

Attachment 3 Information of the Head of Internal Control and Compliance of the Company

Details of the Head of internal control and compliance of the Company as follows;

Details	Head of Internal Control and Compliance		
Name	Mr. Kasidit Nuchtan		
Position	Assistant Managing Director		
Education	Bachelor Degree – Business Administration, Dhurakij Pundit Universtiy		
Experience	AEC Securities PCL.	Executive Vice President	Apr. 2015 – Present
	Merchant Partners Asset Management Co.,Ld	Senior Vice President	Sep. 2014 – Apr. 2015
	UOB Securities PCL.	Vice President	Apr. 2005 – Sep. 2014
Training	Course of Regulatory Practice (Organized by Association of Thai Securities Companies, The Stock Exchange of Thailand and The Securities and Exchange Commission)		
	- Expectations of SEC and Preparing of Compliance to the capital markets in the futures		
	- Ethics in the Securities Business		
	- The regulatory order is not appropriate		
	- Regulatory of Derivatives		
	- Governance rules of the SET and TCH		
	- Private Funds		
	- Guidelines for the management of financial risk		
	- Regulatory approach to performance management funds and issues observed by the audit		
	- The rules and regulations related to the brokerage unit		
Responsibility	■ Control and responsibility on auditing results accordance with the plan and objective are determinate, which is conformity with policy of the Company. Reporting on operation of the department to supervisor and management.		
	■ Consultant comments and recommend on the internal control and system development to be effective and adapt to changing business environments. Including to legal compliance regulations of the Company along with ethics of member companies		
	■ Consulting and training and evaluate the performance of employee in the department.		