



Annual Registration Statement / Annual Report

Form 56-1 One Report

(Structured Data Report)

GLOBLEX HOLDING MANAGEMENT PUBLIC COMPANY LIMITED

Fiscal Year End 31 December 2022



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Group Structure and Operations

Group Structure and Operations

Business Overview and Policies (1.1)

Company Information (1.1.5)

Company Name : GLOBLEX HOLDING MANAGEMENT PUBLIC COMPANY LIMITED

Symbol : GBX

Address : C R C TOWER, ALL SEASONS PLACE, FLOOR 12, 87/2, WIRELESS ROAD,
LUMPINI, PATHUM WAN

Province : Bangkok

Postcode : 10330

Business : The Company operates its core business as a holding company

Registration Number : 0107547000435

Telephone : 0-2672-5995

Fax (if applicable) : 0-2672-5996

Website : www.globlexholding.co.th

Email : ir@globlex.co.th

Total Shares Sold (shares)

Common Stock : 1,089,076,392

Preferred Stock : 0

Business Operations (1.2)

Revenue Structure (1.2.1)

By Product Line or Business Grouping

	2020	2021	2022
Total (Thousand baht)	56,288,410.22	868,582.55	598,683.37
Revenues from sales (Thousand baht)	55,764,991.61	0.00	0.00
Brokerage fees income (Thousand baht)	337,211.06	455,293.46	320,578.42
Fees and service incomes (Thousand baht)	169,124.17	379,827.45	256,586.52
Gain and return on financial instruments (Thousand baht)	15,578.40	28,017.00	16,577.35
Other incomes (Thousand baht)	1,504.98	5,444.64	4,941.08

	2020	2021	2022
Total (%)	100.00	100.00	100.00
Revenues from sales (%)	99.07	0.00	0.00
Brokerage fees income (%)	0.60	52.42	53.55
Fees and service incomes (%)	0.30	43.73	42.86
Gain and return on financial instruments (%)	0.03	3.23	2.77
Other incomes (%)	0.00	0.63	0.83

By Geographical Area or Market

	2020	2021	2022
Total (Thousand baht)	56,288,410.22	868,582.55	598,683.37
Domestic (Thousand baht)	56,288,410.22	868,582.55	598,683.37
International (Thousand baht)	0.00	0.00	0.00

	2020	2021	2022
Total (%)	100.00	100.00	100.00
Domestic (%)	100.00	100.00	100.00
International (%)	0.00	0.00	0.00

Information on Products and Services (1.2.2)

Product/Service Information and Business Innovation Development (1.2.2.1)

Research and Development (R&D) Policy : No

(Unit : Thousand baht)	2020	2021	2022
R&D expenses in the past 3 years	0.00	0.00	0.00

Sustainable Development

Sustainable Development

Sustainability Management Policy and Targets (3.1)

Sustainability Management Policy and Targets

Corporate Sustainability Policy : Yes

Environmental Aspect (3.3)

Policy and Guideline on Environmental Aspect (3.3.1)

Environmental Policy and Practice : Yes

Results with Respect to the Environmental Aspect (3.3.2)

Greenhouse gas management

• Greenhouse gas emissions

	2020	2021	2022
GHG emission target	N/A	N/A	N/A
Scope 1 (Tons of carbon dioxide equivalents)	N/A	N/A	N/A
Scope 2 (Tons of carbon dioxide equivalents)	N/A	N/A	N/A
Scope 3 (Tons of carbon dioxide equivalents)	N/A	N/A	N/A
Total (Tons of carbon dioxide equivalents)	N/A	N/A	N/A

• Verification of greenhouse gas emissions over the past year

Third-party verification : No

Social Aspect (3.4)

Policy and Guideline on Social Aspect (3.4.1)

Human Rights Policy : Yes

Company human right guideline : Employee Rights, Community and Environment Rights, Safety and Occupational Health at Work

Results with Respect to the Social Aspect (3.4.2)

Information about employees

• Total number of employees

	2020	2021	2022
Number of male employees (persons)	19	12	9
Number of female employees (persons)	19	4	4
Total (persons)	38	16	13

• Employee remuneration

	2020	2021	2022
Employee remuneration (baht)	21,442,214.00	20,238,838.77	12,899,778.44

• Employee development and training

	2020	2021	2022
Average training hours of employees (hour / person / year)	3	3	9
Employee development and training expenses (baht)	175,496.90	22,817.00	31,030.72

• Health, safety and work environment

	2020	2021	2022
Number of employee work injuries leading to employee absence (times)	0	0	0

• **Employee retention**

	2020	2021	2022
Percentage of employees who voluntarily resigned (%)	7.89	6.25	7.69

• **Significant labor dispute**

	2020	2021	2022
Significant labor dispute	No	No	No

Corporate Governance Policy

Corporate Governance Policy

Overview of the Corporate Governance Policy and Guideline (6.1)

Corporate Governance Policy

Corporate Governance Policy : Yes

Policy and Guideline Related to the Board of Directors (6.1.1)

Policy and guideline related to the board of directors

Company policy and guideline : Nomination of Directors, Compensation, Independence of the Board of Directors, Director Development, Board Performance Evaluation, Corporate Governance of Subsidiaries and Associated Companies

Code of Conduct (6.2)

Establishing a Code of Conduct

Establishing a Code of Conduct

Code of Conduct : Yes

Policy and Guideline related to the Code of Conduct

Company policy and guideline : Preventing of Conflicts of Interest, Preventing the Misuse of Inside Information, Anti-corruption, Whistleblowing

**Corporate Governance Structure
and Significant Information Regarding
the Board of Directors, Subcommittees,
Management,
Employee and Other Information**

Corporate Governance Structure and Significant Information Regarding the Board of Directors, Subcommittees, Management, Employee and Other Information

Board of Directors (7.2)

Composition of the board of directors (7.2.1)

	Number of persons	Percentage (%)
Total number of directors	5	100.00
Number of male directors	4	80.00
Number of female directors	1	20.00
Number of executive directors	2	40.00
Number of non-executive directors	3	60.00
Number of independent directors	3	60.00

Information on the board of directors and persons with authority to control the company (7.2.2)

List of directors

General information	Position	Date position was assumed	Experience and expertise
1. Mr. ORAN KOOHAPREMKIT Gender: Male Age: 70 years old Highest level of education: Bachelor's degree Major: Business Administration Thai nationality: Yes Residing in Thailand: Yes	Chairman of the board (Executive) Director type: Re-elected as director	18 Feb 2004	Finance & Securities

2.	Mr. SUPORN THAMMARAKS Gender: Male Age: 69 years old Highest level of education: Master's degree Major: Business Administration Thai nationality: Yes Residing in Thailand: Yes	Director (Non-executive, Independent director) Director type: Original director	10 Aug 2011	Law
3.	Mr. THARAPHUT KUHAPEMKIT Gender: Male Age: 38 years old Highest level of education: Master's degree Major: Finance Thai nationality: Yes Residing in Thailand: Yes	Director (Executive) Director type: Original director	21 Mar 2012	Finance & Securities
4.	Ms. VILAILUCK SKULPAKDEE Gender: Female Age: 69 years old Highest level of education: Doctoral degree Major: Political Science Thai nationality: Yes Residing in Thailand: Yes	Director (Non-executive, Independent director) Director type: Re-elected as director	17 Jan 2017	Accounting
5.	Mr. NATDANAI INDRASUKHSRI Gender: Male Age: 68 years old Highest level of education: Master's degree Major: Business Administration Thai nationality: Yes Residing in Thailand: Yes	Director (Non-executive, Independent director) Director type: Newly appointed director to replace ex-director	10 Nov 2022	Economics, Insurance

List of directors who resigned/vacated their position during the year

General information	Position	Tenure	Replacement Director
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1.	Mr. PRACHAYA KULVANICHPISIT	Director	Date position was assumed:	Mr. NATDANAI INDRASUKHSRI
	Gender: Male	(Non-executive,		Date position was assumed:
	Age: 57 years old	Independent director)	18 Jan 2022	10 Nov 2022
	Highest level of education:		Date directorship ended:	
	Master's degree		31 Aug 2022	
	Major: Business Administration			
	Thai nationality: Yes			
	Residing in Thailand: Yes			

Other Information pertaining to committees

The Chairman is an independent director : No

The Chairman and the manager are the same person : No

The Chairman and the manager are members of the same family : Yes

The company appoints at least one independent director to determine the agenda of the Board of Directors' meetings : Yes

Sub-committees (7.3)

Information about sub-committees (7.3.2)

Audit Committee

List of audit committee members

General information		Position	Date position was assumed	Experience and expertise
1.	<p>Ms. VILAILUCK SKULPAKDEE [1]</p> <p>Gender: Female</p> <p>Age: 69 years old</p> <p>Highest level of education: Doctoral degree</p> <p>Major: Political Science</p> <p>Thai nationality: Yes</p> <p>Residing in Thailand: Yes</p>	<p>Chairman of the audit committee</p> <p>(Non-executive, Independent director)</p> <p>Director type: Re-elected as director</p>	17 Jan 2017	Accounting
2.	<p>Mr. SUPORN THAMMARAKS</p> <p>Gender: Male</p> <p>Age: 69 years old</p> <p>Highest level of education: Master's degree</p> <p>Major: Business Administration</p> <p>Thai nationality: Yes</p> <p>Residing in Thailand: Yes</p>	<p>Audit committee</p> <p>(Non-executive, Independent director)</p> <p>Director type: Original director</p>	10 Aug 2011	Law
3.	<p>Mr. NATDANAI INDRASUKHSRI</p> <p>Gender: Male</p> <p>Age: 68 years old</p> <p>Highest level of education: Master's degree</p> <p>Major: Business Administration</p> <p>Thai nationality: Yes</p> <p>Residing in Thailand: Yes</p>	<p>Audit committee</p> <p>(Non-executive, Independent director)</p> <p>Director type: Newly appointed director to replace ex-director</p>	10 Nov 2022	Economics, Insurance

[1] A director with the accounting expertise needed to review financial statements

List of audit committee who resigned/vacated their position during the year

General information	Position	Tenure	Replacement Director
1. Mr. PRACHAYA KULVANICHPISIT Gender: Male Age: 57 years old Highest level of education: Master's degree Major: Business Administration Thai nationality: Yes Residing in Thailand: Yes	Audit committee (Non-executive, Independent director)	Date position was assumed: 18 Jan 2022 Date directorship ended: 31 Aug 2022	Mr. NATDANAI INDRASUKHSRI Date position was assumed: 10 Nov 2022

[1] A director with the accounting expertise needed to review financial statements

Executive Committee

List of executive committee members

General information	Position	Date position was assumed
1. Mr. ORAN KOOHAPREMKIT Gender: Male Age: 70 years old Highest level of education: Bachelor's degree Major: Business Administration Thai nationality: Yes Residing in Thailand: Yes	Chairman of the executive committee	18 Feb 2004
2. Mr. THARAPHUT KUHAPREMKIT Gender: Male Age: 38 years old Highest level of education: Master's degree Major: Finance Thai nationality: Yes Residing in Thailand: Yes	Member of the executive committee	21 Mar 2012

Other sub-committees

Sub-committees information

Name of sub-committees	List of directors	Position
Compensation Committee	Mr. ORAN KOOHAPREMKIT	Chairman
	Ms. VILAILUCK SKULPAKDEE	Member
	Mr. SUPORN THAMMARAKS	Member
	Mr. NATDANAI INDRASUKHSRI	Member
Nomination Committee	Ms. VILAILUCK SKULPAKDEE	Chairman
	Mr. SUPORN THAMMARAKS	Member
	Mr. NATDANAI INDRASUKHSRI	Member
Management Committee	Mr. ORAN KOOHAPREMKIT	Chairman
	Mr. THARAPHUT KUHAPREMKIT	Member
	Mr. Rachapoom Sittidech	Member
	Mr. Noppadon Sakulwattana	Member
Investment Committee	Mr. THARAPHUT KUHAPREMKIT	Chairman
	Mr. Rachapoom Sittidech	Member
	Mr. Noppadon Sakulwattana	Member
Operation Committee	Mr. THARAPHUT KUHAPREMKIT	Chairman
	Mr. Rachapoom Sittidech	Member
	Mr. Noppadon Sakulwattana	Member
Risk Management Committee	Mr. THARAPHUT KUHAPREMKIT	Chairman
	Mr. Thanapisal Koochapremkit	Member
	Miss Prathana Mekkrangkrai	Member
	Mr. Pheeraseth Pannapalakul	Member

Roles of Sub-committees

Sub-committees responsible for risk management : Risk Management Committee

Sub-committees responsible for nomination : Nomination Committee

Sub-committees responsible for remuneration : Compensation Committee

Sub-committees responsible for corporate governance : Management Committee

Sub-committees responsible for corporate sustainability development : Management Committee

Executives (7.4)

List and positions of the executive (7.4.1)

The four highest-ranking executives

General information	Position	Date position was assumed	Experience and expertise
1. Mr. THARAPHUT KUHAPREMKIT Gender: Male Age: 38 years old Highest level of education: Master's degree Major: Finance	MANAGING DIRECTOR	17 Jan 2017	Finance & Securities
2. Mr. Rachapoom Sittidech Gender: Male Age: 34 years old Highest level of education: Master's degree Major: Finance	Vice President of Investment Department	1 May 2019	Finance
3. Mr. Noppadon Sakulwattana [1] Gender: Male Age: 44 years old Highest level of education: Master's degree Major: Law	Deputy Vice President of Accounting and Finance Department	1 Jan 2015	Accounting
4. Mrs. Chotiros Lekmanee [2] Gender: Female Age: 39 years old Highest level of education: Bachelor's degree Major: Accounting	Assistance Vice President Accounting and Finance Department	17 Jan 2022	Accounting
5. Miss Yuwares Sripariyuth Gender: Female Age: 38 years old Highest level of education: Below a bachelor's degree Major: Business Administration	Assistance Vice President	17 Jan 2022	Data Management

[1] Highest responsibility in accounting and finance

[2] Directly responsible for financial account supervision

Remuneration policy for executives (7.4.2 – 7.4.3)

Remuneration policy for executives

Remuneration policy for executives : No

Remuneration

	2020	2021	2022
Total executive remuneration (baht)	9,570,156.00	9,615,303.00	8,069,558.00

Other forms of remuneration

Employee Stock Ownership Plan (ESOP) : No

Employee Joint Investment Program (EJIP) : No

Employees (7.5)

Information about company employees

Employees

Number of male employees (persons) : 9

Number of female employees (persons) : 4

Total (persons) : 13

Employee Remuneration

Total employee remuneration : 12,899,778.44

Provident fund

Total number of employees (persons) : 13

Number of employees contributing to the PVD (persons) : 13

Percentage of employees who are members (%) : 100.00

Performance Report on Corporate Governance

Performance Report on Corporate Governance

Summary of Director Performance (8.1)

Selection, development, and evaluation of duty performance of the Board of Directors (8.1.1)

List of new directors appointed in the past year

- List of continuing directors (full term of directorship and being re-appointed as a director)

General information	Position	Date position was assumed	Experience and expertise
1. Mr. ORAN KOOHAPREMKIT Gender: Male Age: 70 years old Highest level of education: Bachelor's degree Major: Business Administration Thai nationality: Yes Residing in Thailand: Yes	Chairman of the board (Executive) Director type: Re-elected as director	Date position was assumed: 18 Feb 2004	Finance & Securities
2. Ms. VILAILUCK SKULPAKDEE Gender: Female Age: 69 years old Highest level of education: Doctoral degree Major: Political Science Thai nationality: Yes Residing in Thailand: Yes	Director (Non-executive, Independent director) Director type: Re-elected as director	Date position was assumed: 17 Jan 2017	Accounting

- List of newly appointed director to replace the ex-director

General information	Position	Date position was assumed	Experience and expertise
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1.	Mr. NATDANAI INDRASUKHSRI	Director	Date position was assumed:	Economics, Insurance
	Gender: Male	(Non-executive,		
	Age: 68 years old	Independent director)	10 Nov 2022	
	Highest level of education:			
	Master's degree	Director type: Newly		
	Major: Business Administration	appointed director to		
	Thai nationality: Yes	replace ex-director		
	Residing in Thailand: Yes			

Development of directors over the past year

List of directors	Position	Participated in director development program
Mr. ORAN KOOHAPREMKIT	Chairman of the board	Non-participating
Mr. SUPORN THAMMARAKS	Director	Non-participating
Mr. THARAPHUT KUHAPEMKIT	Director	Participating
Ms. VILAILUCK SKULPAKDEE	Director	Non-participating
Mr. NATDANAI INDRASUKHSRI	Director	Non-participating
Mr. PRACHAYA KULVANICHPISIT	Director	Non-participating

Directors' performance assessment

Method used to evaluate directors' performance : Whole-board-of-directors assessment, Individual-director assessment (self-assessment)

Meeting attendance and remuneration to each Board member (8.1.2)

Meeting attendance of the board of directors

Number of board meetings (times) : 6

Date of AGM meeting : 19 Apr 2022

EGM meeting : No

	List of directors	Termination date	Number of Board Meeting	AGM meetings	EGM meetings
1.	Mr. ORAN KOOHAPREMKIT (Chairman of the board)	-	6/6	Participating	Did not hold the meeting
2.	Mr. SUPORN THAMMARAKS (Director)	-	6/6	Participating	Did not hold the meeting
3.	Mr. THARAPHUT KUHAPEMKIT (Director)	-	6/6	Participating	Did not hold the meeting
4.	Ms. VILAILUCK SKULPAKDEE (Director)	-	6/6	Participating	Did not hold the meeting
5.	Mr. NATDANAI INDRASUKHSRI (Director)	-	0/0	Non-participating	Did not hold the meeting
6.	Mr. PRACHAYA KULVANICHPISIT (Director)	31 Aug 2022	4/6	Participating	Did not hold the meeting

Remuneration for company directors

	List of directors	Termination date	Meeting allowance (baht)	Other monetary remuneration (baht)	Other non-monetary
1.	Mr. ORAN KOOHAPREMKIT (Chairman of the board)	-	400,000.00	0.00	No
2.	Mr. SUPORN THAMMARAKS (Director)	-	100,000.00	0.00	No
3.	Mr. THARAPHUT KUHAPEMKIT (Director)	-	0.00	0.00	No
4.	Ms. VILAILUCK SKULPAKDEE (Director)	-	125,000.00	0.00	No
5.	Mr. NATDANAI INDRASUKHSRI (Director)	-	0.00	0.00	No
6.	Mr. PRACHAYA KULVANICHPISIT (Director)	31 Aug 2022	80,000.00	0.00	No

Report on the Audit Committee's Performance for the Past Year (8.2)

Report on the audit committee's performance for the past year

Meeting attendance of audit committee

Number of Audit committee meetings (times) : 6

List of directors		Termination date	Number of the audit committee meeting
1.	Ms. VILAILUCK SKULPAKDEE (Chairman of the audit committee)	-	6/6
2.	Mr. SUPORN THAMMARAKS (Audit committee)	-	6/6
3.	Mr. NATDANAI INDRASUKHSRI (Audit committee)	-	0/0
4.	Mr. PRACHAYA KULVANICHPISIT (Audit committee)	31 Aug 2022	4/6