

Attachment 1

**Information of the Company's directors, management,
Head of Finance & Accounting Department, Accountant Officer
and the Company Secretary**

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Mr. Chin-Lung Tseng Position in the Company: ■Chairman The appointment date as a director and Board Chairman: 28 th Aug 2019	67	Bachelor's degree, International Trade, Tamkang University, Taiwan, R.O.C	-None-	0%	<u>Experiences</u> 2006- 2020 Director Top Taiwan III Venture Capital Co., Ltd. (Incorporated in Taiwan) 2006- 2020 Supervisor Top Taiwan IV Venture Capital Co., Ltd. (Incorporated in Taiwan) 2013-2019 Chairman Global Securities Finance Corporation (Public Company in Taiwan) 2012-2019 Chairman KGI Insurance Brokers Co. Ltd. (Incorporated in Taiwan) 1999-2019 Director KGI Securities Co. Ltd. (Public Company in Taiwan) 2007- 2009 President & CEO KGI Securities Co. Ltd. (Public Company in Taiwan) 2006-2007 Chairman KGI Securities Co. Ltd. (Public Company in Taiwan) 2006-2015 Director KGI Securities (Thailand) Plc. (Listed company in Thailand) 2007- 2009 Chairman KGI Securities (Thailand) Plc. (Listed company in Thailand) 2006-2019 Director Richpoint Company Limited (Incorporated in British Virgin Islands) 2005-2019 Director KG Investments Holdings Ltd (Incorporate in Cayman islands) 2005-2019 Director KGI International Holdings Limited (Incorporate in Cayman islands) <u>Position in other listed companies</u> -None-

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Mr. Chin-Lung Tseng (continue) Position in the Company: ■Chairman The appointment date as a director and Board Chairman: 28 th Aug 2019	67	Bachelor's degree, International Trade, Tamkang University, Taiwan, R.O.C	-None-	0%	<u>Position in other non-listed companies</u> 2020-Present Chairman Wei Hung Investment Co., Ltd (Incorporated in Taiwan) 2019-Present Chairman Yuh Ming Investment Co., Ltd. (Incorporated in Taiwan) 2019- Present Chairman Jing Kwan Investment Co., Ltd (Incorporated in Taiwan) 2019- Present Chairman Shin Wen Investment Co., Ltd (Incorporated in Taiwan) 2019-Present Director One Asset Management Limited (The Company's subsidiary in Thailand) 2012-Present CEO KGI Charity Foundation. (Incorporated in Taiwan) 2005-Present Director Kae Yuh Investment Co., Ltd. (Incorporated in Taiwan)

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Mr. Picharn Kullavanijaya Position in the Company: ■ Vice Chairman ■ Independent Director ■ Chairman of Audit Committee ■ Chairman of Nomination Committee The appointment date as a director: 24 th May 2011 The appointment date as a Vice Chairman/ Chairman of Audit Committee /Chairman of Nomination Committee: 21 st Aug 2019	60	■ Master of Science, Mechanical Engineering, Northwestern University, USA ■ MBA, Kellogg Graduate School of Management, Northwestern University, USA <u>Training Program</u> ■ Compliance and Directors Training Program for U.S. Banking regulations from Gateway Bank F.S.B., Oakland, California, USA. ■ Corporate Governance for Capital Market Intermediaries 6/2015 from Thai Institute of Directors Association ("IOD")	-None-	0%	<u>Experiences</u> 2008-2011 Founding Partner New Forest Partner, LLC, USA 2000-2008 Managing Director Investment Banking Citigroup Global Markets, USA <u>Position in other</u> -None- <u>listed companies</u> <u>Position in other</u> <u>non-listed</u> <u>companies</u> 2014-Present Director Equilibrium Capital Group, USA 2014-Present Independent Director, Next Capital Corporation, USA 2011-Present Chief Executive Officer Equilibrium Capital Services, USA (Broker-dealer affiliate of Equilibrium Capital Group) 2010-Present Partner & Principal, Equilibrium Capital Group, USA

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Dr. Don Bhasavanich Position in the Company: ■Independent Director ■Audit Committee member The appointment date as a director: 17 th April 2001	69	■MBA, University of Pittsburgh, USA ■Ph.D, Electrical Engineering, University of Liverpool, UK. <u>Training Program</u> ■Directors Certification Program class 62/2005, ■Audit Committee Program class 7/2005, ■Finance for Non- Finance Directors class 8/2004, and ■Corporate Governance for Capital Market Intermediaries 3/2015 from Thai Institute of Directors Association (IOD) ■IT Governance & Cyber Security for Directors & MDs from SEC.	-None-	0%	<u>Experiences</u> 2014-2017 COO BEC New Media / IT 2016 Board Chairman Thailand Post Distribution Co., Ltd 2014-2016 Board Vice-Chairman Thailand Post Co., Ltd 2011-2014 President TT&T Plc. 2007-2011 • Managing Director Canadoil Asia Ltd. • COO / Director Canadoil Group 2006-2007 President Thai Copper Industries Plc. 2004- 2006 President NSM Plc. 2002-2004 CEO Unithai Group of Companies Plc. 1999-2002 Managing Director DHL (Thailand)
					<u>Position in other listed companies</u> -None-
					<u>Position in other non-listed companies</u> -None-

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies	
Mr. Pradit Sawattananond Position in the Company: ■Independent Director ■Audit Committee member ■Compensation Committee member ■Nomination Committee member The appointment date as a director: 31 st Jan 2000	72	■MBA, Central State University, Oklahoma, USA ■Bachelor of Accountancy Chulalongkorn University, Thailand <u>Training Program</u> ■Director Certification Program class 3/2000, ■Audit Committee Program class 3/2004, ■Improving the Quality of Financial Reporting Program class 4/2006, ■Monitoring the Quality of Financial Reporting class 5/2007, ■Monitoring the Internal Audit Function class 5/2008, ■Monitoring the System of Internal Control and Risk Management class 2/2008, ■Role of Compensation Committee class 6/2008, ■Advanced Audit Committee Program class 13/2013, ■How to Develop a risk Management Plan class 4/2013, ■Corporate Governance for Capital Market Intermediaries 1/2014, (all above) from Thai Institute of Directors Association (IOD) ■Chief Financial Officer Certification Program class 1/2004 from the Institute of Certified Accountants and Auditors of Thailand.	-None-	0%	<u>Experiences</u> 2004-2019 Independent Director and the Audit Committee Thai Film Industries Plc. 2005-2006 Advisor to the Committee on Economics Development, The House of Representatives 2003-2006 Director The Thailand Securities Depository Co., Ltd. 2001-2006 Specialist to the Committee on Finance, Banking and Financial Institution, The House of Representatives	<u>Position in other listed companies</u> 2018-Present Independent Director and Audit Committee LH Financial Group Plc. 2015-Present Independent Director and Chairman of the Audit Committee Nusasiri Plc. 2004-Present Independent Director and Chairman of the Audit Committee Asia Sermkij Leasing Plc.
					<u>Position in other non-listed companies</u> 2018- Present Independent Director and Audit Committee Land and Houses Bank Plc.	

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Mr. Chih-Hung Lin Position in the Company: ■ Chief Operating Officer (COO) ■ Authorized Director ■ Risk Management Committee Chairman ■ Debt Recovery Committee Chairman ■ Human Resource Committee Chairman ■ Corporate Governance Committee Chairman The appointment date as a director : 2nd Jun 2015 The appointment date as a COO : 18th May 2016	51	Doctor of Philosophy, Engineering – Economic Systems and Operations Research , Stanford University, USA <u>Training Program</u> ■ Corporate Governance for Capital Market Intermediaries (“CGI”) 16/2016 from Thai Institute of Directors Association (IOD)	-None-	0%	<u>Experiences</u> 2001-2011 Managing Director, Head of Asian Equity Derivative Analytics , The Royal Bank of Scotland N.V. (ABN AMRO Bank N.V.) 2011-2016 Executive Vice President, Regional Head of Equity Derivatives, KGI Securities Co. Ltd. (Public Company in Taiwan)
					<u>Position in other listed companies</u> -None-
					<u>Position in other non-listed companies</u> 2020-Present Director Thailand Futures Exchange Plc. (“TFEX”)
					2016-Present Authorized Director One Asset Management Limited (The Company’s subsidiary in Thailand) 2016-Present Director KGI Securities (Thailand) International Holdings Limited, (The Company’s subsidiary in Hong Kong) 2016-Present Director KGI (Thailand) Holdings Limited, ((The Company’s subsidiary in British Virgin Islands)

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies	
Ms. Suchada Sodthibhapkul Position in the Company: ■Executive Director ■Authorized Director ■Risk Management Committee member ■Debt Recovery Committee member ■Human Resource Committee member ■Corporate Governance Committee member The appointment date as a director: 17 th Apr 2001	66	MBA, Chulalongkorn University, Thailand <u>Training Program</u> ■Director Certification Program class 38/2003 ■Corporate Governance for Capital Market Intermediaries ("CGI") class Exclusive from Thai Institute of Directors Association (IOD) ■Leadership Program class 2/2006 from Capital Market Academy	-None-	0%	<u>Experiences</u> 2011-2017 Director The Thai Bond Market Association 2001-2014 Managing Director KGI Securities (Thailand) Plc. 1997-2001 Executive Director KGI Securities (Thailand) Plc. 1990-1997 Executive Director First Asia Securities Plc. <u>Position in other listed companies</u> 2008-Present Director Thai Rubber Latex Corporation (Thailand) Plc. <u>Position in other non-listed companies</u> -None-	
Mr. Wisit Wongpaisan Position in the Company: ■Director ■Nomination Committee member ■Risk Management Committee member The appointment date as a director: 28 th Aug 2001	68	MBA, University of East Asia, Macau	-None-	0%	<u>Experiences</u> 2001-2012 President KGI Securities (Thailand) Plc. 2000-2001 Chief Operating Officer KGI Securities (Thailand) Plc. 1993-2000 Executive Vice President Bank of Asia Plc. <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> -None-	

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Pi-Lin Huang Position in the Company: ■Director The appointment date as a director: 11 th Jul 2005	50	Bachelor, Accounting National Taiwan University, Taiwan	-None-	0%	<u>Experiences</u> 2015-2020 Director KGI Alliance Corporation, (Incorporated in British Virgin Islands) 2005-2017 Director KGI Securities (Hong Kong) Limited (Incorporated in Hong Kong) 2005-2013 Director KGI Efficient Frontier Ltd, (Incorporated in Hong Kong) 2005-2008 Director KGI Securities (Korea) Co., Ltd, (Incorporated in Korea) 2004-2005 Manager, Yageo Corp, (Listed company in Taiwan) 1999-2004 Manager, Deloitte Consulting (Arthur Andersen Business Consulting), (Incorporated in Taiwan) <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> 2020-Present Senior Vice President China Development Finance Holding Corporation (Listed company in Taiwan) 2018-Present Director KGI International Limited (Incorporated in British Virgin Islands) 2018-Present Director KGI Investment Management Limited (Incorporated in Hong Kong) 2015-present Director KGI Hong Kong Limited (Incorporated in Hong Kong) 2015-Present Supervisor CDC Finance & Leasing Corp. (Incorporated in Taiwan) 2014-Present Director KGI International Holding Limited (Incorporated in Cayman Islands)

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Pi-Lin Huang (continue) Position in the Company: ■Director The appointment date as a director: 11 th Jul 2005	50	Bachelor, Accounting National Taiwan University, Taiwan	-None-	0%	<u>Position in other non-listed companies</u> 2014-Present Director KGI Finance Limited (Incorporated in Hong Kong) 2009-Present Director KGI International (Hong Kong) Limited (Incorporated in Hong Kong) 2005-Present Director KGI Limited (Incorporated in British Virgin Islands) 2005-Present Director KGI Asia Limited (Incorporated in Hong Kong) 2005-Present Director KGI International Finance Limited (Incorporated in Hong Kong) 2005-Present Director KGI Capital Asia Limited (Incorporated in Hong Kong) 2005-Present Senior Vice President Finance Department KGI Securities Co. Ltd. (Public Company in Taiwan)

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies	
Mr. Chih-Chien Yen Position in the Company: ■Director ■Compensation Committee Chairman The appointment date as a director: 11 th Jul 2005	55	■MBA, MIT, Sloan School of Management, USA ■LLM, University of Michigan Law School, USA <u>ประวัติการอบรม</u> ■Directors Certification Program class 2018 in Taiwan -The latest amendment version of Company Act class -Draft of Whistleblower Protection Act class ■Directors Certification Program class 2019 in Taiwan -The latest amendment version of Company Act class -Corporate Governance for Financial services class -Corporate Governance for Nominating Committee program ■Directors Certification Program class 2020 in Taiwan -Information security for Directors and Supervisors class -The risk of Climate change abd business opportunity class	-None-	0%	<u>Experiences</u> 2014-2020 2018-2020 2014-2019 2006-2019 2009-2017 2005-2013 2005-2008 1994-2004 2001-2002	Director KGI Alliance Corporation (Incorporated in British Virgin Islands) Director 國亨開發股份有限公司 (GPCC DEVELOPMENT CORP.), (Incorporated in Taiwan) Director KGI Securities Co., Ltd. (Public Company in Taiwan) Director Global Securities Finance Corporation (Incorporated in Taiwan) Director KGI Wealth Management Limited (Incorporated in Hong Kong) Director KGI Efficient Frontier Limited (Incorporated in Hong Kong) Standing Director KGI Securities (Korea) Co., Ltd (Incorporated in Korea) Senior Lawyer Lee and Li, Attorneys-at-Law (Incorporated in Taiwan) Senior Vice President Lee and Li Business Consultant (Shanghai) Co., Ltd. (Incorporated in China)
					<u>Position in other listed companies</u>	-None-
					<u>Position in other non-listed companies</u>	
					2019-Present	Director KGI Bank Co., Ltd. (Incorporated in Taiwan)
					2016-Present	Supervisor CDIB Capital Creative Industries Limited (Incorporated in Taiwan)
					2015-Present	Senior Vice President China Development Finance Holding Corporation, (Listed company in Taiwan)
					2015-Present	Supervisor CPEC Huachuang Private Equity (Kunshan) Co. Ltd. (Incorporated in China)

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Mr. Chih-Chien Yen (continue) Position in the Company: ■Director ■Compensation Committee Chairman The appointment date as a director : 11 th Jul 2005	55	■MBA, MIT, Sloan School of Management, USA ■LLM, University of Michigan Law School, USA <u>ประวัติการอบรม</u> ■Directors Certification Program class 2018 in Taiwan -The latest amendment version of Company Act class -Draft of Whistleblower Protection Act class ■Directors Certification Program class 2019 in Taiwan -The latest amendment version of Company Act class -Corporate Governance for Financial services class -Corporate Governance for Nominating Committee program ■Directors Certification Program class 2020 in Taiwan -Information Security for Directors and Supervisors class -The risk of Climate Change and Business Opportunity class	-None-	0%	<u>Position in other non-listed companies</u> 2015-Present Supervisor CPEC Huakai Private Equity (Fujian) Co., Ltd. (Incorporated in China) 2015-Present Director CDIB Capital Management Corporation (Incorporated in Taiwan) 2015-Present Director KGI Fraser Securities Pte. Ltd (Change company name to KGI Securities (Singapore) Pte. Ltd) (Incorporated in Singapore) 2014-Present Director KGI Asset Management Limited, (Incorporated in Hong Kong) 2004-Present Senior Vice President, KGI Securities Co. Ltd. (Public Company in Taiwan)

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies	
Mr. Somchai Kanjanapetcharat Position in the Company: ■Senior Managing Director, Retail Equity Business ■Risk Management Committee member The appointment date as a management: 1 st Mar 2013	65	MBA, National Institution of Development Administration (NIDA), Thailand	-None-	0%	<u>Experiences</u> 2000-2013	Chief Executive Officer of Retail Sales Officer KT ZMICO Securities Company Limited
					1992-2000	Senior Vice President Krungthai Tanakit Finance & Securities Plc.
					1990-1992	Assistant Vice President Thana One Finance & Securities Plc.
					<u>Position in other listed companies</u>	-None-
Mr. Suthep Rungsiam Position in the Company: ■Managing Director, Brokerage Business ■Risk Management Committee member The Appointment date as a management: 1 st Nov 2009	53	■MBA, Chaminade University of Honolulu, USA ■BBA, International Business, University of Hawaii, USA	-None-	0%	<u>Experiences</u> 2009 - 2012	Managing Director, Retail Equity Business KGI Securities (Thailand) Plc.
					2006 - 2008	Deputy Managing Director Institutional Clients Division Globlex Securities Co., Ltd.
					2000 - 2005	Vice President Institutional Clients Division BNP Paribas Peregrine Securities (Thailand) Ltd.
					1998 - 2000	Vice President Institutional Clients Division Indosuez W.I. Carr Securities (Thailand) Ltd.
					<u>Position in other listed companies</u>	-None-
					<u>Position in other non-listed companies</u>	-None-

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Jariya Posayajinda Position: ■ Managing Director, Proprietary Trading Department ■ Risk Management Committee member The appointment date as a management: 1st Apr 2009	53	MBA (Finance) Eastern Michigan University, Michigan, USA	-None-	0%	<u>Experiences</u> 2005-2011 ■ Executive Vice President (2009 - 2011) ■ Senior Vice President (2005 - 2009) Proprietary Trading Department KGI Securities (Thailand) Plc. 2002-2005 Deputy Chief Marketing Officer UOB Asset Management (Thai) Co., Ltd <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> -None-
Mr. Apichart Tungkiatsilp Position in the Company: ■ Managing Director, Fixed Income Department ■ Risk Management Committee member The appointment date as a management: 2nd Sep 2009	48	MBA, Cleveland State University, USA	-None-	0%	<u>Experiences</u> 2009-2014 Executive Vice President Fixed Income Department KGI Securities (Thailand) Plc. 2005-2009 Financial Institution Sales Manager Siam Commercial Bank Plc. 2004-2005 Fixed-Income Trading Manager Siam Commercial Bank Plc. <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> 2017-Present Director The Thai Bond Market Association 2019-2020 Committee Member Thai Financial Market Committee: TFMC Bank of Thailand 2020-Present Committee Member Bond Market Supervisory and Development Committee The Securities and Exchange Commission

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Patchpon Sankaburanuruk Position in the Company: ■ Managing Director, Investment Banking Department ■ Risk Management Committee member The appointment date as a management: 1st May 2012	50	MBA, Finance University of Hartford, USA.	-None-	0%	<u>Experiences</u> 2002-2016 ■ Executive Vice President (2013-2016) ■ Senior Vice President (2006-2012) ■ Vice President (2004-2005) ■ Assistant Vice President (2002-2004) Investment Banking Department KGI Securities (Thailand) Plc. 1999-2002 Assistant Manager Investment Banking Department Vickers Ballas Securities (Thailand) Co., Ltd 1996-1998 Manager Investment Banking Department Cathay Capital Co., Ltd 1995-1996 Officer Investment Banking Department Multi-Credit Corporation of Thailand Pcl. <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> -None-
Mr. Jenvit Chinkulkitniwat Position in the Company: ■ Managing Director, Equity Derivatives Department ■ Risk Management Committee member The appointment date as a management: 1st Apr 2014	40	Master of Science in Finance, University of Illinois, USA	-None-	0%	<u>Experiences</u> 2005-2016 ■ Executive Vice President (2014-2016) ■ Senior Vice President (2005-2014) Equity Derivatives Department KGI Securities (Thailand) Plc. <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> -None-

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Saranya Larksukthom Position in the Company: ■Senior Executive Vice President, Operation Department ■Risk Management Committee member ■Debt Recovery Committee member ■Purchase Committee member ■Welfare Committee member ■Health and Environment Committee member The appointment date as a management: 1st Mar 2001	58	MBA, Strayer University, USA <u>Training Program</u> ■Director Accreditation Program (DAP) class 155/2018 from Thai Institute of Directors Association (IOD)	-None-	0%	<u>Experiences</u> 1999-2011 ■ Executive Vice President (2001-2011) ■ Senior Vice President (1999 – 2001) Operation Department KGI Securities (Thailand) Plc 1996-1998 Assistant Vice President Nava Vickers Ballas Securities (Thailand) Co., Ltd. <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> 2018-Present Independent Director and Audit Committee Buono (Thailand) Co., Ltd 2012-Present Vice Chairman Back Office Operation Club
Ms. Kannikar Pradiskesorn Position in the Company: ■Senior Executive Vice President, Institutional Equities Business Department ■Risk Management Committee member The appointment date as a management: 1st May 2015	48	MBA, Assumption University, Thailand	-None-	0%	<u>Experiences</u> 2006-2019 ■Executive Vice President (2015-2019) ■Senior Vice President (2006-2015) Institutional Equities Business KGI Securities (Thailand) Plc. 2003-2006 Senior Sales Executive, Institutional Department FINANSA Securities Co., Ltd. 2000-2003 Senior Sales Executive Institutional Sales Desk KGI Securities One Plc. 1999-2000 Risk Executive Risk Management Department GE Capital (Thailand) Ltd. 1995-1999 Statistician Merrill Lynch (Singapore) Corporate Strategy & Research 1994-1995 Assistant Analyst Research Department Multi-Credit Corporation Plc. <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> -None-

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Nantararat Surakkhaka Position in the Company: ■Senior Executive Vice President, COO Office ■Risk Management Support Department ■Purchase Committee member ■Disciplinary Committee member The appointment date as a management: 1st Mar 2013	52	LL.M. ,Widener University, Delaware, USA. <u>Training Program</u> ■Director Certification Program Class 199/2015 ■Corporate Governance for Capital market Intermediaries 5/2015 from Thai Institute of Directors Association (IOD) ■ASCO Compliance Training Program from ASCO	-None-	0%	<u>Experiences</u> 2010-2019 ■Executive Vice President (2013-2019) ■Senior Vice President (2010-2013) COO Office (Corporate Strategy) KGI Securities (Thailand) Plc. 2005-2006 Vice President, Legal and Debt Recovery Division KGI Securities (Thailand) Plc. 1996-2005 Legal Officer The Office of the Securities and Exchange Commission <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> 2019-Present Authorized Director KGI Insurance Broker (Thailand) Company Limited 2011-Present Authorized Director One Asset Management Limited
Ms. Acharee Turongsomboon Position in the Company: ■Executive Vice President, Treasury Department ■Risk Management Committee member The appointment date as a management: 1st May 2012	54	Bachelor of Arts in Business Administration – General Management Sukhothai Thammathirat University, Thailand	-None-	0.003%	<u>Experiences</u> 2001-2011 Senior Vice President Treasury Department KGI Securities (Thailand) Plc. 1991-2000 Vice President Finance & Capital market Department Securities One Plc. <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> -None-

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Inchaya Chatchersak Position in the Company: ■ Executive Vice President, Human Resource Department ■ Purchase Committee member ■ Human Resource Committee member ■ Disciplinary Committee member The appointment date as a management: 18th Aug 2014	49	Bachelor of Arts (B.A.) major in History, Thammasat University, Thailand	-None-	0%	<u>Experiences</u> 2003-2014 ■ COO & Director (Year 2014) ■ Senior Vice President Head of Business Development (2012-2014) ■ Senior Vice President Head of Human Resource (2003-2012) KTB Securities (Thailand) Co., Ltd 2002 Assistant Manager Human Resource ACSG (Thailand) Co., Ltd 2000-2002 Assistant Manager Human Resource SG Asia Credit Securities 1999-2000 Assistant Manager, Human Resources Adkinson Securities Co., Ltd <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> -None-
Mr. Sumek Chantrasuriyarat Position in the Company: ■ Executive Vice President, Research Department The appointment date as a management: 17th Aug 2015	57	■ Master of Science in Financial, National Institute of Development Administration ■ Bachelor of Science (Statistics), Chulalongkorn University	-None-	0%	<u>Experiences</u> 2015 Deputy Managing Director Kasikorn Securities Plc. 2014 Deputy Managing Director AEC Securities Plc. 2012-2013 Deputy Managing Director IV Global Securities Plc. 2007-2012 Deputy Managing Director KT Zmico Securities Co., Ltd. 2002-2007 Deputy Managing Director Bualuang Securities Plc. 1990-2002 Vice President Research Department Merrill Lynch Phatra Securities Company Limited <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> -None-

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Mr. Patipat Pattaphongse Position in the Company: ■Executive Vice President, Wealth Management Research Department. The appointment date as a management: 15 th Nov 2018	58	■Master of Business Administration, Finance, Boston University ■Bachelor of Science in Business Adminutration , University of Southern California	-None-	0%	<u>Experiences</u> 2006-2018 Executive Director Thai Equities UBS Securities (Thailand) Ltd. 2002-2006 Executive Vice President Research Department KGI Securities (Thailand) Plc. 1995-2002 Head of Research CLSA Securities (Thailand) Ltd. 1992-1994 Vice President Fixed Income Sales/Trading Paine Webber NY/HK <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> -None-
Ms. Suchada Wongrak Position in the Company: ■Senior Vice President, Corporate Service Department ■Health and Environment Committee member The appointment date as a management: 1 st Jan 2010	56	BTec Higher National Diploma, south Warwickshire college, England <u>Training Program</u> ■Occupational Health, Safety and Environment committee course	-None-	0%	<u>Experiences</u> 2000-2010 Vice President KGI Securities (Thailand) Plc. 1993-1998 Manager Thai Fuji Finance and Securities Ltd 1990-1993 Officer Ocean Finance and Securities Ltd <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> -None-
Mr. Chi-Chih Huang Position in the Company: ■Senior Vice President, Information Technology Department ■Risk Management Committee member The appointment date as a management: 1 st June 2019	37	Master of Science in Engineering Science, National Cheng Kung University, Taiwan	-None-	0%	<u>Experiences</u> 2010-2019 Assistant Vice President Technology Department KGI Securities (Thailand) <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> -None-

Information of the Company's directors and management

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies	
Ms. Bussarin Pingkarawat Position in the Company: ■Senior Vice President Risk Management Department ■Risk Management Committee member The appointment date as a management: 1st October 2019	44	■Master Degree MBA marketing, Srinakharinwirot University ■Bachelor Degree , Public Administration, Kasetsart University <u>Training Program</u> ■Value at Risk, Securities Analysts Association ■Systematic Problem Solving and Decision Making, Acclaimed Consultants International Co., Ltd. ■Risk management, TSI	-None-	0%	<u>Experiences</u> 2013-2019 Senior Vice President Head of Credit Control Department UOB Kay Hian Securities (Thailand) Plc.	
					2012-2013 Assistant Vice President Credit Control and Supervision Department OSK Securities (Thailand) Co., Ltd.	
					2010-2012 Associate Risk Management Department DBS Vickers Securities (Thailand) Co., Ltd,	
					2003-2010 Manager Risk Management Department SCB Securities Co., Ltd.	
					1996-2003 Representative Customer Service Bank of Ayudhya Plc.	
					<u>Position in other listed companies</u>	-None-
					<u>Position in other non-listed companies</u>	-None-

**Information of the Head of Finance & Accounting Department,
Accountant Officer
and the Company Secretary**

Head of Finance & Accounting Department

Name / Position / The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Khunmira Thunnom Position in the Company: ■ Senior Executive Vice President, Finance and Accounting Department ■ Risk Management Committee member ■ Debt Recovery Committee member The appointment date as a management: 1st Apr 2008	55	■ Master of Accountancy, Financial Accounting, Chulalongkorn University ■ Bachelor of Accounting, Thammasat University ■ Certified Public Accountant (Thailand), Registration No. 3973 <u>Training</u> ■ Capital Structure and funding strategy from TLCA Professional Development Program (TLCA CFO CPD) 4/2020 ■ Risk Management COSO ERM 2017(Basic) from Federation of Accounting Professions of Thailand, class2/2020 ■ Insight Financial Management : Enhance Business Performance by Professional Financial Management Certificate issued by The Stock Exchange of Thailand on 23rd August 2019 ■ TFRS 9, TFRS 15 and TFRS 16 : Insight, Essence, Practices, Impact, Guidelines Certificate issued by The Stock Exchange of Thailand on 12th June 2019 ■ CFO Certification Program – Class 1/2004 from The Institute of Certified Accountants and Auditors of Thailand (change to Federation of Accounting Professions of Thailand)	-None-	0%	<u>Experiences</u> 1993-2019 ■ Executive Vice President (2008 - 2019) ■ Senior Vice President (2001 - 2008) ■ Vice President (1993 - 2001) Finance & Accounting Department KGI Securities (Thailand) Plc. 1989-1992 Assistant Manager Ernst & Young Office Limited (change company name to EY Office Company Limited) <u>Position in other listed companies</u> -None- <u>Position in other non-listed companies</u> -None-

Duty and responsibilities of Head of Finance & Accounting Department

1. To manage and develop accounting and financial information system, and supervise the accountant officer in order to ensure that the Company's financial statements present fairly in accordance with Thai financial reporting standards and prepare on time.
2. To report the Company's statements of financial position & financial performance, other financial information, adequate internal control system and audit results of the auditor to Audit Committee, Board of Directors and Shareholders
3. To analyze accounting & financial information and other information related, including adequacy of liquidity and a net capital ratio of the Company in order to provide the financial information and necessary suggestions to COO and executive directors for the decision making on business operation to meet the corporate strategy and plan.
4. To prepare and control the annual financial budget of the Company in accordance with each business strategy and plan, including the budget of capital expenditure in new technology system development, funding usage projection and headcount budget plan. In addition, together with Treasury Department, to provide sufficient capital for the business operation according to the budget plan and coordinate with Human Resource Department to control the headcount regarding the budget plan.
5. To prepare and analyze the three-year financial projection including the business plan and risk controls of the Company to ensure that the company credit rating is able to be retained or developed in future.
6. To have role and responsibilities in Risk Management Committee including the Sub-Committee s which are Credit Risk Sub-Committee, Trading Business Risk Sub-Committee, Investment Banking Business Risk Sub-Committee, ISMS Management Sub-Committee, and Fixed Income Underwriting Risk Sub-Committee.
7. To have role and responsibilities in Debt Recovery Committee.
8. To coordinate and collaborate with the management of various departments to drive the Company's plan and strategy for achievement.
9. To communicate important financial information to any related party investors or shareholders to build a good understanding and confidence in the Company.
10. Other activities regarding assignment.

Accountant Officer

Name / Position	Age (Years)	Education / Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Wipha Katikubanan Position in the Company: ■ Senior Vice President, Finance and Accounting Department ■ Health and Environment Committee member The Appointment date as an accountant : 26th Nov 2018 ■ Registration certificate as Chief Accountant with criteria specified by Department of Business Development	52	■ Master of Accountancy, Financial Accounting, Chulalongkorn University, Thailand. ■ Bachelor of Accounting, Thammasat University <u>Training Program in 2020</u> ■ Continuous accounting knowledge improvement training in compliance with Department of Business Development Accounting knowledge enhancement 12 hours - Beyond Treasury Management 2/63 held by TFAC - Financial Statements Review for Internal Auditors 1/63 held by TFAC	-None-	0%	<u>Experiences</u> 1999-2016 ■ Vice President (2001-2016) ■ Assistant Vice President (1999-2001) Finance & Accounting Department KGI Securities (Thailand) Plc. 1991-1994 KPMG Peat Marwick Suthee (change company name to KPMG Phoomchai Audit Ltd.)

Duty and responsibilities of Accountant Officer

1. To prepare accounts for reflecting the current operation results, financial position or change in financial position of the Company with the accounting duty in accordance with reality and accounting Act, B.E. 2543 (2000), with proper and complete supporting documents used for making entries in accounts.
2. To prepare financial statements in accordance with accounting standards and the Regulation of The Stock Exchange of Thailand (SET) and The Securities and Exchange Commission (SEC).
3. To arrange financial statements to be audited and accompanied by an opinion of a certificated auditor.
4. To submit the reports relating to financial information in accordance with the regulators such as Ministry of Commerce, Revenue Department, The Stock Exchange of Thailand (SET) and the Securities and Exchange Commission (SEC).
5. To retain accounts and supporting documents used for making entries in accounts.
6. To develop and evaluate relevant internal controls, financial information technology system to obtain sufficient appropriate financial information in accordance with reality, accounting standards and the Regulation of The Stock Exchange of Thailand (SET) and The Securities and Exchange Commission (SEC) with no material misstatement of financial information, whether due to fraud or error.
7. To prepare properly and submit tax to Revenue Department on time.
8. To develop staff skills to be up-to-date in accounting and business knowledge.
9. Other activities as assigned by Head of Finance & Accounting Department.

The Company Secretary

Name/Position/ The appointment date	Age (Years)	Education/ Training	Relationship among Director and management	Portion of shares held in the Company (%)	Work experiences and Position in other companies
Ms. Nongluk Ningsanond Position in the Company: ■The Company Secretary ■Executive Vice President, Company Secretary Office ■Risk Management Committee member ■Purchase Committee member ■Corporate Governance Committee member The appointment date as a management: 1 st Apr 2008	58	■Bachelor of Economics, Thammasat University, Thailand ■MBA, Bangkok University, Thailand <u>Training Program</u> ■Certified Investment and Securities Analyst (CISA III) from Securities Analysis Association ■Company Secretary Program Class 5/2004 ■Effective Minute Taking Class 10/2008 and ■Corporate Governance for Capital Market from Thai Institute of Directors Association ("IOD")	-None-	0%	<u>Experiences</u> 1997-2008 ■Senior Vice President (2001 - 2008) ■Vice President (1997 - 2001) KGI Securities (Thailand) Plc. 1992-1997 Vice President Investment Banking Department First Asia Securities Plc. <u>Position in other</u> -None- <u>listed companies</u> <u>Position in other</u> -None- <u>non-listed</u> <u>companies</u>

Duty and responsibilities of the Company Secretary

1. Providing basic advice pertaining to the laws and regulations, as well as monitoring compliance on a regular basis;
2. Preparing agenda and documents for the Board of Directors Meetings and Shareholder Meetings;
3. Arranging the Board of Directors Meetings and Shareholder Meetings in accordance with the laws and regulations, the Company's Articles of Association, and best practices;
4. Preparing the minutes of the Board of Directors Meetings and Shareholder Meetings, and monitoring subsequent actions in accordance with resolutions of those meetings;
5. Preparing and keeping the registrations of directors, annual reports of the company, notices calling Board of Directors Meetings and Shareholder Meetings, as well as minutes of the Board of Directors Meetings and Shareholder Meetings.
6. Ensuring that the directors and executives have filed report on their interest and related persons interest, as required by laws;
7. Keeping reports on interest filed by directors and executives, and presenting copies of such reports to Chairmen of the Board of Directors and Audit Committee, as specified by laws;
8. Ensuring that corporate information disclosures to regulatory agencies are made in accordance with the laws and regulations;
9. Communicating with shareholders on their legitimate rights and on the company's information, and serving as a communication median between shareholders and directors and executives;
10. Arranging performance assessment of the Board of Directors every year;
11. Arranging director's briefings for newly elected directors.

The position of Directors and Management in subsidiary and related companies

(As of 31st December 2020)

Directors and Management	Position in the Company	Position in the Company's Subsidiaries				
		ONEAM	KGI Insurance	HOLDCO	KTHL	Pine Wealth
1) Mr. Chin-Lung Tseng	A , E	C	-	-	-	-
2) Mr. Picharn Kullavanijaya	B, D, F	-	-	-	-	-
3) Dr. Don Bhasavanich	D, G	-	-	-	-	-
4) Mr. Pradit Sawattananond	D,G	-	-	-	-	-
5) Mr. Chih-Hung Lin	E, I	H , E	-	H	H	-
6) Ms. Suchada Sodthibhaphkul	E, L	-	-	-	-	-
7) Mr. Wisit Wongpaisan	C	-	-	-	-	-
8) Ms. Pi-Lin Huang	C	-	-	-	-	-
9) Mr. Chih-Chien Yen	C	-	-	-	-	-
10) Mr. Somchai Kanjanapetcharat	J	-	-	-	-	-
11) Mr. Suthep Rungsiam	K	-	-	-	-	-
12) Ms. Jariya Posayajinda	K	-	-	-	-	-
13) Mr. Apichart Tungkiatsilp	K	-	-	-	-	-
14) Ms. Patchpon Sankaburanuruk	K	-	-	-	-	-
15) Mr. Jenvit Chinkulkitniwat	K	-	-	-	-	-
16) Ms. Khunmira Thunnom	M, O	-	-	-	-	-
17) Ms. Saranya Larksukthom	M	-	-	-	-	-
18) Ms. Kannikar Pradisikesorn	M	-	-	-	-	-
19) Ms. Nantararat Surakkhaka	M	H , E	H , E	-	-	H , E
20) Ms. Nongluk Ningsanond	N , P	-	-	-	-	-
21) Ms. Acharee Turongsomboon	N	-	-	-	-	-
22) Ms. Inchaya Chatchersak	N	-	-	-	-	-
23) Mr. Sumek Chantrasuriyarat	N	-	-	-	-	-
24) Mr. Patipat Pattaphongse	N	-	-	-	-	-
25) Ms. Suchada Wongrak	Q	-	-	-	-	-
26) Ms. Rungthip Vanitchapan	Q	-	-	-	-	-
27) Mr. Surachet Amnuaywittayakul	Q	-	-	-	-	-
28) Mr. Chi-Chih Huang	Q	-	-	-	-	-
29) Ms. Bussarin Pingkarawat	Q					

Director title

A: Chairman
E: Authorized Director

B: Vice Chairman
F: Audit Committee Chairman

C: Director
G: Audit Committee

D: Independent Director
H: Persons who the Board appointed as a director of its subsidiaries

Company title

I: Chief Operating Officer
M: Senior Executive Vice President

J: Senior Managing Director
N: Executive Vice President

K: Managing Director
O: Head of Finance & Accounting Department

L: Executive Director
P: Company Secretary
Q: Senior Vice President

Subsidiaries

ONEAM: One Asset Management Limited
KGI Insurance: KGI Insurance Broker (Thailand) Company Limited
HOLDCO: KGI Securities (Thailand) International Holdings Limited
KTHL: KGI (Thailand) Holdings Limited
Pine Wealth: Pine Wealth Solution Ltd.

Note: Directors and executives of the Company have no record of any punishment under the Securities Exchange Act of 2535 or the Derivatives Act 2546