

Attachment 4

Detail of Head of Internal Audit and Head of Compliance

Details of Head of Internal Audit and Governance Office Persons as of December 31, 2015

Attachment 4

Name of Director, Management and Controlling Person	Age (years)	Total Shareholding ^{1/} (shares)		Family Relationship with other Management	Education / Director's Training Course Program	Work Experience within 5 years			
						Period	Position	Company / Organization	Type of Business
1. Mr. Pairat Srivilairit Corporate Secretary Head of Governance Office	50	Common	-	-	Master of Business Administration Thammasat University Bachelor of Engineering Chulalongkorn University - Certified Internal Auditor (CIA) - Certificate in Control Self-Assessment (CCSA) - Certified Financial Service Auditor (CFSA) The Institute of Internal Auditors (IIA), USA - Certified Information System Auditor (CISA), ISACA, USA - Certified Information Systems Security Professional (CISSP) Int'l Information Systems Security Certification Consortium (ISC)2, USA - Certified Fraud Examiner (CFE) Association of Certified Fraud Examiners (ACFE), USA - Company Secretary Program - Company Reporting Program - Board Reporting Program - Effective Minutes Taking - Audit Committee Program - Monitoring the Internal Audit Function - Monitoring Fraud Risk Management Thai Institute of Directors Association (IOD) - GRI Certified Training on G4 reporting Guidelines Thaipat Institute - Anti-Corruption Strategic Management for Senior Executives Office of the National Anti-Corruption	Oct2013-Present	<u>TISCO Group</u> Head of Governance Office	TISCO Financial Group Public Company Limited	Holding Company
		Preferred	-			Sep2013-Present Feb2013-Sep2013 2009-Jan2013 Sep2013-Present Sep2013-Present Sep2013-Present 2011-Present 2010-Present 2010-Present	Corporate Secretary Head of Operational Risk Management Head of Internal Audit Director Director Director Member of Internal Audit Quality Assurance Committee Member of Internal Audit Development Committee Member of Risk Management Committee	TISCO Leasing Company Limited TISCO Information Technology Company Limited Hi-Way Company Limited Comptroller General's Department Comptroller General's Department Bangkok Metropolitan Administration	Leasing Information Technology Hire Purchase Government office Government office Government office
2. Ms. Dulyarat Taveebhol Head of Corporate Compliance	58	Common	-	-	Bachelor of Accountancy (Accounting) Thammasat University	Jul2013-Present	<u>TISCO Group</u> Head of Corporate Compliance	TISCO Financial Group Public Company Limited	Holding Company
		Preferred	-			2010-Jun2013 2009-2010 Sep2013-Present 2009-Present 2009-Present	Assistant Head of Corporate Office Head of Compliance & Internal Control Director Director and Member of the Audit Committee Director and Member of the Audit Committee <u>Others</u> -	Deutsche TISCO Investment Advisory Company TISCO Asset Management Company Limited TISCO Securities Company Limited	Investment Advisory Asset Management Securities
3. Ms. Jiraporn Sawsukpaiboon Head of Internal Audit	46	Common	16,544	-	Master of Business Administration Thammasat University Bachelor of Science (Accounting) Kasetsart University - Certified Internal Auditor (CIA) The Institute of Internal Auditors (IIA), USA - Certified Information System Auditor (CISA), ISACA, USA - Company Secretary Program - Board Reporting Program - Effective Minutes Taking (EMT) - Anti-Corruption: The Practical Guide Thai Institute of Directors Association (IOD)	Feb2013-Present	<u>TISCO Group</u> Head of Internal Audit	TISCO Financial Group Public Company Limited	Holding Company
		Preferred	-			2006-Jan2013	Deputy Head of Internal Audit <u>Others</u> -		

Remark: ^{1/} Including spouse and minor children