

Attachment 3 Information of the Head of Internal Control and Compliance of the Company

Details of the Head of internal control and compliance of the Company as follows;

Details	Head of Internal Control and Compliance		
Name	Mr. Nakorn Lumpaves		
Position	Executive Vice President		
Education	Master Degree - MBA (Finance), Dhurakij Pundit Universtiy		
Experience	AEC Securities PCL.	Executive Vice President	May 2014 – Feb 2015
	CIMB Securities Co.,Ltd.	Deputy Managing	2011 – 2014
	JF Thanakom Securities Co.,Ltd	Senior Vice President	1999– 2001
Training	- Auditing to know the facts about the customer according to the Law on the Prevention and Money Laundering Office Course (by AMLO) - Preparation of the securities and asset Management Company for requesting a certificate of compliance alignment of the private sector in the fight against corruption. (by SEC)		
Responsibility	- Control and responsibility on auditing results accordance with the plan and objective are determinate, which is conformity with policy of the Company. Reporting on operation of the department to supervisor and management. - Consultant comments and recommend on the internal control and system development to be effective and adapt to changing business environments. Including to legal compliance regulations of the Company along with ethics of member companies - Consulting and training and evaluate the performance of employee in the department.		

The Audit Committee has considered the head of internal control and compliance of the Company as knowledgeable, experienced and qualified for the position. In 2014, training on Auditing to know the facts about the customer according to the Law on the Prevention and Money Laundering Office Course (by AMLO) and Preparation of the securities and asset Management Company for requesting a certificate of compliance alignment of the private sector in the fight against corruption (by SEC).

Appointment, dismissal and transfer of the head of internal control and compliance of the Company require approval from the Audit Committee.