	19 May 2017	21 December 2017
354	TRUE13P1712A	22 May 2017	19 December 2017
355	BLA13P1712A	22 May 2017	19 December 2017
356	TPIP13C1712A	22 May 2017	19 December 2017
357	KTC13C1712A	22 May 2017	19 December 2017
358	BLA13C1712A	22 May 2017	19 December 2017
359	BDMS13C1801A	22 May 2017	26 January 2018
360	BBL13C1801A	22 May 2017	26 January 2018
361	BA13C1801A	22 May 2017	26 January 2018
362	HANA13C1801A	23 May 2017	26 January 2018
363	CPN13C1801A	23 May 2017	26 January 2018
364	S5013P1709A	29 May 2017	28 September 2017
365	S5013C1709A	29 May 2017	28 September 2017
366	S5013P1709B	5 June 2017	28 September 2017
367	S5013C1709B	5 June 2017	28 September 2017
368	DTAC13P1712A	6 June 2017	13 December 2017
369	TISC13C1801A	6 June 2017	26 January 2018
370	PTTE13C1801A	6 June 2017	26 January 2018
371	AOT13C1801A	6 June 2017	26 January 2018
372	CKP13C1801A	8 June 2017	26 January 2018
373	TRUE13C1801A	16 June 2017	26 January 2018
374	S5013P1709C	22 June 2017	28 September 2017
375	S5013C1709C	22 June 2017	28 September 2017
376	TASC13C1801A	27 June 2017	31 January 2018
377	BEC13C1801A	27 June 2017	31 January 2018
378	VNG13C1806A	29 June 2017	13 June 2018
379	VIBH13C1806A	29 June 2017	13 June 2018
380	TTCL13C1806A	29 June 2017	13 June 2018
381	SPCG13C1806A	29 June 2017	13 June 2018
382	SGP13C1806A	29 June 2017	13 June 2018
383	SAMA13C1806A	29 June 2017	13 June 2018
384	HANA13C1806A	29 June 2017	13 June 2018
385	S5013P1709D	30 June 2017	28 September 2017
386	S5013C1709D	30 June 2017	28 September 2017
387	DTAC13P1802A	30 June 2017	6 February 2018
388	IRPC13C1802A	30 June 2017	6 February 2018
389	SUPE13C1802A	6 July 2017	6 February 2018
390	EA13C1802A	6 July 2017	6 February 2018
391	BPP13C1802A	6 July 2017	6 February 2018
392	BJC13C1802A	6 July 2017	6 February 2018
393	BANP13C1802A	6 July 2017	6 February 2018
394	PTT13C1802A	7 July 2017	6 February 2018
395	KTC13C1802A	7 July 2017	6 February 2018
396	COM713C1802A	7 July 2017	6 February 2018
397	IVL13P1802A	14 July 2017	21 February 2018
398	AOT13P1802A	14 July 2017	21 February 2018

No.	Name of DW	First Trading Date	Maturity Date
399	SPRC13C1802A	14 July 2017	21 February 2018
400	SIRI13C1802A	14 July 2017	21 February 2018
401	KCE13C1802A	14 July 2017	21 February 2018
402	CPF13C1802A	14 July 2017	21 February 2018
403	BEAU13C1802A	14 July 2017	21 February 2018
404	KKP13C1802A	17 July 2017	21 February 2018
405	KBAN13C1802A	17 July 2017	21 February 2018
406	BIG13C1802A	19 July 2017	21 February 2018
407	S13C1802A	21 July 2017	22 February 2018
408	IVL13C1802A	21 July 2017	22 February 2018
409	EPG13C1803A	26 July 2017	5 March 2018
410	AOT13C1803A	26 July 2017	5 March 2018
411	KCE13C1803A	31 July 2017	5 March 2018
412	ITD13C1802A	3 August 2017	22 February 2018
413	GPSC13C1803A	3 August 2017	26 March 2018
414	CBG13P1803A	4 August 2017	26 March 2018
415	KTB13C1803A	4 August 2017	26 March 2018
416	BCPG13C1804A	10 August 2017	26 April 2018
417	AMAT13C1804A	10 August 2017	26 April 2018
418	PTL13C1803A	10 August 2017	26 March 2018
419	PTG13C1803A	10 August 2017	26 March 2018
420	GUNK13C1803A	10 August 2017	26 March 2018
421	ROBI13C1804A	11 August 2017	26 April 2018
422	MEGA13C1804A	11 August 2017	26 April 2018
423	GFPT13C1804A	11 August 2017	26 April 2018
424	GLOW13C1804A	11 August 2017	26 April 2018
425	EGCO13C1804A	11 August 2017	26 April 2018
426	TVO13C1804A	15 August 2017	26 April 2018
427	THAN13C1804A	15 August 2017	26 April 2018
428	TU13C1804A	15 August 2017	26 April 2018
429	SCCC13C1804A	15 August 2017	26 April 2018
430	CPF13C1803A	15 August 2017	26 March 2018
431	WORK13C1803A	16 August 2017	27 March 2018
432	TKN13C1803A	16 August 2017	27 March 2018
433	S5013P1712A	18 August 2017	28 December 2017
434	S5013C1712A	18 August 2017	28 December 2017
440	BIG13C1804A	21 August 2017	24 April 2018
441	BEC13C1804A	21 August 2017	24 April 2018
435	UNIQ13C1805A	22 August 2017	3 May 2018
436	THAI13C1803A	22 August 2017	26 March 2018
437	SAWA13C1803A	22 August 2017	26 March 2018
438	PTTE13C1805A	22 August 2017	3 May 2018
439	BEAU13C1805A	22 August 2017	3 May 2018
442	TOP13C1805A	28 August 2017	3 May 2018
443	ADVA13C1805A	28 August 2017	3 May 2018
444	DELT13C1804A	28 August 2017	24 April 2018
445	TRUE13C1803A	28 August 2017	26 March 2018
446	S5013P1712B	29 August 2017	28 December 2017
447	S5013C1712B	29 August 2017	28 December 2017
448	SCC13C1805A	29 August 2017	3 May 2018

No.	Name of DW	First Trading Date	Maturity Date
449	BH13C1805A	29 August 2017	3 May 2018
450	PTTE13P1805A	30 August 2017	3 May 2018
451	ADVA13P1805A	30 August 2017	3 May 2018
452	MTLS13P1803A	30 August 2017	26 March 2018
453	S5013P1712C	1 September 2017	28 December 2017
454	S5013C1712C	1 September 2017	28 December 2017
455	PTT13C1803A	1 September 2017	27 March 2018
456	WORK13C1802A	6 September 2017	21 February 2018
457	COM713C1804A	6 September 2017	24 April 2018
458	BCH13C1805A	7 September 2017	18 May 2018
459	DTAC13C1803A	8 September 2017	23 March 2018
460	S5013P1712D	13 September 2017	28 December 2017
461	S5013C1712D	13 September 2017	28 December 2017
462	WHA13C1805A	18 September 2017	18 May 2018
463	THCO13C1804A	18 September 2017	24 April 2018
464	SCB13C1805A	18 September 2017	18 May 2018
465	MINT13C1805A	18 September 2017	18 May 2018
466	IVL13C1803A	19 September 2017	23 March 2018
467	AOT13C1805A	19 September 2017	18 May 2018
468	S5013P1712E	21 September 2017	28 December 2017
469	S5013C1712E	21 September 2017	28 December 2017
470	TPIP13C1805A	21 September 2017	18 May 2018
471	PTT13P1805A	22 September 2017	15 May 2018
472	TTA13C1805A	22 September 2017	15 May 2018
473	CBG13C1805A	27 September 2017	3 May 2018
474	GPSC13C1805A	28 September 2017	18 May 2018
475	BCP13C1805A	28 September 2017	18 May 2018
476	SPRC13C1805A	29 September 2017	15 May 2018
477	BCPG13C1805A	29 September 2017	15 May 2018
478	SAWA13C1804A	5 October 2017	24 April 2018
479	BEAU13C1804A	5 October 2017	24 April 2018
480	TCAP13C1804A	9 October 2017	25 April 2018
481	SUPE13C1805A	9 October 2017	15 May 2018
482	PTG13C1805A	9 October 2017	15 May 2018
483	MEGA13C1805A	9 October 2017	15 May 2018
484	CKP13C1805A	16 October 2017	3 May 2018
485	CBG13C1804A	16 October 2017	26 April 2018
486	CBG13P1805A	19 October 2017	15 May 2018
487	TOP13C1806A	19 October 2017	6 June 2018
488	MALE13C1804A	19 October 2017	3 April 2018
489	S5013P1712F	20 October 2017	28 December 2017
490	S5013C1712F	20 October 2017	28 December 2017
491	BLA13P1805A	20 October 2017	3 May 2018
492	KTC13C1805A	20 October 2017	3 May 2018
493	GPSC13C1806A	20 October 2017	6 June 2018
494	BLA13C1805A	20 October 2017	3 May 2018
495	TASC13C1805A	24 October 2017	22 May 2018
496	PTTG13C1805A	24 October 2017	22 May 2018
497	CHG13C1805A	24 October 2017	22 May 2018
498	BPP13C1805A	24 October 2017	22 May 2018



No.	Name of DW	First Trading Date	Maturity Date
499	AAV13C1805A	24 October 2017	22 May 2018
500	TKN13C1805A	30 October 2017	22 May 2018
501	CBG13C1806A	30 October 2017	7 June 2018
502	WORK13C1805A	9 November 2017	22 May 2018
503	MTLS13C1805A	9 November 2017	22 May 2018
504	THCO13C1805A	10 November 2017	22 May 2018
505	IRPC13C1806A	10 November 2017	14 June 2018
506	INTU13C1806A	10 November 2017	14 June 2018
507	CK13C1806A	10 November 2017	14 June 2018
508	BEM13C1806A	10 November 2017	14 June 2018
509	EA13C1805A	13 November 2017	15 May 2018
510	BCPG13C1806A	13 November 2017	14 June 2018
511	COM713C1805A	15 November 2017	15 May 2018
512	BLA13C1804A	15 November 2017	25 April 2018
513	KCE13C1805A	16 November 2017	15 May 2018
514	IVL13C1805A	16 November 2017	15 May 2018
515	DTAC13C1805A	16 November 2017	15 May 2018
516	BEAU13C1806A	16 November 2017	6 June 2018
517	KTC13C1806A	21 November 2017	12 June 2018
518	AMAT13C1805A	21 November 2017	18 May 2018
519	AMAT13C1806A	24 November 2017	12 June 2018
520	S5013P1803A	24 November 2017	29 March 2018
521	S5013C1803A	24 November 2017	29 March 2018
522	IVL13P1805A	4 December 2017	15 May 2018
523	SUPE13C1806A	4 December 2017	18 June 2018
524	MALE13C1805A	4 December 2017	24 May 2018
525	DTAC13C1806A	4 December 2017	18 June 2018
526	BJC13C1805A	4 December 2017	24 May 2018
527	BEC13C1805A	4 December 2017	24 May 2018
528	S5013P1803B	6 December 2017	29 March 2018
529	S5013C1803B	6 December 2017	29 March 2018
530	S5013P1803C	22 December 2017	29 March 2018
531	S5013C1803C	22 December 2017	29 March 2018
532	CPAL13C1807A	22 December 2017	10 July 2018
533	PTG13C1806A	27 December 2017	18 June 2018
534	KTC13C1807A	27 December 2017	10 July 2018
535	BPP13C1806A	27 December 2017	18 June 2018
536	BANP13C1807A	27 December 2017	10 July 2018
537	GPSC13C1807A	28 December 2017	10 July 2018
538	AOT13C1806A	28 December 2017	14 June 2018
539	THAN13C1809A	29 December 2017	24 September 2018
540	SCCC13C1809A	29 December 2017	24 September 2018
541	PTL13C1809A	29 December 2017	24 September 2018
542	PLAN13C1809A	29 December 2017	24 September 2018
543	MALE13C1809A	29 December 2017	24 September 2018
544	DELT13C1809A	29 December 2017	24 September 2018
545	BLA13C1809A	29 December 2017	24 September 2018



## 5.2 The Company's Branch and subsidiary's office locations

Bangkok Branch	Provincial Branch	
<b>Pin Klao</b> 7/129 Central Pinklao Building, 7 <sup>th</sup> Floor,Room no. 704, Boromrajchonnane Road, Arunammarin Sub-District, Bangkok Noi District, Bangkok 10700 Tel: 0 2084 9090-9 Fax: 0 2884 9019	<b>Hat Yai</b> 29 Lee Garden Plaza, 6 <sup>th</sup> Floor, Room no. 602, Prachathipat Road, Hat Yai Sub-District, Hat Yai District, Songkhla 90110 Tel: 0 7435 2520-39 Fax: 0 7423 7164	<b>Chiang Rai</b> 490/1 Utrarakit Road, Weang Sub-District, Muang District, Chiang Rai 57000 Tel: 0 5374 0701-7 Fax: 0 5371 3117
<b>Changwattana</b> 99/349 Na-nakorn building, 1 <sup>st</sup> Floor, Changwattana Road, Tongsonghong Sub-District, Laksee District, Bangkok 10210 Tel: 0 2074 7400 Fax: 0 2576 1562	<b>Nakhon Ratchasima</b> 1242/2 The Mall Shopping Center, Nakorn-Ratchasima branch, 3 <sup>rd</sup> Floor, Room A2, Mittapap Road, Naimuang Sub-District, Muang District, Nakhon Ratchasima 30000 Tel: 0 4439 3722 Fax: 0 4439 3723	<b>Phetchaburi</b> 96/1-2, Moo 8, Kirirattaya Road, Thongchai Sub-District, Muang District, Petchaburi 76000 Tel: 0 3241 8371-5 Fax: 0 3241 8375
<b>Thaniya</b> 62, Thaniya Plaza Building , 5 <sup>th</sup> Floor, Room 505, Silom Road, Suriyawong Sub-District, Bangrak District, Bangkok 10500 Tel: 0 2632 9360-9 0 2632 9371-85 Fax: 0 2632 9370	<b>Samut Sakhon</b> 923/55 A.T. Tower Building, Ekachai Road, Maha Chai Sub-District, Muang District, Samut Sakhon 74000 Tel: 0 3442 6940 -7 Fax: 0 3482 6375	<b>Udon Thani</b> 26-27 Naraesuan Road, Makkeng Sub-District, Muang District, Udon Thani 41000 Tel: 0 4224 4008, 0 4224 3536, Fax: 0 4224 3960
<b>Silom Complex</b> 191, Silom Complex Building, 20 <sup>th</sup> Floor, Room no. 3-5,Silom Road, Silom Sub-district, Bangrak District, Bangkok 10500 Tel: 0 2126 6360 Fax: 0 2126 6396-98	<b>Suphan Buri</b> 28/6-7 Muanhan Road, Thapeeleang Sub-District, Muang District, Suphan Buri 72000 Tel: 0 3552 2530-3 Fax: 0 3550 2875	<b>Nakhon Pathom 2</b> 28/32, 2 <sup>nd</sup> Floor, Yingpao Road, Sanamjan Sub-District, Muang District, Nakhon Pathom 73000 Tel: 0 3427 2307, 0 3427 2519-21 0 3427 2496-7 Fax: 0 3427 2309
<b>Pracha Chuen</b> 99/218, Moo 6, Boonto 6 project Cheangwattana Road, Banmai sub-district, Pakkred District, Nonthaburi 11120 Tel: 0 2565 5410, 0 2565 5402-8 Fax: 0 2565 5860	<b>Nakhon Sawan</b> 15/28-29 Sawanvithi Road, Pak Nam Pho Sub-District, Muang District, Nakhon Sawan 60000 Tel: 0 5631 4211-9 Fax: 0 5631 4211	<b>Online Udon Thani</b> 168 Moo 6, 168 Platinum Project, Room P-004 (B), Boonyahan Road, Nadee Sub-District, Muang District, Udon Thani 41000 Tel: 0 4224 4008 Fax: 0 4224 3960
	<b>Nakhon Pathom 1</b> 28/32, 1 <sup>st</sup> Floor, Yingpao Road, Sanamjan Sub-District, Muang District, Nakhon Pathom 73000 Tel: 0 3427 2300-6 Fax: 0 3421 9262	<b>Chiangmai</b> 189/1 Moo 6, Ruamchok mall/ Ruamchok Market, 2 <sup>nd</sup> Floor, Room no.C 101, Chiang Mai - Mae Jo Road, Faham Sub-District, Mueang Chiang Mai District, Chiang Mai Province 50000 Tel: 0 5207 0900-914 Fax: 0 5207 0914
<b>Subsidiary Company</b>  <b>One Asset Management Limited</b> No. 989, the 9 <sup>th</sup> and 24 <sup>th</sup> floors of Siampiwat Tower Building, Rama I Road, Patumwan Sub-district, Patumwan District, Bangkok 10330, Thailand Tel: 66 (0) 2659 8888 Fax : 66 (0) 2659 8860 Website : <a href="http://www.one-asset.com">http:// www.one-asset.com</a>		

### **5.3 Report of the Audit Committee**

#### ***Dear Shareholders,***

The Audit Committee of KGI Securities (Thailand) Public Company Limited consists of three independent directors, Dr.Pisit Leeahtam (Chairman), Dr.Don Bhasavanich and Mr. Pradit Sawattananond. The Secretary to the Committee is Ms.Rungthip Vanitchapan, who also serves as Senior Vice President of the Audit Department. All of the Committee's members meet the qualifications set by The Securities and Exchange Commission.

In 2017, the Committee held a total of six (6) meetings with the Company's management and both the internal and external auditors. All the Committee's members attended these meetings. The Committee performed its duties as assigned by the Board of Directors in accordance with the charter of the Audit Committee. The Committee reported its performance to the Board every quarter and made recommendations for necessary actions to be taken by the Company. The Committee's significant activities have been summarized below.

#### **1. Reviewing of financial statements**

The Audit Committee reviewed the quarterly and yearly financial statements for the year 2017 of the Company and its subsidiaries with the Head of Finance and Accounting Department, Audit Department, and the external auditors. The Committee also discussed significant accounting policies and key issues with the Company's management and external auditors, along with estimates and judgments applied to the financial statements.

In addition, the Committee held exclusively with the external auditors without management or executives from other departments in attendance. The Audit Committee also consulted with the external auditors regarding significant issues and the disclosure of notes to the financial statement and discuss private matters and issues that had arisen while performing their duties.

The Audit Committee agreed that the financial statements of KGI Securities (Thailand) Public Company Limited and its subsidiaries for year ended 31<sup>st</sup> December 2017 were accurate and adequately adhered to the general accepted accounting principles and in accordance to the law and related regulations.

#### **2. Reviewing of internal control**

The Audit Committee monitored and reviewed the annual audit plan of the Company and its implementation along with considering on the quarterly reports to comply with the Policies and Guidelines to prevent and combat corruption and the annual reports of the Audit Department of the year 2017. In addition, the Committee also reviewed the audit results regarding the Company's internal control system, including the security of the information systems, which had been conducted by the internal audit team and the external auditor. For the assessment result for the year 2017, the Audit Committee regarded the Company as having an adequate internal control system appropriate for its businesses.

#### **3. Reviewing of The Company's compliance with The Securities and Exchange Act**

The Audit Committee monitored and reviewed the Company's compliance with Securities and Exchange Act and laws relating to the Company's business along with considering the quarterly and annual reports of the Legal and Compliance Department for the year 2017. In 2017, the Audit Committee agreed that the Company closely controlled and audited the performance of its units to ensure that they worked appropriately and complied with the related rules.

#### **4. Reviewing of related party transactions**

In 2017, the Audit Committee reviewed the Company's related party transactions and/or transactions that might have led to a conflict of interest, the transactions with general business terms which were approved in principle by the Board. For the other related transactions, the Audit Committee considered and agreed that these transactions were necessary and reasonable and served the most benefit of the Company, including disclosing all significant information complying with SEC and SET regulations.

## 5. Monitoring of risk management

In 2017, the Audit Committee monitored the risk management practices and assessed the adequacy and effectiveness of the risk management system to ensure that the Company has efficient risk management control. These risks include Credit Risk, Market Risk, and Operational Risk.

## 6. Appointment of the external auditor and auditing fee for 2018

The Audit Committee considered the performance of Deloitte Touche Tohmatsu Jaiyos Audit Co., Ltd in the past year, and agreed that the Deloitte Touche Tohmatsu Jaiyos Audit Co., Ltd and its auditor, has expertise in the auditing of financial businesses including suggestions and consultation on accounting, tax and internal control. They also perform independently with no correlation or conflict of interest with the company and its subsidiaries.

The Audit Committee proposed that the Board of Directors propose at the shareholders' meeting, to consider and approve the appointment of Deloitte Touche Tohmatsu Jaiyos Audit Co., Ltd to be the Company's auditor for the 2018 accounting period. The Audit Committee also proposed to consider and approve the auditing fee for 2018 of Baht 1,960,000, which remained the same as the previous year. The fee is appropriate for the type and size of business of the Company.

Auditor's Name	Certified Public Account Number	Number of years the auditor has signed the Company's financial statements
Mr.Chavala Tienpasertkij	4301	4 Years ( Year 2014-2017)
Mr. Wonlop Vilaivaravit	6797	Has never signed the Company's financial statements
Mrs. Nisakorn Songmanee	5035	Has never signed the Company's financial statements
Mrs. Wilasinee Krishnamra	7098	Has never signed the Company's financial statements

## 7. Anti-Corruption

In 2017, The Audit Committee has reviewed the Policies and Guidelines to prevent and combat corruption and also reviewed the self-evaluation form for countering bribery in order to apply for re-certification by the Private Sector Collective Action Coalition Against Corruption ("CAC"), having already been a certified member since 2015.

## 8. Revision of the Audit Committee Charter

In the case where it was considered to be necessary and appropriate, the Audit Committee was able to revise its Charter and proposed amendments to the Company's Board of Directors for consideration and approval. These included the Audit Committee's authority, scope of work duties, and meetings to be in line with the principles of good corporate governance, as well as the regulations of the Stock Exchange of Thailand and Securities and Exchange Commission. In 2017, the Audit Committee has reviewed the Audit Committee Charter and agreed that it is up-to-date and appropriate to the Company's environment.

## 9. Audit Committee Self-Assessment

The Committee conducted a self assessment to review and evaluate its performance by benchmarking it against the Audit Committee Charter and best practice guidelines. The review concluded that the Audit Committee's performance complete fulfilled its Charter and complied with good principles, enhancing good corporate governance effectively.

Respectfully,



(Dr. Pisit Leeahtam)

Chairman of Audit Committee