

## **Attachment 1**

**Information of the Company's directors, management,  
Head of Finance & Accounting Department, Accountant Officer  
and the Company Secretary**

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies	
<p>Mr. Chin-Lung Tseng</p> <p>Position in the Company: ■Chairman</p> <p>The appointment date as a director and Board Chairman: 28<sup>th</sup> Aug 2019</p>	66	<p>Bachelor's degree, International Trade, Tamkang University, Taiwan, R.O.C</p> <p><u>Training Program</u> ■Directors Certification Program class 2013 ~ 2019 in Taiwan</p>	-None-	0%	<u>Experiences</u>	
					2013-2019	Chairman Global Securities Finance Corporation (Public Company in Taiwan)
					2012-2019	Chairman KGI Insurance Brokers Co. Ltd. (Incorporated in Taiwan)
					2007- 2009	President & CEO KGI Securities Co. Ltd. (Public Company in Taiwan)
					2007- 2009	Chairman KGI Securities (Thailand) Plc. (Listed company in Thailand)
					2006-2015	Director KGI Securities (Thailand) Plc. (Listed company in Thailand)
					2006-2019	Director Richpoint Company Limited (Incorporated in British Virgin Islands)
					2006-2007	Chairman KGI Securities Co. Ltd. (Public Company in Taiwan)
					2005-2019	Director KG Investments Holdings Ltd (Incorporate in Cayman islands)
					2005-2019	Director KGI International Holdings Limited (Incorporate in Cayman islands)
					1999-2019	Director KGI Securities Co. Ltd. (Public Company in Taiwan)
					<u>Position in other -None- listed companies</u>	
					<u>Position in other non-listed companies</u>	
					2019-Present	Chairman Wei Hung Investment Co., Ltd (Incorporated in Taiwan)
					2019-Present	Director One Asset Management Limited (The Company's subsidiary in Thailand)
					2019- Present	Chairman Shin Wen Investment Co., Ltd (Incorporated in Taiwan)
					2019- Present	Chairman Jing Kwan Investment Co., Ltd (Incorporated in Taiwan)

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
<p>Mr. Chin-Lung Tseng (continue)</p> <p>Position in the Company: ■ Chairman</p> <p>The appointment date as a director and Board Chairman: 28<sup>th</sup> Aug 2019</p>	66	<p>Bachelor's degree, International Trade, Tamkang University, Taiwan, R.O.C</p> <p><u>Training Program</u> ■ Directors Certification Program class 2013 ~ 2019 in Taiwan</p>	-None-	0%	<p><u>Position in other non-listed companies</u></p> <p>2019-Present Chairman Yuh Ming Investment Co., Ltd. (Incorporated in Taiwan)</p> <p>2012-Present CEO KGI Charity Foundation. (Incorporated in Taiwan)</p> <p>2006- Present Director Top Taiwan III Venture Capital Co., Ltd. (Incorporated in Taiwan)</p> <p>2006-Present Supervisor Top Taiwan IV Venture Capital Co., Ltd. (Incorporated in Taiwan)</p> <p>2005-Present Director Kae Yuh Investment Co., Ltd. (Incorporated in Taiwan)</p>
<p>Mr. Picharn Kullavanijaya</p> <p>Position in the Company: ■ Vice Chairman ■ Independent Director ■ Chairman of Audit Committee ■ Chairman of Nomination Committee</p> <p>The appointment date as a director: 24<sup>th</sup> May 2011</p> <p>The appointment date as a Vice Chairman/ Chairman of Audit Committee/Chairman of Nomination Committee: 21<sup>st</sup> Aug 2019</p>	59	<p>■ Master of Science, Mechanical Engineering, Northwestern University, USA ■ MBA, Kellogg Graduate School of Management, Northwestern University, USA</p> <p><u>Training Program</u> ■ Compliance and Directors Training Program for U.S. Banking regulations from Gateway Bank F.S.B., Oakland, California, USA. ■ Corporate Governance for Capital Market Intermediaries 6/2015 from Thai Institute of Directors Association ("IOD")</p>	-None-	0%	<p><u>Experiences</u></p> <p>2008-2011 Founding Partner New Forest Partner, LLC, USA</p> <p>2000-2008 Managing Director Investment Banking Citigroup Global Markets, USA</p> <p><u>Position in other non-listed companies</u></p> <p>2014-Present Director Equilibrium Capital Group, USA</p> <p>2014-Present Independent Director, Next Capital Corporation, USA</p> <p>2011-Present Chief Executive Officer Equilibrium Capital Services, USA (Broker-dealer affiliate of Equilibrium Capital Group)</p> <p>2010-Present Partner &amp; Principal, Equilibrium Capital Group, USA</p>

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies	
Dr. Don Bhasavanich  Position in the Company: ■Independent Director ■Audit Committee member  The appointment date as a director: 17 <sup>th</sup> April 2001	68	■MBA, University of Pittsburgh, USA ■Ph.D, Electrical Engineering, University of Liverpool, UK.  <u>Training Program</u> ■Directors Certification Program class 62/2005, ■Audit Committee Program class 7/2005, ■Finance for Non-Finance Directors class 8/2004, and ■Corporate Governance for Capital Market Intermediaries 3/2015 from Thai Institute of Directors Association (IOD) ■IT Governance & Cyber Security for Directors & MDs from SEC.	-None-	0%	<u>Experiences</u>	
					2014-2017	COO BEC New Media / IT
					2016	Board Chairman Thailand Post Distribution Co., Ltd
					2014-2016	Board Vice-Chairman Thailand Post Co., Ltd
					2011-2014	President TT&T Plc.
					2007-2011	➡ Managing Director Canadoil Asia Ltd. ➡ COO / Director Canadoil Group
					2006-2007	President Thai Copper Industries Plc.
					2004- 2006	President NSM Plc.
					2002-2004	CEO Unithai Group of Companies Plc.
					1999-2002	Managing Director DHL (Thailand)
					<u>Position in other listed companies</u>	-None-
					<u>Position in other non-listed companies</u>	-None-

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Mr. Pradit Sawattananond  Position in the Company: ■Independent Director ■Audit Committee member ■Compensation Committee member ■Nomination Committee member  The appointment date as a director: 31 <sup>st</sup> Jan 2000	71	■MBA, Central State University, Oklahoma, USA ■Bachelor of Accountancy Chulalongkorn University, Thailand  <u>Training Program</u> ■Director Certification Program class 3/2000, ■Audit Committee Program class 3/2004, ■Improving the Quality of Financial Reporting Program class 4/2006, ■Monitoring the Quality of Financial Reporting class 5/2007, ■Monitoring the Internal Audit Function class 5/2008, ■Monitoring the System of Internal Control and Risk Management class 2/2008, ■Role of Compensation Committee class 6/2008, ■Advanced Audit Committee Program class 13/2013, ■How to Develop a risk Management Plan class 4/2013, ■Corporate Governance for Capital Market Intermediaries 1/2014, (all above) from Thai Institute of Directors Association (IOD) ■Chief Financial Officer Certification Program class 1/2004 from the Institute of Certified Accountants and Auditors of Thailand.	-None-	0%	<u>Experiences</u> 2004-2019 Independent Director and the Audit Committee Thai Film Industries Plc.  2005-2006 Advisor to the Committee on Economics Development, The House of Representatives  2003-2006 Director The Thailand Securities Depository Co., Ltd.  2001-2006 Specialist to the Committee on Finance, Banking and Financial Institution, The House of Representatives  <u>Position in other listed companies</u> 2018-Present Independent Director and Audit Committee LH Financial Group Plc.  2015-Present Independent Director and Chairman of the Audit Committee Nusasiri Plc.  2004-Present Independent Director and Chairman of the Audit Committee Asia Sermkij Leasing Plc.  <u>Position in other non-listed companies</u> 2018- Present Independent Director and Audit Committee Land and Houses Bank Plc.

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Mr. Chih-Hung Lin  Position in the Company: ■Chief Operating Officer (COO) ■Authorized Director ■Risk Management Committee Chairman ■Debt Recovery Committee Chairman ■Human Resource Committee Chairman ■Corporate Governance Committee Chairman  The appointment date as a director : 2 <sup>nd</sup> Jun 2015  The appointment date as a COO : 18 <sup>th</sup> May 2016	50	Doctor of Philosophy, Engineering – Economic Systems and Operations Research , Stanford University, USA  <u>Training Program</u> ■Corporate Governance for Capital Market Intermediaries 16/2016 from Thai Institute of Directors Association (IOD)	-None-	0%	<u>Experiences</u> 2001-2011 Managing Director, Head of Asian Equity Derivative Analytics , The Royal Bank of Scotland N.V. (ABN AMRO Bank N.V.)  2011-2016 Executive Vice President, Regional Head of Equity Derivatives, KGI Securities Co. Ltd. (Public Company in Taiwan)
					<u>Position in other listed companies</u> -None-
					<u>Position in other non-listed companies</u> 2016-Present Authorized Director One Asset Management Limited (The Company's subsidiary in Thailand)
					2016-Present Director KGI Securities (Thailand) International Holdings Limited, (The Company's subsidiary in Hong Kong)  2016-Present Director KGI (Thailand) Holdings Limited, ((The Company's subsidiary in British Virgin Islands)

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
<p>Ms. Suchada Sodthibhaphkul</p> <p>Position in the Company:</p> <ul style="list-style-type: none"> <li>■Executive Director</li> <li>■Authorized Director</li> <li>■Risk Management Committee member</li> <li>■Debt Recovery Committee member</li> <li>■Human Resource Committee member</li> <li>■Corporate Governance Committee member</li> </ul> <p>The appointment date as a director: 17<sup>th</sup> Apr 2001</p>	65	<p>MBA, Chulalongkorn University, Thailand</p> <p><u>Training Program</u></p> <ul style="list-style-type: none"> <li>■Director Certification Program class 38/2003</li> <li>■Corporate Governance for Capital Market Intermediaries-CGI class Exclusive from Thai Institute of Directors Association (IOD)</li> <li>■Leadership Program class 2/2006 from Capital Market Academy</li> </ul>	-None-	0%	<p><u>Experiences</u></p> <p>2011-2017 Director The Thai Bond Market Association</p> <p>2001-2014 Managing Director KGI Securities (Thailand) Plc.</p> <p>1997-2001 Executive Director KGI Securities (Thailand) Plc.</p> <p>1990-1997 Executive Director First Asia Securities Plc.</p> <p><u>Position in other listed companies</u></p> <p>2008-Present Director Thai Rubber Latex Corporation (Thailand) Plc.</p> <p><u>Position in other non-listed companies</u></p> <p>-None-</p>
<p>7) Mr. Wisit Wongpaisan</p> <p>Position in the Company:</p> <ul style="list-style-type: none"> <li>■Director</li> <li>■Nomination Committee member</li> <li>■Risk Management Committee member</li> </ul> <p>The appointment date as a director: 28<sup>th</sup> Aug 2001</p>	67	<p>MBA, University of East Asia, Macau</p>	-None-	0%	<p><u>Experiences</u></p> <p>2001-2012 President KGI Securities (Thailand) Plc.</p> <p>2000-2001 Chief Operating Officer KGI Securities (Thailand) Plc.</p> <p>1993-2000 Executive Vice President Bank of Asia Plc.</p> <p><u>Position in other listed companies</u></p> <p>-None-</p> <p><u>Position in other non-listed companies</u></p> <p>-None-</p>

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Pi-Lin Huang  Position in the Company: ■Director  The appointment date as a director: 11 <sup>th</sup> Jul 2005	49	Bachelor, Accounting National Taiwan University, Taiwan	-None-	0%	<p><u>Experiences</u></p> <p>2005-2017 Director KGI Securities (Hong Kong) Limited (Incorporated in Hong Kong)</p> <p>2005-2013 Director KGI Efficient Frontier Ltd, (Incorporated in Hong Kong)</p> <p>2005-2008 Director KGI Securities (Korea) Co., Ltd, (Incorporated in Korea)</p> <p>2004-2005 Manager, Yageo Corp, (Listed company in Taiwan)</p> <p>1999-2004 Manager, Deloitte Consulting (Arthur Andersen Business Consulting), (Incorporated in Taiwan)</p> <p><u>Position in other listed companies</u> -None-</p> <p><u>Position in other non-listed companies</u></p> <p>2018-Present Director KGI International Limited (Incorporated in British Virgin Islands)</p> <p>2018-Present Director KGI Investment Management Limited (Incorporated in Hong Kong)</p> <p>2015-present Director KGI Hong Kong Limited (Incorporated in Hong Kong)</p> <p>2015-Present Director KGI Alliance Corporation, (Incorporated in British Virgin Islands)</p> <p>2015-Present Supervisor CDC Finance &amp; Leasing Corp. (Incorporated in Taiwan)</p> <p>2014-Present Director KGI International Holding Limited (Incorporated in Cayman Islands)</p>



**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Pi-Lin Huang (continue)  Position in the Company: ■ Director  The appointment date as a director: 11 <sup>th</sup> Jul 2005	49	Bachelor, Accounting National Taiwan University, Taiwan	-None-	0%	<u>Position in other non-listed companies</u> 2014-Present     Director KGI Finance Limited (Incorporated in Hong Kong)  2009-Present     Director KGI International (Hong Kong) Limited (Incorporated in Hong Kong)  2005-Present     Director KGI Limited (Incorporated in British Virgin Islands)  2005-Present     Director KGI Asia Limited (Incorporated in Hong Kong)  2005-Present     Director KGI International Finance Limited (Incorporated in Hong Kong)  2005-Present     Director KGI Capital Asia Limited (Incorporated in Hong Kong)  2005-Present     Senior Vice President Finance Department KGI Securities Co. Ltd. (Public Company in Taiwan)

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies	
Mr. Chih-Chien Yen  Position in the Company: ■Director ■Compensation Committee Chairman  The appointment date as a director: 11 <sup>th</sup> Jul 2005	54	■MBA, MIT, Sloan School of Management, USA ■LLM, University of Michigan Law School, USA  <u>Training Program</u> ■Directors Certification Program class 2018 in Taiwan -The latest amendment version of Company Act class -Draft of Whistleblower Protection Act class  ■Directors Certification Program class 2019 in Taiwan -The latest amendment version of Company Act class -Corporate Governance for Financial services class -Corporate Governance for Nominating Committee program	-None-	0%	<u>Experiences</u>	
					2014-2019	Director KGI Securities Co., Ltd. (Public Company in Taiwan)
					2006-2019	Director Global Securities Finance Corporation (Incorporated in Taiwan)
					2009-2017	Director KGI Wealth Management Limited (Incorporated in Hong Kong)
					2005-2013	Director KGI Efficient Frontier Limited (Incorporated in Hong Kong)
					2005-2008	Standing Director KGI Securities (Korea) Co., Ltd (Incorporated in Korea)
					1994-2004	Senior Lawyer Lee and Li, Attorneys-at-Law (Incorporated in Taiwan)
					2001-2002	Senior Vice President Lee and Li Business Consultant (Shanghai) Co., Ltd. (Incorporated in China)
					<u>Position in other listed companies</u>	-None-
					<u>Position in other non-listed companies</u>	
					2019-Present	Director KGI Bank Co., Ltd. (Incorporated in Taiwan)
					2016-Present	Supervisor CDIB Capital Creative Industries Limited (Incorporated in Taiwan)
					2015-Present	Supervisor CPEC Huachuang Private Equity (Kunshan) Co. Ltd. (Incorporated in China)
					2015-Present	Supervisor CPEC Huakai Private Equity (Fujian) Co., Ltd. (Incorporated in China)
					2015-Present	Director CDIB Capital Management Corporation (Incorporated in Taiwan)

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Mr. Chih-Chien Yen (continue)  Position in the Company: ■Director ■Compensation Committee Chairman  The appointment date as a director : 11 <sup>th</sup> Jul 2005	54	■MBA, MIT, Sloan School of Management, USA ■LLM, University of Michigan Law School, USA  <u>Training Program</u> ■Directors Certification Program class 2018 in Taiwan -The latest amendment version of Company Act class -Draft of Whistleblower Protection Act class  ■Directors Certification Program class 2019 in Taiwan -The latest amendment version of Company Act class -Corporate Governance for Financial services class -Corporate Governance for Nominating Committee program	-None-	0%	<u>Position in other non-listed companies</u> 2015-Present Director KGI Fraser Securities Pte. Ltd (Change company name to KGI Securities (Singapore) Pte. Ltd) (Incorporated in Singapore)  2014-Present Director KGI Asset Management Limited, (Incorporated in Hong Kong)  2014-Present Director KGI Alliance Corporation (Incorporated in British Virgin Islands)  2015-Present Executive Vice President China Development Finance Holding Corporation, (Listed company in Taiwan)  2004-Present Executive Vice President, KGI Securities Co. Ltd. (Public Company in Taiwan)

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Bee Leng Ooi  Position in the Company: ■ Managing Director  The appointment date as a management: 4 <sup>th</sup> Dec 2000	60	MBA, University of Chicago, USA  <u>Training Program</u> ■ Corporate Governance for Capital Market Intermediaries 9/2015 from Thai Institute of Directors Association (IOD)	-None-	0.001%	<u>Experiences</u> 2001-2019 Managing Director KGI Securities (Thailand) Plc.  2000 Director Business Planning KGI Asia Ltd (Incorporated in Hong Kong)  1999-2000 Managing Director GK GOH Securities (Incorporated in Philippines)  <u>Position in other listed companies</u> -None-  <u>Position in other non- listed companies</u> 2012-Present Director KGI Securities (Thailand) International Holdings Limited (The Company's subsidiary in Hong Kong)  2012-Present Director KGI (Thailand) Holdings Limited (The Company's subsidiary in British Virgin Islands)  2017-Present Business Advisor KGI Securities (Singapore) Pte. Ltd. (Incorporated in Singapore)  2018-Present Director KGI Capital (Singapore) Pte. Ltd. (Incorporated in Singapore)  2018-Present Director KGI Asia (Holdings) Pte. Ltd, (Incorporated in Singapore)

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies	
Mr. Somchai Kanjapetcharat  Position in the Company: ■Senior Managing Director, Retail Equity Business ■Risk Management Committee member  The appointment date as a management: 1 <sup>st</sup> Mar 2013	64	Development Administration (NIDA), Thailand	-None-	0%	<u>Experiences</u> 2000-2013      Chief Executive Officer of Retail Sales Officer KT ZMICO Securities Company Limited  1992-2000      Senior Vice President Krunghai Tanakit Finance & Securities Plc.  1990-1992      Assistant Vice President Thana One Finance & Securities Plc.	
					<u>Position in other listed companies</u>	-None-
					<u>Position in other non-listed companies</u>	-None-
Mr. Suthep Rungsiam  Position in the Company: ■Managing Director, Brokerage Business ■Risk Management Committee member  The Appointment date as a management: 1 <sup>st</sup> Nov 2009	52	■MBA, Chaminade University of Honolulu, USA ■BBA, International Business, University of Hawaii, USA	-None-	0%	<u>Experiences</u> 2009 - 2012      Managing Director, Retail Equity Business KGI Securities (Thailand) Plc.  2006 - 2008      Deputy Managing Director Institutional Clients Division Globex Securities Co., Ltd.  2000 - 2005      Vice President Institutional Clients Division BNP Paribas Peregrine Securities (Thailand) Ltd.  1998 - 2000      Vice President Institutional Clients Division Indosuez W.I. Carr Securities (Thailand) Ltd.	
					<u>Position in other listed companies</u>	-None-
					<u>Position in other non-listed companies</u>	-None-

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
<p>Ms. Jariya Posayajinda</p> <p>Position:</p> <ul style="list-style-type: none"> <li>■ Managing Director, Proprietary Trading Department</li> <li>■ Risk Management Committee member</li> </ul> <p>The appointment date as a management: 1<sup>st</sup> Apr 2009</p>	52	MBA (Finance) Eastern Michigan University, Michigan, USA	-None-	0%	<p><u>Experiences</u></p> <p>2005-2011</p> <ul style="list-style-type: none"> <li>■ Executive Vice President (2009 - 2011)</li> <li>■ Senior Vice President (2005 - 2009)</li> </ul> <p>Proprietary Trading Department KGI Securities (Thailand) Plc.</p> <p>2002-2005</p> <p>Deputy Chief Marketing Officer UOB Asset Management (Thai) Co., Ltd</p> <p><u>Position in other listed companies</u></p> <p>-None-</p> <p><u>Position in other non-listed companies</u></p> <p>-None-</p>
<p>Mr. Apichart Tungkiatsilp</p> <p>Position in the Company:</p> <ul style="list-style-type: none"> <li>■ Managing Director, Fixed Income Department</li> <li>■ Risk Management Committee member</li> </ul> <p>The appointment date as a management: 2<sup>nd</sup> Sep 2009</p>	47	MBA, Cleveland State University, USA	-None-	0%	<p><u>Experiences</u></p> <p>2009-2014</p> <p>Executive Vice President Fixed Income Department KGI Securities (Thailand) Plc.</p> <p>2005-2009</p> <p>Financial Institution Sales Manager Siam Commercial Bank Plc.</p> <p>2004-2005</p> <p>Fixed-Income Trading Manager Siam Commercial Bank Plc.</p> <p><u>Position in other listed companies</u></p> <p>-None-</p> <p><u>Position in other non-listed companies</u></p> <p>2017-Present</p> <p>Director The Thai Bond Market Association</p>

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
<p>Ms. Patchpon Sankaburanuruk</p> <p>Position in the Company:            ■Managing Director,            Investment Banking            Department            ■Risk Management            Committee member</p> <p>The appointment date as            a management:            1<sup>st</sup> May 2012</p>	49	MBA, Finance University of Hartford, USA.	-None-	0%	<p><u>Experiences</u></p> <p>2002-2016</p> <ul style="list-style-type: none"> <li>■Executive Vice President (2013-2016)</li> <li>■Senior Vice President (2006-2012)</li> <li>■Vice President (2004-2005)</li> <li>■Assistant Vice President (2002-2004)</li> </ul> <p>Investment Banking Department KGI Securities (Thailand) Plc.</p> <p>1999-2002</p> <p>Assistant Manager Investment Banking Department Vickers Ballas Securities (Thailand) Co., Ltd</p> <p>1996-1998</p> <p>Manager Investment Banking Department Cathay Capital Co., Ltd</p> <p>1995-1996</p> <p>Officer Investment Banking Department Multi-Credit Corporation of Thailand Pcl.</p> <p><u>Position in other listed companies</u></p> <p>-None-</p> <p><u>Position in other non-listed companies</u></p> <p>-None-</p>
<p>Mr. Jenvit Chinkulkitniwat</p> <p>Position in the Company:            ■Managing Director,            Equity Derivatives            Department            ■Risk Management            Committee member</p> <p>The appointment date as            a management:            1<sup>st</sup> Apr 2014</p>	39	Master of Science in Finance, University of Illinois, USA	-None-	0%	<p><u>Experiences</u></p> <p>2005-2016</p> <ul style="list-style-type: none"> <li>■Executive Vice President (2014-2016)</li> <li>■Senior Vice President (2005-2014)</li> </ul> <p>Equity Derivatives Department KGI Securities (Thailand) Plc.</p> <p><u>Position in other listed companies</u></p> <p>-None-</p> <p><u>Position in other non-listed companies</u></p> <p>-None-</p>

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
<p>Ms. Saranya Larksukthom</p> <p>Position in the Company:            ■Senior Executive Vice President, Operation Department            ■Risk Management Committee member            ■Debt Recovery Committee member            ■Purchase Committee member            ■Welfare Committee member            ■Health and Environment Committee member</p> <p>The appointment date as a management: 1<sup>st</sup> Mar 2001</p>	57	<p>MBA, Strayer University, USA</p> <p><u>Training Program</u>            ■Director Accreditation Program (DAP) class 155/2018 from Thai Institute of Directors Association (IOD)</p>	-None-	0%	<p><u>Experiences</u></p> <p>1999-2011            ■ Executive Vice President (2001-2011)            ■ Senior Vice President (1999 – 2001)            Operation Department            KGI Securities (Thailand) Plc</p> <p>1996-1998            Assistant Vice President            Nava Vickers Ballas Securities (Thailand) Co., Ltd.</p> <p><u>Position in other listed companies</u> -None-</p> <p><u>Position in other non-listed companies</u></p> <p>2018-Present            Independent Director and Audit Committee            Buono (Thailand) Co., Ltd</p> <p>2012-Present            Vice Chairman            Back Office Operation Club</p>
<p>Ms. Kannikar Pradiskesorn</p> <p>Position in the Company:            ■Senior Executive Vice President, Institutional Equities Business Department            ■Risk Management Committee member</p> <p>The appointment date as a management: 1<sup>st</sup> May 2015</p>	47	MBA, Assumption University, Thailand	-None-	0%	<p><u>Experiences</u></p> <p>2006-2019            ■Executive Vice President (2015-2019)            ■Senior Vice President (2006-2015)            Institutional Equities Business            KGI Securities (Thailand) Plc.</p> <p>2003-2006            Senior Sales Executive, Institutional Department            FINANSA Securities Co., Ltd.</p> <p>2000-2003            Senior Sales Executive            Institutional Sales Desk            KGI Securities One Plc.</p> <p>1999-2000            Risk Executive            Risk Management Department            GE Capital (Thailand) Ltd.</p> <p>1995-1999            Statistician            Merrill Lynch (Singapore)            Corporate Strategy &amp; Research</p> <p>1994-1995            Assistant Analyst            Research Department            Multi-Credit Corporation Plc.</p> <p><u>Position in other listed companies</u> -None-</p> <p><u>Position in other non-listed companies</u> -None-</p>



**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
<p>Ms. Nantararat Surakhaka</p> <p>Position in the Company:            ■Senior Executive Vice President, COO Office Support Department            ■Risk Management Committee member            ■Purchase Committee member            ■Disciplinary Committee member</p> <p>The appointment date as a management: 1<sup>st</sup> Mar 2013</p>	51	<p>LL.M. ,Widener University, Delaware, USA.</p> <p><u>Training Program</u>            ■Director Certification Program Class 199/2015            ■Corporate Governance for Capital market Intermediaries 5/2015 from Thai Institute of Directors Association (IOD)            ■ASCO Compliance Training Program from ASCO</p>	-None-	0%	<p><u>Experiences</u></p> <p>2010-2019      ■Executive Vice President (2013-2019)            ■Senior Vice President (2010-2013)            COO Office (Corporate Strategy) KGI Securities (Thailand) Plc.</p> <p>2005-2006      Vice President, Legal and Debt Recovery Division            KGI Securities (Thailand) Plc.</p> <p>1996-2005      Legal Officer            The Office of the Securities and Exchange Commission</p> <p><u>Position in other listed companies</u>      -None-</p> <p><u>Position in other non-listed companies</u></p> <p>2019-Present      Authorized Director            KGI Insurance Broker (Thailand) Company Limited</p> <p>2011-Present      Authorized Director            One Asset Management Limited</p>
<p>Ms. Acharee Turongsomboon</p> <p>Position in the Company:            ■Executive Vice President, Treasury Department            ■Risk Management Committee member</p> <p>The appointment date as a management: 1<sup>st</sup> May 2012</p>	53	<p>Bachelor of Arts in Business Administration – General Management Sukhothai Thammathirat University, Thailand</p>	-None-	0.003%	<p><u>Experiences</u></p> <p>2001-2011      Senior Vice President            Treasury Department            KGI Securities (Thailand) Plc.</p> <p>1991-2000      Vice President            Finance &amp; Capital market Department            Securities One Plc.</p> <p><u>Position in other listed companies</u>      -None-</p> <p><u>Position in other non-listed companies</u>      -None-</p>

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
<p>Ms. Inchaya Chatchersak</p> <p>Position in the Company:            ■ Executive Vice President,            Human Resource            Department            ■ Purchase Committee            member            ■ Human Resource            Committee member            ■ Disciplinary Committee            member</p> <p>The appointment date as            a management:            18<sup>th</sup> Aug 2014</p>	48	Bachelor of Arts (B.A.) major in History, Thammasat University, Thailand	-None-	0%	<p><u>Experiences</u></p> <p>2003-2014</p> <ul style="list-style-type: none"> <li>■ COO &amp; Director (Year 2014)</li> <li>■ Senior Vice President Head of Business Development (2012-2014)</li> <li>■ Senior Vice President Head of Human Resource (2003-2012) KTB Securities (Thailand) Co., Ltd</li> </ul> <p>2002</p> <p>Assistant Manager Human Resource ACSG (Thailand) Co., Ltd</p> <p>2000-2002</p> <p>Assistant Manager Human Resource SG Asia Credit Securities</p> <p>1999-2000</p> <p>Assistant Manager, Human Resources Adkinson Securities Co., Ltd</p> <p><u>Position in other listed companies</u></p> <p>-None-</p> <p><u>Position in other non-listed companies</u></p> <p>-None-</p>
<p>Mr. Sumek Chantrasuriyarat</p> <p>Position in the Company:            ■ Executive Vice President,            Research Department</p> <p>The appointment date as            a management:            17<sup>th</sup> Aug 2015</p>	56	<ul style="list-style-type: none"> <li>■ Master of Science in Financial, National Institute of Development Administration</li> <li>■ Bachelor of Science (Statistics), Chulalongkorn University</li> </ul>	-None-	0%	<p><u>Experiences</u></p> <p>2015</p> <p>Deputy Managing Director Kasikorn Securities Plc.</p> <p>2014</p> <p>Deputy Managing Director AEC Securities Plc.</p> <p>2012-2013</p> <p>Deputy Managing Director IV Global Securities Plc.</p> <p>2007-2012</p> <p>Deputy Managing Director KT Zmico Securities Co., Ltd.</p> <p>2002-2007</p> <p>Deputy Managing Director Bualuang Securities Plc.</p> <p>1990-2002</p> <p>Vice President Research Department Merrill Lynch Phatra Securities Company Limited</p> <p><u>Position in other listed companies</u></p> <p>-None-</p> <p><u>Position in other non-listed companies</u></p> <p>-None-</p>

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
<p>Mr. Patipat Pattaphongse</p> <p>Position in the Company: ■Executive Vice President, Wealth Management Research Department.</p> <p>The appointment date as a management: 15<sup>th</sup> Nov 2018</p>	57	<p>■Master of Business Administration, Finance, Boston University</p> <p>■Bachelor of Science in Business Adminutration , University of Southern California</p>	-None-	0%	<p><u>Experiences</u></p> <p>2006-2018 Executive Director Thai Equities UBS Securities (Thailand) Ltd.</p> <p>2002-2006 Executive Vice President Research Department KGI Securities (Thailand) Plc.</p> <p>1995-2002 Head of Research CLSA Securities (Thailand) Ltd.</p> <p>1992-1994 Vice President Fixed Income Sales/Trading Paine Webber NY/HK</p> <p><u>Position in other listed companies</u> -None-</p> <p><u>Position in other non-listed companies</u> -None-</p>
<p>Ms. Suchada Wongrak</p> <p>Position in the Company: ■Senior Vice President, Corporate Service Department</p> <p>■Health and Environment Committee member</p> <p>The appointment date as a management: 1<sup>st</sup> Jan 2010</p>	55	<p>BTec Higher National Diploma, south Warwickshire college, England</p> <p><u>Training Program</u> ■Occupational Health, Safety and Environment committee course</p>	-None-	0%	<p><u>Experiences</u></p> <p>2000-2010 Vice President KGI Securities (Thailand) Plc.</p> <p>1993-1998 Manager Thai Fuji Finance and Securities Ltd</p> <p>1990-1993 Officer Ocean Finance and Securities Ltd</p> <p><u>Position in other listed companies</u> -None-</p> <p><u>Position in other non-listed companies</u> -None-</p>
<p>Mr. Chi - Chih Huang</p> <p>Position in the Company: ■Senior Vice President, Information Technology Department</p> <p>■Risk Management Committee member</p> <p>The appointment date as a management: 1<sup>st</sup> June 2019</p>	36	<p>Master of Science in Engineering Science, National Cheng Kung University, Taiwan</p>	-None-	0%	<p><u>Experiences</u></p> <p>2010-2019 Assistant Vice President Technology Department KGI Securities (Thailand)</p> <p><u>Position in other listed companies</u> -None-</p> <p><u>Position in other non-listed companies</u> -None-</p>

**Information of the Company's directors and management**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Bussarin Pingkarawat  Position in the Company: ■Senior Vice President Risk Management Department ■Risk Management Committee member  The appointment date as a management: 1 <sup>st</sup> October 2019	43	<ul style="list-style-type: none"> <li>■Master Degree MBA marketing, Srinakharinwirot University</li> <li>■Bachelor Degree , Public Administration, Kasetsart University</li> </ul> <u>Training Program</u> <ul style="list-style-type: none"> <li>■Value at Risk, Securities Analysts Association</li> <li>■Systematic Problem Solving and Decision Making, Acclaimed Consultants International Co., Ltd.</li> <li>■Risk management, TSI</li> </ul>	-None-	0%	<u>Experiences</u> 2013-2019 Senior Vice President Head of Credit Control Department UOB Kay Hian Securities (Thailand) Plc.  2012-2013 Assistant Vice President Credit Control and Supervision Department OSK Securities (Thailand) Co., Ltd.  2010-2012 Associate Risk Management Department DBS Vickers Securities (Thailand) Co., Ltd,  2003-2010 Manager Risk Management Department SCB Securities Co., Ltd.  1996-2003 Representative Customer Service Bank of Ayudhya Plc.  <u>Position in other listed companies</u> -None-  <u>Position in other non-listed companies</u> -None-

**Information of the Head of Finance & Accounting Department,  
Accountant Officer  
and the Company Secretary**

### Head of Finance & Accounting Department

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
<p>Ms. Khunmira Thunnom</p> <p>Position in the Company:</p> <ul style="list-style-type: none"> <li>■ Head of Finance &amp; Accounting Department</li> <li>■ Senior Executive Vice President, Finance and Accounting Department</li> <li>■ Risk Management Committee member</li> <li>■ Debt Recovery Committee member</li> </ul> <p>The appointment date as a management: 1<sup>st</sup> Apr 2008</p>	54	<ul style="list-style-type: none"> <li>■ Master of Accountancy, Financial Accounting, Chulalongkorn University, Thailand.</li> <li>■ Certified Public Accountant (Thailand), Registration No. 3973</li> <li>■ <u>Insight Financial Management</u>: Enhance Business Performance by Professional Financial Management Certificate issued by The Stock Exchange of Thailand on 23<sup>rd</sup> Aug 19</li> <li>■ <u>TFRS 9, TFRS 15 and TFRS 16</u>: Insight, Essence Practices, Impact, Guidelines Certificate issued by The Stock Exchange of Thailand on 12<sup>th</sup> Jun 19</li> <li>■ <u>TLCA Professional Development Program (TLCA CFO CPD) Session 2/2019</u> "Automation &amp; RPA (Robot Process Automation) for Accounting" from TLCA</li> <li>■ <u>TLCA CFO Professional Development Program (TLCA CFO CPD) Session 4/2019</u> "How important are intangible assets impairments?" and "How important of Employee Benefit Actuarial Valuation" from TLCA</li> </ul>	-None-	0%	<p><u>Experiences</u></p> <p>1993-2019</p> <ul style="list-style-type: none"> <li>■ Executive Vice President (2008 - 2019)</li> <li>■ Senior Vice President (2001 - 2008)</li> <li>■ Vice President (1993 - 2001) Finance &amp; Accounting Department KGI Securities (Thailand) Plc.</li> </ul> <p>1989-1992</p> <p>Assistant Manager Ernst &amp; Young Office Limited (change company name to EY Office Company Limited)</p> <p><u>Position in other listed companies</u> -None-</p> <p><u>Position in other non-listed companies</u> -None-</p>

**Duty and responsibilities of Head of Finance & Accounting Department**

1. To manage and develop accounting and financial information system, and supervise the accountant officer in order to ensure that the Company's financial statements present fairly in accordance with Thai financial reporting standards and prepare on time.
2. To report the Company's statements of financial position & financial performance, other financial information, adequate internal control system and audit results of the auditor to Audit Committee, Board of Directors and Shareholders
3. To analyze accounting & financial information and other information related, including adequacy of liquidity and a net capital ratio of the Company in order to provide the financial information and necessary suggestions to COO and executive directors for the decision making on business operation to meet the corporate strategy and plan.
4. To prepare and control the annual financial budget of the Company in accordance with each business strategy and plan, including the budget of capital expenditure in new technology system development, funding usage projection and headcount budget plan. In addition, together with Treasury Department, to provide sufficient capital for the business operation according to the budget plan and coordinate with Human Resource Department to control the headcount regarding the budget plan.
5. To prepare and analyze the three-year financial projection including the business plan and risk controls of the Company to ensure that the company credit rating is able to be retained or developed in future.
6. To have role and responsibilities in Risk Management Committee including the Sub-Committee s which are Credit Risk Sub-Committee, Trading Business Risk Sub-Committee, Investment Banking Business Risk Sub-Committee, ISMS Management Sub-Committee, and Fixed Income Underwriting Risk Sub-Committee.
7. To have role and responsibilities in Debt Recovery Committee.
8. To coordinate and collaborate with the management of various departments to drive the Company's plan and strategy for achievement.
9. To communicate important financial information to any related party investors or shareholders to build a good understanding and confidence in the Company.
10. Other activities regarding assignment.

### **Accountant Officer**

Name/Position/ The appointment date	Age (Years)	Education / Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
<p>Ms. Wipha Katikubanan</p> <p>Position in the Company: ■Senior Vice President, Finance and Accounting Department ■Health and Environment Committee member</p> <p>The Appointment date as an accountant : 26<sup>th</sup> Nov 2018</p> <p>■Registration certificate as Chief Accountant with criteria specified by Department of Business Development</p>	51	<p>Master of Accountancy, Financial Accounting, Chulalongkorn University, Thailand.</p> <p><u>Training Program in 2019</u> ■Continuous accounting knowledge improvement training in compliance with Department of Business Development Accounting knowledge enhancement 12 hours -Fraud issue of listed companies 1/62 held by TFAC -Financial Reporting 2/62 held by TFAC ■Other orientation training -TFRS15 and TFRS16 held by SET</p>	-None-	0%	<p><u>Experiences</u> 1999-2016 ■Vice President (2001-2016) ■Assistant Vice President (1999-2001) Finance &amp; Accounting Department KGI Securities (Thailand) Plc.</p> <p>1991-1994 KPMG Peat Marwick Suthee (change company name to KPMG Phoomchai Audit Ltd.)</p> <p><u>Position in Other</u> -None- <u>listed companies</u></p> <p><u>Position in Other</u> -None- <u>non-listed</u> <u>companies</u></p>

### **Duty and responsibilities of Accountant Officer**

1. To prepare accounts for reflecting the current operation results, financial position or change in financial position of the Company with the accounting duty in accordance with reality and accounting Act, B.E. 2543 (2000), with proper and complete supporting documents used for making entries in accounts.
2. To prepare financial statements in accordance with accounting standards and the Regulation of The Stock Exchange of Thailand (SET) and The Securities and Exchange Commission (SEC).
3. To arrange financial statements to be audited and accompanied by an opinion of a certificated auditor.
4. To submit the reports relating to financial information in accordance with the regulators such as Ministry of Commerce, Revenue Department, The Stock Exchange of Thailand (SET) and the Securities and Exchange Commission (SEC).
5. To retain accounts and supporting documents used for making entries in accounts.
6. To develop and evaluate relevant internal controls, financial information technology system to obtain sufficient appropriate financial information in accordance with reality, accounting standards and the Regulation of The Stock Exchange of Thailand (SET) and The Securities and Exchange Commission (SEC) with no material misstatement of financial information, whether due to fraud or error.
7. To prepare properly and submit tax to Revenue Department on time.
8. To develop staff skills to be up-to-date in accounting and business knowledge.
9. Other activities as assigned by Head of Finance & Accounting Department.



### **The Company Secretary**

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Nongluk Ningsanond  Position in the Company: ■The Company Secretary ■Executive Vice President, Company Secretary Office ■Risk Management Committee member ■Purchase Committee member ■Corporate Governance Committee member  The appointment date as a management: 1 <sup>st</sup> Apr 2008	57	■Bachelor of Economics, Thammasat University, Thailand ■MBA, Bangkok University, Thailand <u>Training Program</u> ■Certified Investment and Securities Analyst (CISA III) from Securities Analysis Association ■Company Secretary Program Class 5/2004 ■Effective Minute Taking Class 10/2008 and ■Corporate Governance for Capital Market from Thai Institute of Directors Association ("IOD")	-None-	0%	<u>Experiences</u> 1997-2008      ■Senior Vice President (2001 - 2008) ■Vice President (1997 - 2001) KGI Securities (Thailand) Plc.  1992-1997      Vice President Investment Banking Department First Asia Securities Plc.  <u>Position in other</u> -None- <u>listed companies</u>  <u>Position in other</u> -None- <u>non-listed</u> <u>companies</u>

### **Duty and responsibilities of the Company Secretary**

1. Providing basic advice pertaining to the laws and regulations, as well as monitoring compliance on a regular basis;
2. Preparing agenda and documents for the Board of Directors Meetings and Shareholder Meetings;
3. Arranging the Board of Directors Meetings and Shareholder Meetings in accordance with the laws and regulations, the Company's Articles of Association, and best practices;
4. Preparing the minutes of the Board of Directors Meetings and Shareholder Meetings, and monitoring subsequent actions in accordance with resolutions of those meetings;
5. Preparing and keeping the registrations of directors, annual reports of the company, notices calling Board of Directors Meetings and Shareholder Meetings, as well as minutes of the Board of Directors Meetings and Shareholder Meetings.
6. Ensuring that the directors and executives have filed report on their interest and related persons interest, as required by laws;
7. Keeping reports on interest filed by directors and executives, and presenting copies of such reports to Chairmen of the Board of Directors and Audit Committee, as specified by laws;
8. Ensuring that corporate information disclosures to regulatory agencies are made in accordance with the laws and regulations;
9. Communicating with shareholders on their legitimate rights and on the company's information, and serving as a communication median between shareholders and directors and executives;
10. Arranging performance assessment of the Board of Directors every year;
11. Arranging director's briefings for newly elected directors.

**The position of Directors and Management in subsidiary and related companies**

(As of 31<sup>st</sup> December 2019)

Directors and Management	Position in the Company	Position in Subsidiaries			
		ONEAM	KGI Insurance	HOLDCO	KTHL
		99% owned by the Company	100% owned by the Company	100% owned by the Company	100% owned by the Company
1) Mr. Chin-Lung Tseng	A	A , E	-	-	-
2) Mr. Picharn Kullavanijaya	B, D, F	-	-	-	-
3) Dr. Don Bhasavanich	D, G	-	-	-	-
4) Mr. Pradit Sawattananond	D,G	-	-	-	-
5) Mr. Chih-Hung Lin	E, I	H , E	-	H	H
6) Ms. Suchada Sodthibhaphkul	E, L	-	-	-	-
7) Mr. Wisit Wongpaisan	C	-	-	-	-
8) Ms. Pi-Lin Huang	C	-	-	-	-
9) Mr. Chih-Chien Yen	C	-	-	-	-
10) Mr. Somchai Kanjanapetcharat	J	-	-	-	-
11) Mr. Suthep Rungsiam	K	-	-	-	-
12) Ms. Bee Leng Ooi	K	-	-	H	H
13) Ms. Jariya Posayajinda	K	-	-	-	-
14) Mr. Apichart Tungkiatsilp	K	-	-	-	-
15) Ms. Patchpon Sankaburanuruk	K	-	-	-	-
16) Mr. Jenvit Chinkulkitniwat	K	-	-	-	-
17) Ms. Khunmira Thunnom	M, O	-	-	-	-
18) Ms. Saranya Larksukthom	M	-	-	-	-
19) Ms. Kannikar Pradiskesorn	M	-	-	-	-
20) Ms. Nantarat Surakkhaka	M	H , E	H , E	-	-
21) Ms. Nongluk Ningsanond	N , P	-	-	-	-
22) Ms. Acharee Turongsomboon	N	-	-	-	-
23) Ms. Inchaya Chatchersak	N	-	-	-	-
24) Mr. Sumek Chantarasuriyarat	N	-	-	-	-
25) Mr. Patipat Pattaphongse	N	-	-	-	-
26) Ms. Suchada Wongrak	Q	-	-	-	-
27) Ms. Rungthip Vanitchapan	Q	-	-	-	-
28) Mr. Surachet Amnuaywittayakul	Q	-	-	-	-
29) Mr. Chi-Chih Huang	Q	-	-	-	-
30) Ms. Bussarin Pingkarawat	Q	-	-	-	-

**Director title**

A: Chairman

E: Authorized Director

B: Vice Chairman

F: Chairman of Audit Committee

C: Director

G: Audit Committee

D: Independent Director

H: Persons who the Board appointed as a director of its subsidiaries

**Company title**

I: Chief Operating Officer

M: Senior Executive Vice President

J: Senior Managing Director

N: Executive Vice President

K: Managing Director

O: Head of Finance & Accounting Department

L: Executive Director

P: Company Secretary  
Q: Senior Vice President

**Subsidiaries**

ONEAM: One Asset Management Limited

HOLDCO KGI Securities (Thailand) International Holdings Limited

KGI Insurance: KGI Insurance Broker (Thailand) Co., Ltd

KTHL: KGI (Thailand) Holdings Limited

**Note:** Directors and executives of the Company have no record of any punishment under the Securities Exchange Act of 2535 or the Derivatives Act 2546