

Attachment 3

Information of Head of Audit Department and Head of Legal & Compliance Department

Head of Audit Department

Name / Position	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Rungthip Vanitchapan Position in the Company: ■ Senior Vice President, Audit Department ■ Disciplinary Committee member ■ ISMS Management Committee The appointment date as a management: 1 st Feb 2016	48	■ Master of Communication Arts (Advertising), Bangkok University ■ Bachelor of Business Administration (Finance), Thammasat University <u>Training Program</u> ■ The course assessment of information security management system comply to ISO/IEC 27001 ■ COSO2013 Internal Control framework by Federation of Accounting Professions ■ The Anti-Money Laundering Act and Financial support for terrorism Act by the Anti -Money Laundering Office (AMLO)	-None-	0%	<u>Experiences</u> 2006-2016 Senior Manager Compliance Department, Thanachart Securities Plc. 2005-2006 Marketing Communications Manager, Payment Solution Co., Ltd. (Shin Corporation Group) 2004-2005 Corporate Public Relations Manager, Office of Bangkok Fashion City By Ministry of Industry. 2001-2004 Marketing Manager, WinStore Co., Ltd. 1995-2000 Senior Officer Members Supervision & Examination Department The Stock Exchange of Thailand <u>Position in other listed companies</u> -None- <u>Position in other Non-listed companies</u> -None-

Duties and responsibilities of Head of Audit Department

1. Prepare annual audit plan, assess the adequacy and efficiency of internal control systems in order to comply with International Auditing Standards. Propose the audit plan to management and obtain approval from the Audit Committee.
2. Complete the approved audit plan and any ad-hoc plans as requested by management and the Audit Committee.
3. Act as the secretary of the Audit Committee, prepare the agenda for the Audit Committee meetings including reports of audit findings and audit performance compared with the audit plan.
4. Support current operations and provide audit information to the Audit Committee and management.
5. Develop audit staff and train them in auditing procedures and operations.
6. Other activities as assigned by management or Audit Committee.

Head of Legal & Compliance Department

Name / Position	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Mr. Surachet Amnuaywittayakul Position in the Company: ■ Senior Vice President, Legal & Compliance Department ■ ISMS Management Committee member ■ Debt Recovery Committee member ■ Health and Environment Committee member The appointment date as a management: 1 st May 2018	47	Bachelor of Law, Thammasat University ■ Attorney at Law, license no.328/2540 ■ Notarial Services Attorney, license no.3106/2552 <u>Training Program</u> ■ The course assessment of information security management system comply to ISO/IEC 27001 ■ COSO2013 Internal Control framework by Federation of Accounting Professions ■ The Anti-Money Laundering Act and Financial support for terrorism Act by the Anti -Money Laundering Office (AMLO)	-None-	0%	<u>Experiences</u> 2008-2016 ■ Vice President (2013-2016) ■ Assistant Vice President (2008-2012) Legal & Compliance Department 1999-2007 ■ Assistant Vice President (2006-2007) ■ Manager (2002-2005) ■ Deputy Manager (1999-2002) Legal and Debt Recovery Division KGI Securities (Thailand) Plc. 1996-1999 Officer Reclamation & Litigation Department Phatra Thanakit Finance Plc. <u>Position in other listed companies</u> -None- <u>Position in other Non-listed companies</u> -None-

Duty and responsibilities of Head of Legal & Compliance Department

1. To monitor and control all Business Units to ensure that they comply with relevant rules and regulations, and develop procedures to ensure corporate compliance.
2. To render and provide legal opinions / review legal documents or contracts.
3. To handle legal procedures and Company legal cases.
4. To coordinate with the Company's regulator and related regulatory authorities.
5. To host a training program and to update rules and regulations for Company employees.