

Attachment 1 Details of Directors, Management and Controlling Persons and Corporate Secretary

1.1 Details of Directors, Management and Controlling Persons and Corporate Secretary as of January 1, 2019

| Name/ Position / Date of first appointment/ Date of latest appointment | Age (years) | Education / Director's Training Course Program | Total Shareholding ¹ (shares/percentage) | Family Relationship with other Management | Work Experience within 5 years | | |
|---|----------------|---|--|--|---|--|--|
| | | | | | Period | Position | Company / Organization (Type of Business) |
| 1. Mr. Pliu Mangkornkanok Chairman of the Board of Directors Independent Director The Director's date of first appointment: September 4, 2008 The Director's date of latest appointment: April 20, 2017 | 70 | Master of Business Administration (Finance) University of California at Los Angeles, USA Master of Science (Industrial Engineering) Stanford University, USA Bachelor of Engineering (Industrial Engineering) Chulalongkorn University - Executive Program in Energy Literacy for a Sustainable Future (Class 5) Thailand Energy Academy - Certificate of Capital Market Academy Leadership Program (Class of 10th) Capital Market Academy - Audit Committee Program - Role of the Chairman Program - Director Certification Program Thai Institute of Directors <i>In-House Training</i> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC - Situation, Trend and Growth of Sustainability Development Thaipat Institute - Role of Financial Sector in Fighting Corruption Thai Institute of Directors | Common 2,221,010 (0.28) Preferred - | - | 2012-Present 2010-Present 2012-Present 2009-Present 2016-Present 2012-Present 2012-2015 2016-Present 2011-Present 2010-Present 2015-Present 2007-2015 2011-Present 2011-Present 2004-Present 2013-2015 | <i>TISCO Group</i> Independent Director Chairman of the Board of Directors Independent Director Chairman of the Board of Directors <i>Others Company with Conflict of Interest</i> - <i>Other Listed Company</i> Vice Chairman Member of Human Resources Committee Independent Director Chairman of the Audit Committee Chairman of the Nomination and Remuneration Committee <i>Others Non-Listed Company</i> Director Director Director <i>Others Organization</i> Advisor Vice Chairman Vice Chairman Director Councilor Honorary Director | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) Star Petroleum Refining Public Company Limited (Refinery) Amata Spring Development Company Limited (Golf and country club) Chuchawal-Royal Haskoning Limited (Engineering Consultant) Design 103 International Limited (Architect Consultant) Thai Institute of Directors TISCO Foundation Sem Pringpuangkeo Foundation Thailand Management Association International School of Engineering, Faculty of Engineering, Chulalongkorn University |
| 2. Mr. Hon Kit Shing (Alexander H. Shing) Vice Chairman of the Board of Directors Member of the Nomination and Compensation Committee The Director's date of first appointment: September 4, 2008 The Director's date of latest appointment: April 20, 2017 | 41 | Bachelor of Science (Economics) Massachusetts Institute of Technology, USA | Common - Preferred - | - | 2010-Present 2008-Present 2008-Present 2010-2014 2017-Present 2015-Present 2012-Present 2012-Present 2012-Present 2008-Present | <i>TISCO Group</i> Vice Chairman of the Board of Directors Director Member of the Nomination and Compensation Committee Vice Chairman of the Board of Directors <i>Other Company with Conflict of Interest</i> - <i>Others Listed Company</i> Independent Director <i>Others Non-Listed Company</i> Chairman and Chief Executive Officer Chief Executive Officer Chief Executive Officer Managing Director Senior Advisor <i>Others Organization</i> - | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) Shin Kong Textile Public Company Limited (Import and Export Industry) Cottonwood Group (Real Estate) Boston Seaport M1&2 Development Limited Liability Company (Real Estate) 5th Ave Gateway Development Limited Liability Company (Real Estate) Capcelona Advisors, LLC. (Consultant Service) CDIB & Partners Investment Holding Corporation (Investment) |

| Name/ Position / Date of first appointment/ Date of latest appointment | Age (years) | Education / Director's Training Course Program | Total Shareholding ¹ (shares/percentage) | Family Relationship with other Management | Work Experience within 5 years | | |
|--|----------------|--|--|--|--------------------------------|--|---|
| | | | | | Period | Position | Company / Organization (Type of Business) |
| 3. Ms. Oranuch Apisaksirikul Director Chairman of the Executive Board (Authorized Signatory) The Director's date of first appointment: September 4, 2008 The Director's date of latest appointment: April 20, 2017 | 60 | Honorary of Doctor of Philosophy (Business Administration) Western University Master of Business Administration (Finance) Bachelor of Laws Bachelor of Accountancy (Accounting & Commerce) Thammasat University - Intermediate Certificate Course - Good Governance for Medical Executives (Class 5) King Prajadhipok's Institute and The Medical Council of Thailand - Certificate of Thailand Energy Academy (TEA 8) Thailand Energy Academy - Bhumpalung Phandin for Top Executives Program (Class 2) Chulalongkorn University - Thammasat Leadership Program (TLP 2) Thammasat University - Advanced Security Management Program (ASMP 4) Thailand National Defense College Association - Thailand Insurance Leadership Program (Class 2) OIC Advanced Insurance Institute - Top Executive Program in Commerce and Trade (TEPCOT 5) Commerce Academy, University of the Thai Chamber of Commerce - Certificate of Capital Market Academy Leadership Program (Class 12) Capital Market Academy - Director Certification Program - IOD Anti-Corruption Training Program for Corporate and Executives - Advanced Audit Committee Program (AACP 31/2018) Thai Institute of Directors - Correspondent Banking Seminar DB (Asia Pacific) Training Centre Pte Ltd. <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Situation, Trend and Growth of Sustainability Development Thaipat Institute - Role of Financial Sector in Fighting Corruption Thai Institute of Directors - Opportunities in Financial Services as a result of Digital disruption and changing customer behaviours CAPCO - The Capital Markets Company Limited | Common - Preferred - | - | | <u>TISCO Group</u> 2010-Present Chairperson of the Executive Board 2008-Present Director 2010-2016 Group Chief Executive 2013-2014 Acting Head of Business Strategy & Development 2010-Present Chairperson of the Executive Board 2005-Present Director 2014-Present Chairperson of the Board 2014-Present Member of the Audit Committee 2014-Apr2017 Chairperson of the Board Member of the Audit Committee 2011-Apr2017 Director <u>Other Company with Conflict of Interest</u> - <u>Others Listed Company</u> - <u>Others Non-Listed Company</u> - <u>Others Organization</u> 2018-Present Director and Chairperson of the Audit Committee 2018-Present Independent Director 2018-Present Director Audit Committee Corporate Governance and Social Responsibilities Committee 2018-Present Director 2018-Present Director 2018-Present Director 2017-Present Vice Chairperson 2017-Present Director 2017-Present Chairperson 2015-2017 Director 2015-Present Chairman of SHIFT Fund Facility Investment Committee 2011-Present Director | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) TISCO Securities Company Limited (Securities) TISCO Asset Management Company Limited (Asset Management) TISCO Learning Center Company Limited (Learning Center) AmataVN Public Company Limited (Investment) Amata City Bien Hoa, Vietnam (City Development) Stock Exchange of Thailand Center for Building Competitive Enterprises Rachakarn Asset Management Company Limited (Asset Management) Chinese Study Strengthening Committee, Huachiew Chalermprakiet University Federation of Thai Capital Market Organizations (FETCO) Thailand's Private Sector Collective Action against Corruption: CAC Thai Listed Companies Association The United Nations Capital Development Fund (UNCDF) TISCO Foundation |

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| Name/ Position / Date of first appointment/ Date of latest appointment | Age (years) | Education / Director's Training Course Program | Total Shareholding ¹ (shares/percentage) | Family Relationship with other Management | Work Experience within 5 years | | |
|--|----------------|--|--|--|--|---|--|
| | | | | | Period | Position | Company / Organization (Type of Business) |
| | | <u>In-House Training</u> - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC - Situation, Trend and Growth of Sustainability Development Thaipat Institute - Opportunities in Financial Services as a result of Digital disruption and changing customer behaviours CAPCO - The Capital Markets Company Limited | | | 2009-Present 2008-Present 2005-Present 1979-2013 | University Council Director Executive Director Member of TDRI Council of Trustees Professor of Economics Faculty | Krirk University Thailand Development Research Institute (TDRI) Thammasat University |
| 6. Ms. Patareeya Benjapolchai Independent Director Chairperson of the Corporate Governance Committee Member of the Audit Committee The Director's date of first appointment: June 16, 2010 The Director's date of latest appointment: April 20, 2017 | 64 | Master of Business Administration Thammasat University Certificate, Advanced Accounting and Auditing Bachelor of Accountancy (Accounting) Chulalongkorn University - IOD (Singapore) 2017 Flagship Conference "The Sustainability Imperative" Singapore Institute of Directors (SID) - IOD (UK) Annual Convention 2016 "Thriving in a Changing World" The Institute of Directors (UK) - Driving Company Success with IT Governance - Director Certification Program Update - Financial Institutions Governance Program - Certificate of Director Certification Program (DCP 1) Thai Institute of Directors - Certificate of Capital Market Academy Leadership Program Capital Market Academy - The Executive Program University of Michigan, USA <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC - Situation, Trend and Growth of Sustainability Development Thaipat Institute - Role of Financial Sector in Fighting Corruption Thai Institute of Directors - Opportunities in Financial Services as a result of Digital disruption and changing customer behaviours CAPCO - The Capital Markets Company Limited | Common - Preferred - | - | Aug2013-Present 2011-Present 2010-Present 2011-Present 2016-Present 2014-Present 2013-Present 2010-Present Feb2017-Present Feb2017-Present 2010-2017 2015-Present 2013-Present 2013-Present 2010-Present 2011-2014 2013-Present 2010-Present 2010-Present 2007-Present 2002-2017 2011-2017 2011-2016 | <u>TISCO Group</u> Chairperson of the Corporate Governance Committee Member of the Audit Committee Independent Director Independent Director Member of the Audit Committee <u>Other Company with Conflict of Interest</u> - <u>Others Listed Company</u> Independent Director Member of the Audit Committee Chairperson of the Governance and Nomination Committee Independent Director Chairperson of the Audit Committee Member of Corporate Governance Committee Member of Remuneration Committee <u>Others Non-Listed Company</u> Independent Director Chairperson of the Audit Committee Director <u>Others Organization</u> Advisor for Civil Penalty Member of the Acquisition of Securities for Business Take-Overs Subcommittee Directors' Responsibilities Steering Committee Older Fund Administration Committee Member of the SET AWARD Committee and Corporate Governance Subcommittee Corporate Governance and Corporate Social Responsibility Specialist Advisor Director, Award Committee, Board of the Year Award Director of Revolving Fund Evaluation Committee Director Associate Judge of the Central Intellectual Property and International Trade Court Honorary Advisor Director Ethics Committee Chairperson of the Audit Committee | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) - Thaicom Public Company Limited (Telecommunication) MCOT Public Company Limited (Mass Communication) Bangkok Glass Public Company Limited (Packaging Material and Container) Baan Ruam Tang Phun Company Limited (Property Development) The Securities and Exchange Commission Ministry of Social Development and Human Security The Stock Exchange of Thailand Thai Institute of Directors Ministry of Finance Thai Listed Companies Association The Central Intellectual Property and International Trade Court The Institute of Internal Auditors of Thailand Krabi Petra Company Limited (Property Development) Federation of Accounting Professions Under The Royal Patronage of His Majesty The King The Office of the Agricultural Futures Trading Commission |

| Name/ Position / Date of first appointment/ Date of latest appointment | Age (years) | Education / Director's Training Course Program | Total Shareholding ¹ (shares/percentage) | Family Relationship with other Management | Work Experience within 5 years | | |
|---|----------------|--|--|--|---|--|--|
| | | | | | Period | Position | Company / Organization (Type of Business) |
| 7. Ms. Panada Kanokwat Independent Director Member of the Corporate Governance Committee The Director's date of first appointment: September 4, 2008 The Director's date of latest appointment: April 20, 2017 | 69 | Master of Business Administration (Banking & Finance) North Texas State University, USA Bachelor of Business Administration (Accounting) Thammasat University - Board Matters and Trends (BMT 6/2018) - Breakfast Talk 3/2017 "Corporate Disclosures: What are investors looking for beyond financial measures?" - Director Certification Program Update - Risk Management Committee Program - Anti-Corruption for Executive Program - Financial Institutions Governance Program - Director Certification Program - Director Accreditation Program Thai Institute of Directors - Certificate of Capital Market Academy Leadership Program Capital Market Academy - Certificate of Executive Program in International Management Stanford-National University of Singapore - Certificate of Building, Leading & Sustaining Innovation Organization Management Sloan School of Management, Massachusetts Institute of Technology, USA <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC - Situation, Trend and Growth of Sustainability Development Thaipat Institute - Role of Financial Sector in Fighting Corruption Thai Institute of Directors - Opportunities in Financial Services as a result of Digital disruption and changing customer behaviours, CAPCO-The Capital Markets Company Limited | Common - Preferred - | - | Apr2014-Present 2008-Present 2013-2014 2013-Apr2014 2008-Apr2014 Apr2017-Present 2016-Present Apr2017-Present 2014-Present 2014-Present 2015-May2017 2014-Present 2010-2014 | <u>TISCO Group</u> Member of the Corporate Governance Committee Independent Director Member of the Audit Committee Member of the Audit Committee Independent Director <u>Others Company with Conflict of Interest</u> - <u>Others Listed Company</u> Member of the Corporate Governance Committee Independent Director Member of the Audit Committee Member of the Corporate Governance Committee Independent Director Member of the Audit Committee <u>Others Non-Listed Company</u> Chairperson of Audit Committee Independent Director <u>Others Organization</u> Member of Risk Management Subcommittee Committee, The Bachelor's Degree in Business Administration (International Program) | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) PTT Exploration and Production Public Company (Oil and gas exploration and production) Global Power Synergy Public Company Limited (Generate and Supply Electricity, Steam, and Processed Water) Asia Biomass Company Limited (Biomass Fuel) Government Pension Fund Faculty of Commerce and Accountancy, Thammasat University |
| | | | | | May2017-Present May2017-Present 2017-Present Apr2014-Apr2017 Apr2013-Apr2017 Apr2014-Apr2017 2012-Present 2018-Present 2018-Present 2018-Present 2016-Present | <u>TISCO Group</u> Director Member of the Corporate Governance Committee Advisor to the Economic Strategy Unit (TISCO ESU) Member of the Audit Committee Independent Director Independent Director Member of the Audit Committee <u>Other Company with Conflict of Interest</u> - <u>Others Listed Company</u> Independent Director and Member of Audit Committee - <u>Others Non-Listed Company</u> - <u>Others Organization</u> Chairman of Performance Evaluation Committee Honorary Director of Smart City Committee Member of Academic Rank Committee Member of Academic Rank Committee | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) Banpu Public Company Limited (Energy & Utilities) Thai Health Promotion Foundation Office of the Prime Minister Thammasat University Ramkhamhaeng University |
| 8. Prof. Dr. Teerana Bhongmakapat Director Member of the Corporate Governance Committee The Director's date of first appointment: May 8, 2013 The Director's date of latest appointment: April 20, 2017 | 61 | Doctor of Philosophy (Economics) University of Wisconsin-Madison, USA Master of Art (Economics) Thammasat University Bachelor of Economics (Qualitative Analysis) Chulalongkorn University - Boards that Make a Difference (BMD 8/2018) - Audit Committee Forum 2017 "The Audit Committee's Role in Compliance and Ethical Culture Oversight" - Driving Company Success with IT Governance - Director Certification Program Update - Financial Institutions Governance Program - Director Certification Program Thai Institute of Directors - Workshop on "Board Oversight of Cyber Risk Management" Securities and Exchange Commission, Thailand - Audit Committee Forum 2016 "New Auditor's Report: What's in it for you?" Federation of Accounting Professions Under The Royal Patronage of His Majesty The King in association with Thai | Common - Preferred - | - | May2017-Present May2017-Present 2017-Present Apr2014-Apr2017 Apr2013-Apr2017 Apr2014-Apr2017 2012-Present 2018-Present 2018-Present 2018-Present 2016-Present | <u>TISCO Group</u> Director Member of the Corporate Governance Committee Advisor to the Economic Strategy Unit (TISCO ESU) Member of the Audit Committee Independent Director Independent Director Member of the Audit Committee <u>Other Company with Conflict of Interest</u> - <u>Others Listed Company</u> Independent Director and Member of Audit Committee - <u>Others Non-Listed Company</u> - <u>Others Organization</u> Chairman of Performance Evaluation Committee Honorary Director of Smart City Committee Member of Academic Rank Committee Member of Academic Rank Committee | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) Banpu Public Company Limited (Energy & Utilities) Thai Health Promotion Foundation Office of the Prime Minister Thammasat University Ramkhamhaeng University |
| | | | | | May2017-Present May2017-Present 2017-Present Apr2014-Apr2017 Apr2013-Apr2017 Apr2014-Apr2017 2012-Present 2018-Present 2018-Present 2018-Present 2016-Present | <u>TISCO Group</u> Director Member of the Corporate Governance Committee Advisor to the Economic Strategy Unit (TISCO ESU) Member of the Audit Committee Independent Director Independent Director Member of the Audit Committee <u>Other Company with Conflict of Interest</u> - <u>Others Listed Company</u> Independent Director and Member of Audit Committee - <u>Others Non-Listed Company</u> - <u>Others Organization</u> Chairman of Performance Evaluation Committee Honorary Director of Smart City Committee Member of Academic Rank Committee Member of Academic Rank Committee | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) Banpu Public Company Limited (Energy & Utilities) Thai Health Promotion Foundation Office of the Prime Minister Thammasat University Ramkhamhaeng University |

| Name/ Position / Date of first appointment/ Date of latest appointment | Age (years) | Education / Director's Training Course Program | Total Shareholding ¹ (shares/percentage) | Family Relationship with other Management | Work Experience within 5 years | | |
|---|----------------|--|--|--|--|---|--|
| | | | | | Period | Position | Company / Organization (Type of Business) |
| | | Institute of Directors - Certificate of Executive Leadership Program Capital Market Academy <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC - Role of Financial Sector in Fighting Corruption Thai Institute of Directors - Opportunities in Financial Services as a result of Digital disruption and changing customer behaviours CAPCO - The Capital Markets Company Limited | | | 2016-Present 2016-Present 2014-2016 2012-2016 2009-2016 2013-Present 2011-Present 2014-2017 2012-2015 2011-2015 2010-2015 2011-2014 | Member of Academic Rank Committee Chairman of the Research Centre Committee Journal editors Subcommittee on Law and Economics Subcommittee on Research Affairs Director of Contemplative Education Center Professor of Economics (C11) President Subcommittee on Determination of License Fees and Price Structure in Broadcasting Services Chairman and Financial Institutions and Trader Supervision in accordance with Anti-Money Laundering Act Eminent Member, Anti-Money Laundering Committee (AMLO) Advisor to Subcommittee on Monetary Policy, Finance and Budget Administration | Buriram Rajabhat University Office of National Anti-Corruption Commission Mahidol University Chulalongkorn University Community Prevention and Wellness Initiative Foundation Office of the National Broadcasting and Telecommunications Commission (NBTC) Anti-Money Laundering Office (AMLO) The Senate of Thailand |
| 9. Mr. Sathit Aungmanee Independent Director Member of the Audit Committee Member of the Nomination and Compensation Committee The Director's date of first appointment: April 30, 2014 The Director's date of latest appointment: April 20, 2017 | 72 | Master of Business Administration (Finance) Fairleigh Dickinson University, USA Bachelor of Business Administration (Accounting) Thammasat University - Director Forum 2017 "The Board's Role in CEO Succession Planning" Thai Institute of Directors <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Situation, Trend and Growth of Sustainability Development Thaipat Institute - Role of Financial Sector in Fighting Corruption Thai Institute of Directors - Opportunities in Financial Services as a result of Digital disruption and changing customer behaviours CAPCO - The Capital Markets Company Limited | Common 100,035 Preferred (0.01) - | - | May2017-Present 2016-Present Jun2015-Present 2014-Apr2017 2014-2016 Aug2013-2014 May2017-Present 2016-Present 2014-2016 2014-2016 | <u>TISCO Group</u> Member of the Audit Committee Independent Director Member of the Nomination and Compensation Committee Member of the Corporate Governance Committee Director Advisor to the Corporate Governance Committee Member of the Audit Committee Independent Director Director Director and Member of the Audit Committee <u>Other Company with Conflict of Interest</u> - <u>Others Listed Company</u> - <u>Others Non-Listed Company</u> - <u>Others Organization</u> - | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) TISCO Securities Company Limited (Securities) |
| 10. Mr. Chi-Hao Sun Director Member of the Executive Board (Authorized Signatory) The Director's date of first appointment: June 29, 2011 The Director's date of latest appointment: April 20, 2017 | 41 | Master of Science (Finance) Bentley University, USA Master of Business Administration Boston University, USA Bachelor of Arts (Economics) Taipei University, Taiwan | Common - Preferred - | - | 2012-Present 2011-Present Apr2014-Present 2012-Present 2013-Present | <u>TISCO Group</u> Member of the Executive Board Director Director (Authorized Signatory) Member of the Executive Board <u>Other Company with Conflict of Interest</u> - <u>Others Listed Company</u> - <u>Others Non-Listed Company</u> - <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) CDIB & Partners Investment Holding Corporation (Investment) |

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| Name/ Position / Date of first appointment/ Date of latest appointment | Age (years) | Education / Director's Training Course Program | Total Shareholding ¹ (shares/percentage) | Family Relationship with other Management | Work Experience within 5 years | | |
|---|----------------|--|--|--|---|--|---|
| | | | | | Period | Position | Company / Organization (Type of Business) |
| | | <ul style="list-style-type: none"> - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC - Situation, Trend and Growth of Sustainability Development Thaipat Institute - Role of Financial Sector in Fighting Corruption, Thai Institute of Directors - Opportunities in Financial Services as a result of Digital disruption and changing customer behaviours, CAPCO-The Capital Markets Company Limited | | | | | |
| 14. Mr. Pitada Vatcharasiritham Senior Executive Vice President | 54 | Master of Business Administration Indiana University of Pennsylvania, USA Bachelor of Economics Thammasat University - Certificate of Executive Leadership Program Capital Market Academy - Corporate Governance for Capital Market Intermediaries Thai Institute of Directors | Common - Preferred - | - | Jan2013-Present 2010-Present 2011-Present | <u>TISCO Group</u> Senior Executive Vice President Senior Executive Vice President - Corporate Banking Director <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) TISCO Securities Company Limited (Securities) |
| 15. Mr. Chalit Silpsrikul Senior Executive Vice President | 57 | Master of Business Administration Bachelor of Accountancy (Accounting) Thammasat University - Financial Executive Development Program (FINEX 14) The Thai Institute of Banking and Finance Association - Management Skill Development Course Crestcom Bullet Proof Manager - Directors Certification Program Thai Institute of Directors <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC | Common 79 (0.00) Preferred - | - | Feb2017-Present Jul2013-Jan2017 Feb2017-Present Jul2013-Jan2017 Mar2017-Present | <u>TISCO Group</u> Senior Executive Vice President First Executive Vice President Senior Executive Vice President - Retail Banking First Executive Vice President - Wealth Management Chairman of the Board <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) TISCO Tokyo Leasing Company Limited (Leasing) |
| 16. Mr. Metha Pingsuthiwong Senior Executive Vice President | 51 | Master of Business Administration (Finance) University of Wisconsin-Milwaukee, USA Bachelor of Engineering (Electrical Engineering) Chulalongkorn University - Directors Certification Program Thai Institute of Directors <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC | Common 195,800 (0.02) Preferred - | - | Feb2017-Present Jan2010-Jan2017 Feb2017-Present Jul2013-Jan2017 2017-Present 2017-Present Apr2017-Present 1999-Present | <u>TISCO Group</u> Senior Executive Vice President - Wealth management & Banking Services First Executive Vice President Senior Executive Vice President - Wealth management & Banking Services First Executive Vice President - Retail Banking Director Director Director <u>Others</u> Director | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) TISCO Securities Company Limited (Securities) TISCO Asset Management Company Limited (Asset Management) Deutsche TISCO Investment Advisory Company Limited (Investment Advisory) K-Line (Thailand) Company Limited (Transportation) |

| Name/ Position / Date of first appointment/ Date of latest appointment | Age (years) | Education / Director's Training Course Program | Total Shareholding ¹ (shares/percentage) | Family Relationship with other Management | Work Experience within 5 years | | |
|---|----------------|--|--|--|--|---|---|
| | | | | | Period | Position | Company / Organization (Type of Business) |
| 17. Mr. Paiboon Nalinthrangkurn First Executive Vice President | 52 | Master of Business Administration (Finance) Indiana University at Bloomington, USA Bachelor of Arts (Computer Science) University of California at Santa Cruz, USA Chartered Financial Analyst CFA Institute, USA - Certificate of Advanced Security Management Program (ASMP 5) The Association of National Defence College of Thailand Under the Royal Patronage of His Majesty the King - Certificate of Thailand Energy Academy (TEA 3) Thailand Energy Academy - Certificate of Top Executive Program in Commerce and Trade (TEPCOT5) Commerce Academy, University of the Thai Chamber of Commerce - Certificate of Capital Market Academy Leadership Program Capital Market Academy - Corporate Governance for Capital Market Intermediaries (CGI15/2016) - Directors Certification Program - Directors Accreditation Program - Audit Committee Program Thai Institute of Directors <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited | Common 286,000 (0.04) Preferred - | - | | <u>TISCO Group</u> First Executive Vice President | TISCO Financial Group Public Company Limited (Holding Company) |
| | | | | | 2010-Present 2006-Present 2005-Present 2011-Present 2018-Present 2010-2014 2017-Present 2009-Present 2014-2015 2010-2014 2008-2014 | Chief Executive Officer Director Chairman of the Board of Directors and Chief Executive Officer <u>Others</u> Chairman Chairman Chairman of the Board of Directors Chairman Member of the National Reform Council Director Director | TISCO Securities Company Limited (Securities) Deutsche TISCO Investment Advisory Company Limited (Investment Advisory) Federation of Thai Capital Market Organizations Asian Securities and Investment Federation Investment Analysts Association The National Reform Council Joint Public and Private Sector Consultative Committee (JPCC) Capital Market Development Committee |
| 18. Mr. Chatri Chandrangam First Executive Vice President Risk and Financial Control | 48 | Master of Finance (Distinction) Imperial College, London, UK Master of Business Administration (International Business) Schiller International University, UK Bachelor of Business Administration (Banking & Finance) Chulalongkorn University Certified Financial Analyst CFA Institute, USA - Corporate Governance for Capital Market Intermediaries (CGI15/2016) - Directors Certification Program Thai Institute of Directors <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited | Common 30,000 (0.00) Preferred - | - | | <u>TISCO Group</u> First Executive Vice President - Risk and Financial Control Executive Vice President - Risk and Financial Control Acting Head of Investment Director | TISCO Financial Group Public Company Limited (Holding Company) |
| | | | | | 2010-2017 2012-Present 2015-Present 2011-Present 2011-Present 2011-Present 2009-Present 2009-Present 2009-Present 2007-Present 2011-2017 | Director Director Director Director Director Director and Member of Audit Committee Director and Member of Audit Committee Director | HTC Leasing Company Limited (Leasing) TISCO Information Technology Company Limited (Information Technology) Deutsche TISCO Investment Advisory Company Limited (Investment Advisory) TISCO Learning Center Company Limited (Learning Center) Hi-Way Company Limited (Hire Purchase) TISCO Tokyo Leasing Company Limited (Leasing) TISCO Securities Company Limited (Securities) TISCO Asset Management Company Limited (Asset Management) TISCO Insurance Solution Company Limited (Insurance) |
| | | | | | | <u>Others</u> - | |

| Name/ Position / Date of first appointment/ Date of latest appointment | Age (years) | Education / Director's Training Course Program | Total Shareholding ¹ (shares/percentage) | Family Relationship with other Management | Work Experience within 5 years | | |
|---|----------------|---|--|--|--------------------------------|--|---|
| | | | | | Period | Position | Company / Organization (Type of Business) |
| 19. Mr. Rungroj Jarasvijitkul Executive Vice President | 52 | Master of Business Administration Bachelor of Business Administration (Finance) Chulalongkorn University <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC | Common - Preferred - | - | Mar2017-Present | <u>TISCO Group</u> Executive Vice President | TISCO Financial Group Public Company Limited (Holding Company) |
| | | | | | Feb2017-Present | Executive Vice President Retail Banking - Sales & Marketing 1 | TISCO Bank Public Company Limited |
| | | | | | 2015-Jan2017 | Assistant Executive Vice President - Retail Banking - Product & Marketing | (Commercial Bank) |
| | | | | | 2009-2015 | Deputy Head of Retail Banking - Bangkok Channel Head of Bangkok Channel | |
| 20. Mr. Yutpong Sriwongjanya Executive Vice President | 52 | Master of Business Administration The University of Mississippi, USA Bachelor of Science (Materials Science) Chulalongkorn University - Financial Executive Development Program (FINEX) The Thai Institute of Banking and Finance Association <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC | Common - Preferred - | - | Sep2018-Present | Director <u>Others</u> - | TISCO Insurance Solution Company Limited (Insurance) |
| | | | | | Feb2017-Present | <u>TISCO Group</u> Executive Vice President | TISCO Financial Group Public Company Limited (Holding Company) |
| | | | | | Feb2017-Present | Executive Vice President Retail Banking - Sales & Marketing 2 | TISCO Bank Public Company Limited |
| | | | | | 2013-Jan2017 | Assistant Executive Vice President - Retail Banking - Branch Channel | (Commercial Bank) |
| 21. Mr. Dejphinu Suthadsanasoung Executive Vice President | 47 | Master of Business Administration Eastern Michigan University, USA Bachelor of Business Administration (Finance) Assumption University <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC | Common - Preferred - | - | Jul2013-2015 | Assistant Executive Vice President - Branch Channel Sales | All-Ways Company Limited (Services) |
| | | | | | 2016-Present | Director | Hi-Way Company Limited (Hire Purchase) |
| | | | | | 2013-Present | Director <u>Others</u> - | |
| | | | | | Feb2017-Present | <u>TISCO Group</u> Executive Vice President | TISCO Financial Group Public Company Limited (Holding Company) |
| 22. Mr. Picha Ratanatam Executive Vice President | 47 | Master of Business Administration (Finance) San Diego State University Bachelor of Business Administration (Applied Statistics) Chulalongkorn University - Financial Executive Development (FINEX) The Thai Institute of Banking and Finance Association <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC | Common - Preferred - | - | Feb2017-Present | Executive Vice President | TISCO Bank Public Company Limited (Commercial Bank) |
| | | | | | Feb2017-Present | Executive Vice President Retail Banking - Operations | All-Ways Company Limited (Services) |
| | | | | | 2010-Jan2016 | Assistant Executive Vice President - Retail Banking Operations | Hi-Way Company Limited (Hire Purchase) |
| | | | | | 2016-Present | Director | |
| 22. Mr. Picha Ratanatam Executive Vice President | 47 | Master of Business Administration (Finance) San Diego State University Bachelor of Business Administration (Applied Statistics) Chulalongkorn University - Financial Executive Development (FINEX) The Thai Institute of Banking and Finance Association <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC | Common - Preferred - | - | 2008-Present | Director <u>Others</u> - | |
| | | | | | Feb2017-Present | <u>TISCO Group</u> Executive Vice President | TISCO Financial Group Public Company Limited (Holding Company) |
| | | | | | Feb2017-Present | Executive Vice President - Wealth Management | TISCO Bank Public Company Limited |
| | | | | | 2013-Jan2016 | Assistant Executive Vice President - Wealth Management | (Commercial Bank) |
| 22. Mr. Picha Ratanatam Executive Vice President | 47 | Master of Business Administration (Finance) San Diego State University Bachelor of Business Administration (Applied Statistics) Chulalongkorn University - Financial Executive Development (FINEX) The Thai Institute of Banking and Finance Association <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC | Common - Preferred - | - | 2010-Present | Head of Wealth Management <u>Others</u> - | |

| Name/ Position / Date of first appointment/ Date of latest appointment | Age (years) | Education / Director's Training Course Program | Total Shareholding ¹ (shares/percentage) | Family Relationship with other Management | Work Experience within 5 years | | |
|---|----------------|---|--|--|--------------------------------|--|---|
| | | | | | Period | Position | Company / Organization (Type of Business) |
| 23. Mr. Pairat Srivilairit Corporate Secretary Executive Vice President Head of Governance Office | 53 | Master of Business Administration Thammasat University Bachelor of Engineering Chulalongkorn University Certified Internal Auditor (CIA) Certificate in Control Self-Assessment (CCSA) Certified Financial Service Auditor (CFSA) The Institute of Internal Auditors (IIA), USA Certified Fraud Examiner (CFE) Association of Certified Fraud Examiners (ACFE), USA - Advances for Corporate Secretaries - Corporate Governance for Capital Market Intermediaries (CGI15/2016) - Company Secretary Program - Company Reporting Program - Board Reporting Program - Effective Minutes Taking - Audit Committee Program - Monitoring the Internal Audit Function - Monitoring Fraud Risk Management Thai Institute of Directors - GRI Certified Training on G4 reporting Guidelines Thaipat Institute - Anti-Corruption Strategic Management for Senior Executives Office of the National Anti-Corruption Commission <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC | Common - Preferred - | - | Feb2017-Present | <u>TISCO Group</u> Executive Vice President - Governance Office | TISCO Financial Group Public Company Limited (Holding Company) TISCO Information Technology Company Limited (Information Technology) Hi-Way Company Limited (Hire Purchase) TISCO Insurance Solution Company Limited (Insurance) <u>Others</u> Member of Internal Audit Quality Assurance Committee Member of Internal Audit Development Committee Member of Risk Management Committee |
| | | | | | Sep2013-Present | Corporate Secretary | |
| | | | | | 2013-2016 | Head of Governance Office | |
| | | | | | Sep2013-Present | Director | |
| | | | | | Sep2013-Present | Director | |
| | | | | | Sep2013-2018 | Director | |
| | | | | | 2011-Present | Member of Internal Audit Quality Assurance Committee | |
| | | | | | 2010-Present | Member of Internal Audit Development Committee | |
| | | | | | 2010-Present | Member of Risk Management Committee | |
| | | | | | | | |
| 24. Ms. Pavinee Ongvasith Executive Vice President | 50 | Master of Finance/Management/Economics University of Oregon Bachelor of Engineering Chulalongkorn University <u>In-House Training</u> - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC | Common - Preferred - | - | 2017-Present | <u>TISCO Group</u> Executive Vice President | TISCO Financial Group Public Company Limited (Holding Company) TISCO Asset Management Company Limited (Asset Management) Fitch Ratings Limited (Provider of credit ratings) |
| | | | | | 2018-Present | Chief Executive | |
| | | | | | 2016-Present | Director | |
| | | | | | 2011-2017 | Head of Asset Management – Investment | |
| | | | | | 2018-Present | <u>Others</u> Director | |
| 25. Mr. Theeranat Rujimethapas Assistant Executive Vice President | 51 | Master of Business Administration Sasin Graduate Institute of Business Administration of Chulalongkorn University Bachelor of Business Administration (General Marketing) Chulalongkorn University - Capital Market Academy Program (CMA 21) Capital Market Academy - Financial Executive Development Program (FINEX 23) The Thai Institute of Banking and Finance Association - Certified Financial Planner (CFP) Thai Financial Planners Association <u>In-House Training</u> - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Understanding Cryptocurrency, Blockchain, and Digital Asset and the opportunity in Thailand | Common 8,000 (0.00) Preferred - | - | Present | <u>TISCO Group</u> Assistant Executive Vice President | TISCO Financial Group Public Company Limited (Holding Company) TISCO Asset Management Company Limited (Asset Management) Association of Investment Management Companies |
| | | | | | 2018-Present | Chief Operating Officer | |
| | | | | | 2009-Present | President Director | |
| | | | | | 2018-Present | <u>Others</u> Director | |
| | | | | | | | |

| Name/ Position / Date of first appointment/ Date of latest appointment | Age (years) | Education / Director's Training Course Program | Total Shareholding ¹ (shares/percentage) | Family Relationship with other Management | Work Experience within 5 years | | |
|--|----------------|--|--|--|--|---|--|
| | | | | | Period | Position | Company / Organization (Type of Business) |
| | | KQ Consulting Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC | | | | | |
| 26. Rachada Pruksanubal Assistant Executive Vice President | 48 | Master of Business Administration (Finance) Thammasat University Master of Engineering (Computer Engineering) Tokyo Institute of Technology Bachelor of Engineering (Computer Engineering) Chulalongkorn University | Common 79,000 (0.01) Preferred - | - | 2018-Present 2004-2018 | <u>TISCO Group</u> Head of Banking Services Acting Head of Treasury Acting Head of Fund Operation Deputy Head of Wealth Management/Head of Treasury <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) TISCO Bank Public Company Limited (Commercial Bank) |
| 27. Ms. Wanthana Chotchaisathit Assistant Executive Vice President Information Technology | 53 | Master of Business Administration Bachelor of Science (Statistics) Kasetsart University | Common - Preferred - | - | 2018-Present 2018-Present 2014-2018 | <u>TISCO Group</u> Assistant Executive Vice President - Information Technology Managing Director Managing Director <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) TISCO Information Technology Company Limited (Information Technology) |
| 28. Mr. Nipon Wongchotiwat Head of Enterprise Risk Management | 41 | Master of Science (Finance) Thammasat University Bachelor of Commerce (Finance & Economics) University of Wollongong, Australia | Common - Preferred - | - | Feb2013-Present Present | <u>TISCO Group</u> Head of Enterprise Risk Management Director <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) All-Ways Company Limited (Services) |
| 29. Mr. Puvarin Kullaphatkanon Head Of Risk & Business Analytics | 38 | Master of Arts in Economics New York University, USA Bachelor of Economics Chulalongkorn University Financial Risk Manager (FRM) Global Association of Risk Professionals, USA | Common - Preferred - | - | May2014-Present 2010-2014 | <u>TISCO Group</u> Head Of Risk & Business Analytics Head of Risk Research <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) |
| 30. Ms. Chutintorn Vigasi Head of Corporate Accounting | 55 | Master of Business Administration (Finance) Thammasat University Bachelor of Accountancy (Accounting) Chulalongkorn University | Common 16,356 (0.00) Preferred - | - | 2009-Present | <u>TISCO Group</u> Head of Corporate Accounting <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) |
| 31. Mr. Kontee Sunthornpradit Head of Planning and Budgeting | 42 | Master of Management (Operation Research) Case Western Reserve University, USA Master of International Economics and Finance Bachelor of Economics Chulalongkorn University | Common 13,200 (0.00) Preferred - | - | Feb2013-Present Present Present Present | <u>TISCO Group</u> Head of Planning and Budgeting Director Director Director <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) TISCO Insurance Solution Company Limited (Insurance) TISCO Tokyo Leasing Company Limited (Leasing) HTC Leasing Company Limited (Leasing) |
| 32. Ms. Surang Techarungnirun Head of Credit Control | 42 | Master of Science (Finance) Chulalongkorn University Bachelor of Business Administration (Finance) Thammasat University | Common - Preferred - | - | Jan2019-Present Jul2013-Present | <u>TISCO Group</u> Head of Credit Control Head of Office of the Credit Committee <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) |

| Name/ Position / Date of first appointment/ Date of latest appointment | Age (years) | Education / Director's Training Course Program | Total Shareholding ¹ (shares/percentage) | Family Relationship with other Management | Work Experience within 5 years | | |
|---|----------------|--|--|--|--|---|--|
| | | | | | Period | Position | Company / Organization (Type of Business) |
| 33. Mr. Pichit Treethephasumphon Head of Appraisal & Valuation | 45 | Master of Housing Development (Real Estate and Housing Development Program) Chulalongkorn University Graduate Diploma Program in Property Valuation Certificate in Real Estate Developer (Mini MRE@tbs) Thammasat University | Common - Preferred - | - | Jul2013-Present | <u>TISCO Group</u> Head of Appraisal & Valuation <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) |
| 34. Ms. Dulyarat Taveebhol Head of Corporate Compliance | 61 | Bachelor of Accountancy (Accounting) Thammasat University - Corporate Governance for Capital Market Intermediaries (CGI15/2016) Thai Institute of Directors | Common - Preferred - | - | Jul2013-Present Sep2013-Present 2009-Present 2009-Present | <u>TISCO Group</u> Head of Corporate Compliance Director Director and Member of the Audit Committee Director and Member of the Audit Committee <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) Deutsche TISCO Investment Advisory Company (Investment Advisory) TISCO Securities Company Limited (Securities) TISCO Asset Management Company Limited (Asset Management) |
| 35. Ms. Maneerat Wattanajak Head of Compliance - Banking Business | 49 | Master of Financial Accounting Chulalongkorn University Bachelor of Accountancy Thammasat University | Common - Preferred - | - | 2017-Present 2010-2017 | <u>TISCO Group</u> Head of Compliance - Banking Business Head of Compliance - Asset Management Business <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) |
| 36. Mrs. Yaninee Papnum Head of Compliance - Asset Management Business | 49 | Bachelor of Accountancy (Second Class Honors) University of the Thai Chamber of Commerce | Common - Preferred - | - | Mar2017-Present 2014-Feb2017 2010-2014 | <u>TISCO Group</u> Head of Compliance - Asset Management Business Senior Compliance Officer - Asset Management Business Compliance Officer - Asset Management Business <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) |
| 37. Ms. Sakornrat Manuwong Head of Compliance - Securities Business | 48 | Bachelor of Accountancy (Accounting) Thammasat University Master Business Administration Thammasat University - Corporate Governance for Capital Market Intermediaries (CGI15/2016) Thai Institute of Directors | Common - Preferred - | - | 2017-Present 2010-2016 | <u>TISCO Group</u> Head of Compliance - Securities Business Head of Compliance - Banking Business <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) |
| 38. Ms. Chuenchit Trakarnratti Head of Operation Risk Management | 47 | Master of Science (Computer Information System) Assumption University Bachelor of Accountancy Chulalongkorn University | Common - Preferred - | - | Aug2014-Present 2008-2014 | <u>TISCO Group</u> Head of Operational Risk Management <u>Others</u> First Vice President | TISCO Financial Group Public Company Limited (Holding Company) Industrial and Commercial Bank of China (Thai) Public Company Limited (Commercial Bank) |
| 39. Ms. Nudtinee Suwanpanitch Head of Legal Office | 45 | Master of Business Administration Ramkhamhaeng University Bachelor of Laws Thammasat University | Common - Preferred - | - | 2010-Present | <u>TISCO Group</u> Head of Legal Office <u>Others</u> - | TISCO Financial Group Public Company Limited (Holding Company) |

| Name/ Position / Date of first appointment/ Date of latest appointment | Age (years) | Education / Director's Training Course Program | Total Shareholding ¹ (shares/percentage) | Family Relationship with other Management | Work Experience within 5 years | | |
|--|----------------|--|--|--|--|--|---|
| | | | | | Period | Position | Company / Organization (Type of Business) |
| 40. Ms. Jiraporn Sawsukpaiboon Head of Internal Audit | 48 | Master of Business Administration Thammasat University Bachelor of Science (Accounting) Kasetsart University - Certified Internal Auditor (CIA) The Institute of Internal Auditors (IIA), USA - Certified Information System Auditor (CISA) ISACA, USA - Company Secretary Program - Board Reporting Program - Effective Minutes Taking (EMT) - Anti-Corruption: The Practical Guide Thai Institute of Directors | Common 16,544 (0.00) Preferred - | - | Feb2013-Present | <i>TISCO Group</i> Head of Internal Audit <i>Others</i> - | TISCO Financial Group Public Company Limited (Holding Company) |
| 41. Ms. Thitima Chotethanaprasith Acting Head of Credit Review Assistant Head of Internal Audit | 49 | Master of Science (Business Economic) National Institute of Development Administration Bachelor of Accountancy Thammasat University | Common - Preferred - | - | Jul2018-Present Feb2013-Present | <i>TISCO Group</i> Acting Head of Credit Review/Assistant Head of Internal Audit Assistant Head of Internal Audit <i>Others</i> - | TISCO Financial Group Public Company Limited (Holding Company) |
| 42. Mr. Ekarat Pongkitivanitchkul Head of IT Risk Management | 43 | Master of Science Programme in Information Technology King Mongkut's University of Technology Thonburi (KMUTT) | Common - Preferred - | - | Jul2018-Present Mar2017-Jul2018 Apr2015-Mar2017 Feb2013-Apr2015 | <i>TISCO Group</i> Head of IT Risk Management Head of Data Governance Data Management Manager Assistant Head of Internal Audit Head of IT Audit <i>Others</i> - | TISCO Financial Group Public Company Limited (Holding Company) |
| 43. Ms. Nartrudee Siwabut Head of Corporate Communication | 58 | Master of Business Administration (Finance) Thammasat University Bachelor of Arts (English) Chulalongkorn University | Common 120,000 (0.01) Preferred - | - | 2009-Present | <i>TISCO Group</i> Head of Corporate Communication <i>Others</i> - | TISCO Financial Group Public Company Limited (Holding Company) |
| 44. Mr. Komsorn Prakobphol Head of Economic Strategy Unit | 39 | Master of Business Administration Sasin Graduate Institute of Business Administration of Chulalongkorn University Bachelor of Engineering Sirindhorn International Institute of Technology, Thammasat University | Common - Preferred - | - | 2018-Present 2014-2017 | <i>TISCO Group</i> Head of Economic Strategy Unit Head of Economic Strategy Unit <i>Others</i> - | TISCO Financial Group Public Company Limited (Holding Company) |
| 45. Mr. Somchat Lapapong Head of Corporate Services | 56 | Bachelor of Business Administration (Marketing) Rajamangala University of Technology | Common - Preferred - | - | 2010-Present | <i>TISCO Group</i> Head of Corporate Services <i>Others</i> - | TISCO Financial Group Public Company Limited (Holding Company) |
| 46. Ms. Aree Archamongkol Head of Corporate Sourcing & Vendor Management | 49 | Master of Science, Management Information System, Chulalongkorn University Bachelor of Science, Mathematics (Second class honors) Prince of Songkla University | Common - Preferred - | - | 2018-Present 2014-2018 2013-2014 | <i>TISCO Group</i> Head of Corporate Sourcing & Vendor Management Head of IT Sourcing & Vendor Management Assistant Head of IT Business Alignment <i>Others</i> - | TISCO Financial Group Public Company Limited (Holding Company) TISCO Information Technology Company Limited (Information Technology) |
| 47. Mr. Watsakorn Thepthim Head of Human Resources | 46 | Master of Information System University of Manchester, UK Master of Business Administration (Management) Bachelor of Business Administration (Finance) Assumption University | Common - Preferred - | - | 2012-Present 2011-Present 2011-Present | <i>TISCO Group</i> Head of Human Resources Director of TISCO Learning Center Director <i>Others</i> - | TISCO Financial Group Public Company Limited (Holding Company) TISCO Learning Center Company Limited (Learning Center) |

| Name/ Position / Date of first appointment/ Date of latest appointment | Age (years) | Education / Director's Training Course Program | Total Shareholding ^{/1} (shares/percentage) | Family Relationship with other Management | Work Experience within 5 years | | |
|---|----------------|---|---|--|---|---|--|
| | | | | | Period | Position | Company / Organization (Type of Business) |
| 48. Mr. Kittipong Tiyafoonchai Head of Human Resources Management | 46 | Master of Information Systems University of Memphis, USA Master of Business Administration Bachelor of Business Administration (Banking & Finance) Chulalongkorn University | Common 8,823 (0.00) Preferred - | - | Oct2013-Present | <i>TISCO Group</i> Head of Human Resources Management <i>Others</i> - | TISCO Financial Group Public Company Limited (Holding Company) |
| 49. Ms.Chutiporn Luangrungsawang Head of Human Resources Services | 34 | Master of Arts (Industrial and Organizational Psychology) Chulalongkorn University Bachelor of Arts Chulalongkorn University | Common - Preferred - | - | Feb2017-Present Mar2016-Jan2017 Mar2015-Feb2016 Aug2014-Mar2015 2007-2014 | <i>TISCO Group</i> Head of Human Resources Services Head of Human Resources Operations Senior Human Resources Services Officer Human Resources Services Officer <i>Others</i> HR Supervisor | TISCO Financial Group Public Company Limited (Holding Company) AJINOMOTO Company (Thailand) Limited (Food Industries) |

Remark: ^{/1} Including spouse and minor children

1.2 Corporate Secretary

The Corporate Secretary is responsible for preparing and maintaining director profile, notice and minutes of the board meeting, annual report, notice and minutes of shareholders meeting, maintaining directors and management's report on conflict of interest, and performing other duties as required by the Capital Market Supervisory Board.

1.3 Changes in TISCO Shareholdings by Directors and Management (as of January 1, 2019)

| No. | Name | Common Shares | | | Preferred Shares | | | Total Shareholding (Percentage) |
|-----|--|-----------------------|-----------------------|-------------------------------------|-----------------------|-----------------------|-------------------------------------|---------------------------------|
| | | As of January 1, 2018 | As of January 1, 2019 | Increase (Decrease) During the Year | As of January 1, 2018 | As of January 1, 2019 | Increase (Decrease) During the Year | |
| 1 | Mr. Pliu Mangkornkanok | 2,221,010 | 2,221,010 | - | - | - | - | 0.28 |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 2 | Mr. Hon Kit Ching (Alexander H. Ching) | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 3 | Ms. Oranuch Apisaksirikul | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 4 | Assoc. Prof. Dr. Angkarat Priebjriwat | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 5 | Prof. Dr. Pranee Tinakorn | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 6 | Ms. Patareeya Benjapolchai | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 7 | Ms. Panada Kanokwat | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 8 | Prof. Dr. Teerana Bhongmakapat | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 9 | Mr. Sathit Aungmanee | 100,035 | 150,035 | 50,000 | - | - | - | 0.02 |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 10 | Mr. Chi-Hao Sun (Mr. Howard Sun) | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 11 | Mr. Suthas Ruangmanamongkol | 3,644,000 | 3,700,000 | 56,000 | - | - | - | 0.46 |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 12 | Ms. Krisna Theravuthi | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 13 | Mr. Sakchai Peechapat | 66,177 | 66,177 | - | - | - | - | 0.01 |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 14 | Mr. Pitada Vatcharasiritham | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 15 | Mr. Chalit Silpsirikul | - | - | - | - | - | - | - |
| | Including spouse and minor children | 79 | 79 | - | - | - | - | 0.00 |
| 16 | Mr. Metha Pingsuthiwong | 195,800 | 195,800 | - | - | - | - | 0.00 |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 17 | Mr. Paiboon Nalinthrangkurn | 286,000 | 286,000 | - | - | - | - | 0.04 |
| | Including spouse and minor children | - | - | - | - | - | - | - |

| No. | Name | Common Shares | | | Preferred Shares | | | Total Shareholding (Percentage) |
|-----|-------------------------------------|--------------------------|--------------------------|--|--------------------------|--------------------------|--|------------------------------------|
| | | As of January 1, 2018 | As of January 1, 2019 | Increase (Decrease) During the Year | As of January 1, 2018 | As of January 1, 2019 | Increase (Decrease) During the Year | |
| 18 | Mr. Chatri Chandrangam | 30,000 | 30,000 | - | - | - | - | 0.00 |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 19 | Mr. Rungroj Jarasvijitkul | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 20 | Mr. Yuttpong Sriwongjanya | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 21 | Mr. Dejphinun Suthadsanasoung | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 22 | Mr. Picha Ratanatam | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 23 | Mr. Pairat Srivilairit | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 24 | Ms. Pavinee Ongvasith | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 25 | Mr. Theeranat Rujimethapass | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 26 | Ms. Rachada Pruksanubal | - | 79,000 | - | - | - | - | 0.01 |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 27 | Ms. Wanthana Chotchaisathit | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 28 | Mr. Nipon Wongchotiwat | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 29 | Mr. Puvarin Kullaphatkanon | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 30 | Ms. Chutintorn Vigasi | 16,356 | 16,356 | - | - | - | - | 0.00 |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 31 | Mr. Kontee Sunthornpradit | 13,200 | 13,200 | - | - | - | - | 0.00 |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 32 | Ms. Surang Techarungnirun | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 33 | Mr. Pichit Treethephasumphan | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 34 | Ms. Dulyarat Taveebhol | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 35 | Ms. Maneerat Wattanajak | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |

| No. | Name | Common Shares | | | Preferred Shares | | | Total Shareholding (Percentage) |
|-----|-------------------------------------|--------------------------|--------------------------|--|--------------------------|--------------------------|--|------------------------------------|
| | | As of January 1, 2018 | As of January 1, 2019 | Increase (Decrease) During the Year | As of January 1, 2018 | As of January 1, 2019 | Increase (Decrease) During the Year | |
| 36 | Mrs. Yaninee Papnum | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 37 | Ms. Sakornrat Manuwong | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 38 | Ms. Chuenchit Trakarnratti | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 39 | Ms. Nudtinee Suwanpanitch | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 40 | Ms. Jiraporn Sawsukpaiboon | 16,544 | 16,544 | - | - | - | - | 0.00 |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 41 | Ms. Thitima Chotethanaprasith | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 42 | Mr. Ekarat Pongkitivanitchkul | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 43 | Ms. Nartrudee Siwabut | 126,500 | 120,000 | (6,500) | - | - | - | 0.01 |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 44 | Mr. Komsorn Prakobphol | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 45 | Mr. Somchat Lapapong | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 46 | Ms. Aree Archamongkol | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 47 | Mr. Watsakorn Thepthim | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 48 | Mr. Kittipong Tiyafoonchai | 8,823 | 8,823 | - | - | - | - | 0.00 |
| | Including spouse and minor children | - | - | - | - | - | - | - |
| 49 | Ms. Chutiporn Luangrungsawang | - | - | - | - | - | - | - |
| | Including spouse and minor children | - | - | - | - | - | - | - |