

Attachment 3 Details of Head of Internal Audit and Head of Compliance as of January 1, 2020

Name / Position	Age (years)	Education / Director's Training Course Program	Total Shareholding ¹ (shares/percentage)	Family Relationship with other Management	Work Experience within 5 years		
					Period	Position	Company / Organization (Type of Business)
1. Mr. Pairat Srivilairit Corporate Secretary Executive Vice President Governance Office	55	Master of Business Administration Thammasat University Bachelor of Engineering Chulalongkorn University Certified Internal Auditor (CIA) Certificate in Control Self-Assessment (CCSA) Certified Financial Service Auditor (CFSA) The Institute of Internal Auditors (IIA), USA Certified Fraud Examiner (CFE) Association of Certified Fraud Examiners (ACFE), USA - Advances for Corporate Secretaries - Corporate Governance for Capital Market Intermediaries (CGI15/2016) - Company Secretary Program - Company Reporting Program - Board Reporting Program - Effective Minutes Taking - Audit Committee Program - Monitoring the Internal Audit Function - Monitoring Fraud Risk Management Thai Institute of Directors - GRI Certified Training on G4 reporting Guidelines Thaipat Institute - Anti-Corruption Strategic Management for Senior Executives Office of the National Anti-Corruption Commission <u>In-House Training</u> - Understanding Thailand Data Protection Law and its unintended consequence ACIS Professional Center Company Limited - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC	Common - Preferred -	-	Feb2017-Present	<u>TISCO Group</u> Executive Vice President - Governance Office	TISCO Financial Group Public Company Limited (Holding Company) TISCO Information Technology Company Limited (Information Technology) Hi-Way Company Limited (Hire Purchase) TISCO Insurance Solution Company Limited (Insurance) <u>Others</u> Srinakharinwirot University (Educational Institution) The Institute of Internal Auditors of Thailand The Comptroller General's Department The Comptroller General's Department Bangkok Metropolitan Administration
					Sep2013-Present	2013-2016 Head of Governance Office	
					Sep2013-Present	Director	
					Sep2013-Present	Director	
					Sep2013-2018	Director	
					2019-Present	Member of the Audit Committee	
					2019-Present	Director	
					2011-Present	Member of Internal Audit Quality Assurance Committee	
					2010-Present	Member of Internal Audit Development Committee	
					2010-Present	Member of Risk Management Committee	
2. Ms. Dulyarat Taveebhol Head of Corporate Compliance	62	Bachelor of Accountancy (Accounting) Thammasat University - Corporate Governance for Capital Market Intermediaries (CGI15/2016) Thai Institute of Directors	Common - Preferred -	-	Jul2013-Present	<u>TISCO Group</u> Head of Corporate Compliance	TISCO Financial Group Public Company Limited (Holding Company) TISCO Investment Advisory Securities Company Limited (Investment Advisory) TISCO Asset Management Company Limited (Asset Management) TISCO Securities Company Limited (Securities) Deutsche TISCO Investment Advisory Company Limited (Investment Advisory)
					2019-Present	Director	
					2009-Present	Director and Member of the Audit Committee	
					2009-Present	Director and Member of the Audit Committee	
					Sep2013-2019	Director	
3. Ms. Jiraporn Sawsukpaiboon Head of Internal Audit	49	Master of Business Administration Thammasat University Bachelor of Science (Accounting) Kasetsart University - Certified Internal Auditor (CIA) The Institute of Internal Auditors (IIA), USA - Certified Information System Auditor (CISA) ISACA, USA - Company Secretary Program - Board Reporting Program - Effective Minutes Taking (EMT) - Anti-Corruption: The Practical Guide Thai Institute of Directors	Common 16,544 (0.00) Preferred -	-	Feb2013-Present	<u>TISCO Group</u> Head of Internal Audit	TISCO Financial Group Public Company Limited (Holding Company)
						<u>Others</u> -	

Remark: ¹ Including spouse and minor children