

Attachment 3 Details of Head of Internal Audit and Head of Compliance as of January 1, 2021

Name / Position	Age (years)	Education / Director's Training Course Program	Total Shareholding ¹ (shares/percentage)	Family Relationship with other Management	Work Experience within 5 years		
					Period	Position	Company / Organization (Type of Business)
1. Mr. Pairat Srivilairit Corporate Secretary First Executive Vice President Governance Office	55	Master of Business Administration Thammasat University Bachelor of Engineering Chulalongkorn University Certified Internal Auditor (CIA) Certificate in Control Self-Assessment (CCSA) Certified Financial Service Auditor (CFSA) The Institute of Internal Auditors (IIA), USA Certified Fraud Examiner (CFE) Association of Certified Fraud Examiners (ACFE), USA <u>Public Training</u> - Advances for Corporate Secretaries - Corporate Governance for Capital Market Intermediaries (CGI15/2016) - Company Secretary Program - Company Reporting Program - Board Reporting Program - Effective Minutes Taking - Audit Committee Program - Monitoring the Internal Audit Function - Monitoring Fraud Risk Management - Board of the Year, Board of the Future Thai Institute of Directors - GRI Certified Training on G4 reporting Guidelines Thaipat Institute - Anti-Corruption Strategic Management for Senior Executives Office of the National Anti-Corruption Commission - ASEAN Workshop on the Promotion of CSR in Social Welfare and Development, MSDHS - Role of commercial banks and civil society in anti-corruption Bank of Thailand and the Thai Bankers' Association <u>In-House Training</u> - Understanding Thailand Data Protection Law and its unintended consequence ACIS Professional Center Company Limited - Cybersecurity Threatscape and You TISCO Financial Group Public Company Limited - Role of the Boardroom: Transforming Corporate Compliance into Corrupt-less Society Thailand's Private Sector Collective Action Coalition Against Corruption: CAC - Corporate Sustainability Sustainable Business Development Institute - IT Security Awareness for Top Management ACIS Professional Center Company Limited - Chairman/Board perspectives on Corporate Strategy, beyond the crisis Bain & Company, Inc.	Common - Preferred -	-	Apr2021-Present	<u>TISCO Group</u> First Executive Vice President - Governance Office	TISCO Financial Group Public Company Limited (Holding Company) TISCO Information Technology Company Limited (Information Technology) Hi-Way Company Limited (Hire Purchase) TISCO Insurance Solution Company Limited (Insurance) Srinakharinwirot University The Institute of Internal Auditors of Thailand The Comptroller General's Department Bangkok Metropolitan Administration
					Feb2017-Mar2021	Executive Vice President - Governance Office	
					Sep2013-Present	Corporate Secretary	
					2013-2016	Head of Governance Office	
					Sep2013-Present	Director	
					Sep2013-Present	Director	
					Sep2013-2018	Director	
					2019-Present	<u>Others</u> Member of the Audit Committee	
					2019-Present	Director	
					2011-Present	Member of Internal Audit Quality Assurance Committee	
2. Ms. Dulyarat Taveebhol Head of Corporate Compliance	63	Bachelor of Accountancy (Accounting) Thammasat University <u>Public Training</u> - Corporate Governance for Capital Market Intermediaries (CGI15/2016) Thai Institute of Directors	Common - Preferred -	-	Jul2013-Present	<u>TISCO Group</u> Head of Corporate Compliance	TISCO Financial Group Public Company Limited (Holding Company) TISCO Asset Management Company Limited (Asset Management) TISCO Securities Company Limited (Securities) TISCO Investment Advisory Securities Company Limited (Investment Advisory) Deutsche TISCO Investment Advisory Company Limited (Investment Advisory)
					2009-Present	Director and Member of the Audit Committee	
					2009-Present	Director and Member of the Audit Committee	
					2019-2020	Director	
					Sep2013-2019	Director	
3. Ms. Chuenchit Trakarnratti Head of Internal Audit	51	Master of Science (Computer Information System) Assumption University Bachelor of Accountancy Chulalongkorn University	Common - Preferred -	-	Jan2021-Present	<u>TISCO Group</u> Head of Internal Audit	TISCO Financial Group Public Company Limited (Holding Company)
					Aug2014-2020	Head of Operational Risk Management <u>Others</u> -	

Remark: /1 Including spouse and minor children